

IAF / TECHNICAL ASSURANCE WORKBOOK

Vehicle Identity and Homologation Evidence

Assessment checklist and operational forms

SOURCE-REFERENCED / INTERACTIVE PDF

First Edition | Version 1.0 | Prepared for IAF adoption
Operational effect requires recorded IAF authorization.

ORGANIZATION / LEGAL OPERATOR**ASSESSMENT REFERENCE****ASSESSMENT DATE / PERIOD****ASSESSOR / REVIEWER / DECISION MAKER****SITE / LOCATION****ASSESSED MODEL / VARIANT / CONFIGURATION / VEHICLE****ASSESSMENT TYPE, PURPOSE AND CLAIMED SCOPE****120**

check records

24

mapped sections

33

source pages

Scope, applicability & evidence rules

TERRITORIES, PROFILE VERSIONS AND INTENDED ACTION DATES

VEHICLE CATEGORIES, REGULATORY ROUTES AND PRODUCTION STAGE

SOFTWARE, HARDWARE AND CONFIGURATION BASELINES

AUTHORITY SOURCES, ISSUERS AND EVIDENCE-REGISTER REVISION

OPERATOR ASSESSMENT REFERENCE AND APPLICABLE DOCUMENT EDITIONS

CASE MANAGER, EVIDENCE CUSTODIAN AND RESPONSIBLE STEWARD

EXCLUSIONS, RATIONALE AND INDEPENDENT APPROVAL REFERENCE

STANDARD-SPECIFIC ASSESSMENT RULE

Record the exact object, configuration, jurisdiction profile and claim. Distinguish identity verification, IAF assessment, external legal-source status, currency and safety alerts. An AUTOID identity alone is not a market approval.

HOW TO COMPLETE EACH CHECK

C - Conforming	All applicable source conditions are met by identified evidence.
NC - Nonconforming	An applicable requirement or protective gate is not met.
P - Pending	Evidence is missing or incomplete; the result is not conforming.
NA - Not applicable	A documented scope rationale and independent approval are recorded.

Select result is a blank placeholder. No conformity outcome is preselected and no percentage score overrides an unmet requirement. Retain every limitation and unresolved finding in the final decision record.

Assessment instructions & record control

01 Source requirements

Use this checklist with the complete IAF AUTOID Standard First Edition. Each control includes all mandatory sentences in that standard; the prompts below are abbreviated evidence checks. Complete each applicable control before a positive statement is issued.

02 Result selection

Select C for conforming, NC for nonconforming, NA for justified not applicable, or P for pending. No answer is preselected. NA requires a factual rationale and independent approval; missing evidence is P or NC. A percentage score cannot override a failed requirement.

03 Operator and case scope

Operator assessment covers all applicable controls. A case assessment covers controls marked Both and references the effective operator assessment for Operator controls. Record a reference in the evidence field for each operator prerequisite rather than treating it as waived.

04 Critical findings

A CRITICAL flag highlights potential immediate safety or integrity containment. All other controls remain mandatory when applicable. Any credible serious concern must follow the incident procedure before routine assessment continues.

05 Saving and continuation

Evidence fields accept multiple lines. Use the continuation page for longer records and identify the control ID. Field names are unique. These are text fields and result dropdowns; typed names are not digital signatures. Save a separate completed copy for each case and reopen it to confirm values persist.

06 Forms and confidentiality

The forms F01 to F08 are blank templates for separate controlled records. More evidence and applicability rows may be needed than fit one form. Store signed originals or authenticated electronic decisions under the referenced record; do not put restricted case information into a public copy.

Requirement map

Control identifiers match the AUTOID Standard. PDF references use the 33-page standard supplied with this workbook. Click a section to jump to its first checklist page.

SOURCE SECTION	CHECKS	CHECKLIST	STANDARD
01 Purpose authority and permitted claims	5	5	6
02 Participation independence and fairness	5	6	7
03 Scope terminology and object boundaries	5	8	8
04 Responsible organizations and trusted issuers	5	10	9
05 Identifier allocation and duplicate prevention	5	11	10
06 Model variant and product family records	5	13	11
07 Configuration baselines and software identity	5	15	12
08 Individual vehicles and lifecycle linkage	5	16	13
09 Jurisdiction profiles and applicability	5	18	14
10 Evidence provenance and technical sufficiency	5	20	15
11 Assessment planning and independent review	5	21	16
12 Decision rules and state separation	5	23	17
13 Publication verification and mark use	5	25	18
14 Production conformity and vehicle population	5	26	19
15 Change control and transfer of evidence	5	28	20
16 Monitoring currency and continuing assurance	5	30	21
17 Safety events recalls and protective action	5	31	22
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20 Interoperability and federated operation	5	36	25
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Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-01.01 | BOTH | CRITICAL

Standard p. 6

Scheme boundary

Check: The operator shall publish the legal entity responsible for AUTOID, its service boundaries and each approved vehicle category.

Evidence: Approved programme charter; legal operator record; mandate register; public claim review.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-01.02 | BOTH | CRITICAL

Standard p. 6

Conformity meaning

Check: An AUTOID scheme conformity statement shall mean that the declared record and its evidence meet this standard for a named scope.

Evidence: Statement template; completed decision; exact technical and market scope.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-01.03 | OPERATOR | CRITICAL

Standard p. 6

Constitutional authority

Check: The Presidential Council shall authorize programme establishment, resources and publication in accordance with the effective IAF Constitution.

Evidence: Council resolution; current delegation register; separated assessment and decision appointments.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-01.04 | OPERATOR

Standard p. 6

Document precedence**Check:** Mandatory law and binding public mandates shall prevail.**Evidence:** Controlled master list; precedence review; conflict disposition and effective editions.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-01.05 | OPERATOR | CRITICAL

Standard p. 7

Operating release**Check:** Before operational issuance, the Council shall authorize a release with approved requirements, named accountable officers, competent assessors, independent decision capacity, security and privacy acceptance, funded continuity and a tested registry.**Evidence:** Signed release gate; pilot segregation test; staffing and continuity evidence.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-02.01 | BOTH

Standard p. 7

Participation conditions**Check:** The operator shall publish eligibility by service and territory.**Evidence:** Published eligibility; membership gate; non-member exception route; anonymous verification test.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-02.02 | BOTH | CRITICAL

Standard p. 7

Conflict review

Check: Personnel shall declare relevant ownership, employment, consultancy, family and financial interests on appointment, annually and before each case.

Evidence: Case declarations; reviewer and decision maker identities; recusal and access logs.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-02.03 | OPERATOR

Standard p. 7

Equal treatment

Check: The operator shall apply the same evidence rules to small manufacturers, large groups, importers and regional applicants within the same scope.

Evidence: Published service rules; sampled comparable cases; fee and decision separation.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-02.04 | OPERATOR

Standard p. 7

Competition safeguards

Check: Committee and registry access shall not expose competitors to confidential pricing, forward production plans, bid information or unnecessary technical trade secrets.

Evidence: Data-sharing rules; meeting records; competitor access tests; approved publication fields.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-02.05 | BOTH

Standard p. 8

Accountable decisions

Check: Every refusal, restriction or withdrawal shall identify the rule, evidence, responsible function and route to challenge.

Evidence: Decision notices; response opportunities; protected reporting arrangements.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-03.01 | BOTH

Standard p. 8

Object classification

Check: Every identified object shall be classified as organization, model, variant, configuration, vehicle, component, software or facility.

Evidence: Object inventory; requested classes; component granularity; exclusions.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-03.02 | BOTH | CRITICAL

Standard p. 8

Model definition

Check: A model shall be a manufacturer-controlled engineering product family with a documented boundary.

Evidence: Family definition; responsible manufacturer; platform and design history; duplicate search.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-03.03 | BOTH | CRITICAL

Standard p. 8

Regulatory type mapping

Check: The model-to-regulatory-type relationship shall be recorded as a versioned many-to-many mapping.

Evidence: Type mapping register; source approval or declaration; approved configuration range.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-03.04 | BOTH

Standard p. 8

Category boundary

Check: Each market profile shall define the relevant road vehicle categories and applicable limits.

Evidence: Category classification rationale; market profile; assessor authorization scope.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-03.05 | BOTH | CRITICAL

Standard p. 9

Prototype and exceptional vehicles

Check: Prototypes, individual imports, small series, historical vehicles and incomplete vehicles shall use explicit pathways with their own evidence requirements and restrictions.

Evidence: Prototype flag; exceptional route; authority exemption; restricted statement.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-04.01 | BOTH | CRITICAL

Standard p. 9

Organization verification

Check: Before accepting a submission, the registrar shall verify legal name, registration reference, jurisdiction of establishment, business contact and authorized representative using independent sources.

Evidence: Independent legal-entity check; organization record; representative authorization.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-04.02 | BOTH

Standard p. 9

Manufacturer responsibility

Check: Each model shall identify the legal manufacturer responsible for design and conformity obligations and the authorized data steward.

Evidence: Role allocation; contracts; manufacturer declarations; authorized source records.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-04.03 | OPERATOR | CRITICAL

Standard p. 9

Issuer trust registry

Check: The operator shall maintain an issuer register covering approval authorities, manufacturers, laboratories, technical services and IAF decision functions.

Evidence: Issuer directory; scope verification; trusted communication channels; status changes.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-04.04 | BOTH

Standard p. 9

Delegation control

Check: A submitter acting for another organization shall provide a current mandate defining objects, data rights and permitted actions.

Evidence: Signed mandate; delegation scope; expiry and revocation test.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-04.05 | BOTH

Standard p. 10

Organizational change

Check: Merger, insolvency, sale of a brand or loss of representative authority shall trigger a stewardship review.

Evidence: Change evidence; transfer decision; successor link; open obligations.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-05.01 | BOTH | CRITICAL

Standard p. 10

Identifier syntax

Check: An AUTOID entity identifier shall use AID, one class code and a lowercase version-4 UUID separated by hyphens, for example AID-M-123e4567-e89b-42d3-a456-426614174000.

Evidence: Format validation; generation design; class mapping; database uniqueness test.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-05.02 | BOTH | CRITICAL

Standard p. 10

Permanence

Check: An allocated identifier shall never be reassigned to another object, reused after retirement or changed to encode new status.

Evidence: Identifier lifecycle log; retired record; duplicate-resolution decision.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-05.03 | BOTH | CRITICAL

Standard p. 10

Duplicate checks

Check: Before publication the registrar shall check existing model boundaries, legal manufacturer, engineering code, external identifiers and known aliases.

Evidence: Search results; match disposition; conflict quarantine; approved merge or separate-record rationale.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-05.04 | OPERATOR | CRITICAL

Standard p. 10

Concurrent issuance

Check: Allocation shall be transactional and idempotent.

Evidence: Concurrency and retry test; reservation ledger; tenant-scoped idempotency evidence.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-05.05 | BOTH | CRITICAL

Standard p. 11

External identifier preservation

Check: VINs, WMI references, approval numbers, registration references, battery passport references and supplier serials shall be stored with issuer, scheme, original spelling and validity context.

Evidence: External identifier records; original values; scheme-specific validation; authorized correction.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-06.01 | BOTH

Standard p. 11

Minimum model dossier

Check: A model dossier shall contain the manufacturer, engineering family designation, commercial aliases, intended categories, production stage, design boundary, initial and current configurations, manufacturing facilities, responsible steward and available market profiles.

Evidence: Model dossier; source drawings; controlled master specification; open-data register.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-06.02 | BOTH

Standard p. 11

Variant boundary

Check: Each variant shall have a controlled selection rule covering relevant body, propulsion, axle, mass, seating and safety-system differences.

Evidence: Variant matrix; engineering codes; regulatory mapping; configuration selection rules.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-06.03 | BOTH | CRITICAL

Standard p. 11

Rebranding and shared platforms

Check: Badge-engineered or contract-built vehicles shall retain distinct legal-manufacturer and commercial-brand relationships.

Evidence: Rebranding agreement; evidence permission; delta assessment and receiving-model mapping.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-06.04 | BOTH

Standard p. 11

Model retirement

Check: Discontinued production shall change the model lifecycle state without invalidating its historical identity.

Evidence: Discontinuation notice; continuity plan; retained dependent vehicle records.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-06.05 | BOTH

Standard p. 12

Technical units and languages

Check: Technical fields shall identify quantity, unit, test condition, tolerance and source where relevant.

Evidence: Data dictionary; unit checks; source language and translation verification.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-07.01 | BOTH | CRITICAL

Standard p. 12

Configuration baseline

Check: Each assessed configuration shall be frozen with variant, hardware part and revision references, propulsion, battery where fitted, mass and geometry limits, braking and restraint systems, safety-relevant software and intended markets.

Evidence: Signed configuration manifest; engineering bill of materials; evidence-to-baseline links.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-07.02 | BOTH | CRITICAL

Standard p. 12

New configuration rule

Check: A change that may affect a regulatory result, safety function, emissions, energy storage, cybersecurity, operational design domain or declared performance shall create a new configuration identity before a positive claim is extended.

Evidence: Change classification; before-and-after comparison; new configuration or correction decision.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-07.03 | BOTH | CRITICAL

Standard p. 12

Software release

Check: Safety-relevant software shall identify supplier, release identifier, build or artifact digest, supported hardware, update dependencies and release authorization.

Evidence: Software release manifest; artifact digest; compatibility and rollback evidence.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-07.04 | BOTH | CRITICAL

Standard p. 12

OTA and function activation

Check: Over-the-air updates, remote feature activation and changed calibration shall be assessed before claiming continued conformity.

Evidence: Update impact assessment; approvals; release plan; affected-vehicle range and confirmation.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-07.05 | BOTH | CRITICAL

Standard p. 13

Automation and learning systems

Check: For automated driving or adaptive systems, the dossier shall identify supported operating conditions, driver responsibilities, sensing and software baseline, fallback strategy, validation evidence and change controls.

Evidence: Operational design domain; validation scope; safety-relevant change assessment; market restrictions.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-08.01 | BOTH | CRITICAL

Standard p. 13

Vehicle binding

Check: A vehicle identity shall be linked to its verified VIN or lawful alternative identifier, responsible manufacturer, production date where known, as-built configuration, production facility and source completion record.

Evidence: Vehicle source record; VIN observation or authenticated feed; configuration binding.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-08.02 | BOTH | CRITICAL

Standard p. 13

VIN validation

Check: VIN validation shall use the applicable market and manufacturing-period rules.**Evidence:** Market-specific validation result; legacy exception; source decoder provenance.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-08.03 | BOTH | CRITICAL

Standard p. 13

Registration and ownership

Check: National registration, title, licence plates, ownership and insurance shall be external relationships, not facts created by AUTOID.**Evidence:** External-source authority; access assessment; public-field exclusion test.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-08.04 | BOTH | CRITICAL

Standard p. 13

Multi-stage construction

Check: Incomplete, completed and modified vehicles shall preserve each manufacturing stage, responsible entity, source configuration, interfaces and evidence inherited or replaced.**Evidence:** Stage chain; interface declarations; final-stage assessment and completion evidence.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-08.05 | BOTH

Standard p. 14

Repair repurposing and end of life

Check: Material repairs, propulsion conversion, remanufacturing, battery replacement, export, dismantling and end-of-life events shall identify the reporting source, event date, affected components and configuration impact.

Evidence: Lifecycle events; repair evidence; new baseline assessment; end-of-life source.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-09.01 | BOTH | CRITICAL

Standard p. 14

Profile contents

Check: Each active jurisdiction profile shall identify country or regional territory, vehicle categories, statutory route, competent bodies, binding instruments and amendments, relevant dates, conformity-production obligations, labelling, import or registration prerequisites, source links and review owner.

Evidence: Versioned profile; authoritative sources; independent legal and technical review.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-09.02 | BOTH | CRITICAL

Standard p. 14

Applicability matrix

Check: Every case shall have a requirement-by-requirement matrix tied to the profile version, configuration and intended action date.

Evidence: Completed market matrix; applicability rationale; explicit unresolved rows.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-09.03 | BOTH | CRITICAL

Standard p. 14

Temporal applicability

Check: The reviewer shall distinguish rules triggered by new type, production date, market placement, import, first registration or continued use.

Evidence: Dated applicability assessment; transition evidence; action date and legal basis.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-09.04 | BOTH | CRITICAL

Standard p. 14

Recognition limits

Check: Recognition of an approval from another territory shall require an explicit legal or contractual basis applicable to the exact requirement, approval series, category and parties.

Evidence: Recognition basis; participating parties; covered scope; exclusions and withdrawal conditions.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-09.05 | OPERATOR | CRITICAL

Standard p. 15

Profile maintenance

Check: The profile owner shall monitor authoritative sources and review active profiles at least quarterly, and immediately after a material regulatory notice.

Evidence: Monitoring log; quarterly review; amendment impact; paused profile and notification records.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-10.01 | BOTH | CRITICAL

Standard p. 15

Evidence record

Check: Every evidence item shall record issuer, title, reference, issue date, version, subject configuration, requirement coverage, original file digest, access classification, rights to use and verification history.

Evidence: Evidence ledger; retained original; digest comparison; rights and classification.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-10.02 | BOTH | CRITICAL

Standard p. 15

Source authentication

Check: Authority approvals shall be checked against an authorized registry, verifiable digital signature or direct issuer confirmation through an independently established channel.

Evidence: Authoritative lookup; signature verification; independent confirmation and timestamp.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-10.03 | BOTH | CRITICAL

Standard p. 15

Laboratory and test competence

Check: Test evidence shall identify competent provider, authorized scope, method and edition, sample selection, configuration, equipment, calibration or traceability where relevant, conditions, results and limitations.

Evidence: Test report; competence and designation evidence; calibration and sample traceability.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-10.04 | BOTH | CRITICAL

Standard p. 15

Representativeness and uncertainty

Check: The assessor shall justify why the tested specimen, worst-case variant, simulation or calculation represents the claimed configuration range.

Evidence: Sampling rationale; worst-case selection; uncertainty and decision rule; simulation validation.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-10.05 | BOTH | CRITICAL

Standard p. 16

Contradictions and provenance gaps

Check: Conflicting approvals, missing pages, inconsistent identifiers, unexplained changes or doubtful issuer status shall trigger an evidence hold.

Evidence: Conflict register; source reconciliation; extraction verification; closure decision.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-11.01 | BOTH

Standard p. 16

Assessment plan

Check: Before assessment the case manager shall approve a plan naming scope, profiles, configurations, assessor competence, evidence methods, sampling, site or witness needs, exclusions and decision route.

Evidence: Assessment plan; competence allocation; risk and sampling rationale.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-11.02 | BOTH | CRITICAL

Standard p. 16

Verification depth

Check: All identity bindings, authority approvals, exemptions, configuration boundaries and applicable requirement rows shall be checked.

Evidence: Complete mandatory-field review; sample population; exception and expansion records.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-11.03 | BOTH

Standard p. 16

Findings

Check: A finding shall state the requirement, observed fact, evidence reference, affected scope, risk and corrective action needed.

Evidence: Finding register; objective evidence; classifications; applicant response.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-11.04 | BOTH | CRITICAL

Standard p. 16

Independent technical review

Check: A competent reviewer who did not perform the assessment shall check completeness, applicability, evidence authenticity, configuration coverage and proposed claim wording.

Evidence: Independent review record; closure verification; blocked-case example.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-11.05 | OPERATOR | CRITICAL

Standard p. 17

Automated decision safeguards

Check: Automated tools may detect duplication, missing data and expired evidence, but shall not make the final positive technical decision in the First Edition.

Evidence: Tool validation; human sign-off; rule version; contested-flag handling.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-12.01 | BOTH | CRITICAL

Standard p. 17

Independent decision

Check: A designated competent decision maker shall issue a reasoned decision based on the assessment and review records.

Evidence: Decision record; separation checks; complete checklist and finding closure.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-12.02 | BOTH | CRITICAL

Standard p. 17

Identity state

Check: Identity state shall be draft, verified, disputed, suspended or retired.

Evidence: State definitions; transition log; public state labels.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-12.03 | BOTH | CRITICAL

Standard p. 17

Assessment and legal state

Check: Each scoped assessment shall have its own state: not_assessed, in_review, verified, refused, suspended, withdrawn or superseded.

Evidence: Separate status fields; source provenance; transition tests.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-12.04 | BOTH | CRITICAL

Standard p. 17

Currency and alerts

Check: Evidence currency shall be current, review_due or stale, independent of identity and assessment states.

Evidence: Currency policy; last and next checks; approval validity source; alert labels.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-12.05 | BOTH | CRITICAL

Standard p. 18

Effective verification result

Check: A current positive AUTOID conformity display shall require verified identity, verified scoped assessment, current evidence, an exact configuration match and no blocking restriction.

Evidence: Effective-result rules; blocked and stale fixtures; public output samples.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-13.01 | BOTH | CRITICAL

Standard p. 18

Public minimum record

Check: The public model record shall show AUTOID, legal manufacturer, model aliases, object class, identity state, configuration scope, separately listed markets and routes, assessment state, evidence currency, material restrictions, safety notices, issuer, verification timestamp and correction channel.

Evidence: Public field allowlist; published sample; privacy and scope review.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-13.02 | OPERATOR

Standard p. 18

Resolvable reference

Check: A QR code or link shall resolve through an operator-controlled HTTPS service to the exact record.

Evidence: QR scan test; domain control; exact-object resolution; redirect continuity.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-13.03 | BOTH | CRITICAL

Standard p. 18

Claims and licence

Check: Use of the IAF name, AUTOID symbol or verification statement shall require the applicable written licence and current authorized scope.

Evidence: Mark licence; approved artwork; website and label claim audit.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-13.04 | OPERATOR

Standard p. 18

Signed extracts

Check: An extract shall identify its issue time, exact record and revision, scope, issuer and online status-check route.

Evidence: Signed extract specimen; signature validation; stale/offline presentation test.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-13.05 | BOTH | CRITICAL

Standard p. 19

Correction and withdrawal display

Check: Material corrections, suspensions and withdrawals shall propagate to public verification and authorized downstream recipients.

Evidence: Propagation logs; corrected display; historic extract handling; notification evidence.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-14.01 | BOTH | CRITICAL

Standard p. 19

Production evidence

Check: Where a case claims production conformity, the dossier shall identify facilities, production period, quality controls, source conformity-of-production arrangements and responsible manufacturer.

Evidence: Production plan; official production-conformity evidence where applicable; facility scope.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-14.02 | BOTH | CRITICAL

Standard p. 19

As-built traceability

Check: The manufacturer shall maintain a controlled mapping from each claimed vehicle or defined batch to its as-built configuration and relevant safety-critical component or software records.

Evidence: Build ledger; batch reconciliation; component traceability; change history.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-14.03 | BOTH | CRITICAL

Standard p. 19

Population boundaries

Check: Evidence claiming a series of vehicles shall specify applicable VIN ranges or an explicit protected list, production dates, facilities, variants and exclusions.

Evidence: Population query; explicit exclusions; representative and boundary vehicle checks.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-14.04 | BOTH | CRITICAL

Standard p. 19

Production deviations

Check: A nonconforming production lot or change in critical supplier shall trigger a documented impact assessment.

Evidence: Deviation record; affected population; containment and release evidence.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-14.05 | BOTH

Standard p. 20

Ongoing production review

Check: The case maintenance plan shall set review intervals and triggers based on product risk, production variability, official obligations and evidence reliability.

Evidence: Risk-based maintenance plan; annual review; event-trigger log.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-15.01 | BOTH | CRITICAL

Standard p. 20

Change notification

Check: Participants shall notify material identity, configuration, issuer, manufacturing or legal-status changes before extending an AUTOID claim to the changed scope.

Evidence: Change request; timing; affected-object graph; containment.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-15.02 | BOTH | CRITICAL

Standard p. 20

Delta assessment

Check: The assessor shall identify every requirement and evidence item affected by a change.

Evidence: Delta matrix; carry-forward rationale; required additional tests and approvals.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-15.03 | BOTH

Standard p. 20

Supersession

Check: A replacement assessment shall link to the prior assessment and state whether the earlier statement remains valid for its original configuration.

Evidence: Supersession relationship; scope boundary; retained historical result.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-15.04 | OPERATOR | CRITICAL

Standard p. 20

Transfer and migration

Check: A new data steward, software platform or registry operator shall preserve identifiers, source attribution, access rights, history, status and pending obligations.

Evidence: Migration mapping; reconciliations; acceptance tests; responsibility transfer.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-15.05 | BOTH | CRITICAL

Standard p. 21

Emergency correction

Check: A material public error shall be contained promptly through a restriction or correction notice.

Evidence: Incident timeline; corrected revision; independent authorization; retrospective review.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-16.01 | OPERATOR

Standard p. 21

Source monitoring

Check: The operator shall define authoritative source coverage for each profile and monitor connected feeds at their agreed interval.

Evidence: Source coverage catalogue; monitoring schedule; feed and manual-check logs.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-16.02 | OPERATOR | CRITICAL

Standard p. 21

Freshness policy

Check: Each source class shall have a maximum age and an outage rule approved in the profile.

Evidence: Freshness thresholds; automatic stale transition; successful recheck record.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-16.03 | BOTH

Standard p. 21

Risk-based surveillance

Check: Surveillance shall consider safety criticality, new technology, evidence defects, production change, complaints, issuer reliability and past nonconformity.

Evidence: Risk score rationale; surveillance plan; triggered expanded review.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-16.04 | BOTH | CRITICAL

Standard p. 21

Provider or issuer restriction

Check: Suspension or withdrawal of a laboratory, technical service or issuer shall trigger an impact review of related evidence and cases.

Evidence: Issuer event; dependency list; temporal and scope impact decision.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-16.05 | OPERATOR

Standard p. 22

Quality indicators

Check: Management shall review duplicate rates, unresolved findings, source freshness, status propagation delay, appeal outcomes, privacy incidents, uptime and recovery tests at least quarterly.

Evidence: Quarterly dashboard; corrective actions; service restriction decisions.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-17.01 | BOTH | CRITICAL

Standard p. 22

Urgent notification

Check: A participant becoming aware of a credible serious safety risk, false approval, cloned identity or materially compromised signing key shall notify the AUTOID duty function without undue delay and within 24 hours.

Evidence: Incident report; awareness and notification timestamps; applicable legal deadlines.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-17.02 | OPERATOR | CRITICAL

Standard p. 22

Protective restriction

Check: The duty function shall triage a credible critical event within four hours of receipt, restrict misleading positive displays where needed and preserve evidence.

Evidence: Duty roster; triage record; restriction and independent review timestamps.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-17.03 | BOTH | CRITICAL

Standard p. 22

Recall source and scope

Check: A recall record shall identify issuing source, campaign identifier, publication and effective dates, affected configurations or vehicle population, hazard, action and verified remedy status.

Evidence: Recall record; authoritative notice; population match; source classification.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-17.04 | BOTH | CRITICAL

Standard p. 22

Remedy verification

Check: A model-wide recall shall remain distinguishable from remedy completion on one vehicle.

Evidence: Unit remedy record; source authentication; remaining affected population.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-17.05 | OPERATOR | CRITICAL

Standard p. 23

Status propagation and closure

Check: Critical status changes shall be committed immediately after authorization and reach public verification within 15 minutes, with downstream notices queued in the same interval.

Evidence: Propagation measurement; outage display test; delivered notifications; closure report.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-18.01 | OPERATOR | CRITICAL

Standard p. 23

Data inventory and lawful basis

Check: The operator shall document purposes, categories, controller and processor roles, lawful basis, recipients, retention and cross-border arrangements for every dataset.

Evidence: Processing inventory; impact assessment; contracts; transfer assessment.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-18.02 | OPERATOR | CRITICAL

Standard p. 23

Public data minimization

Check: Public outputs shall use an explicit allowlist.

Evidence: Public schema; negative privacy tests; token design; authorized unit-lookup rules.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-18.03 | OPERATOR | CRITICAL

Standard p. 23

Field and purpose access

Check: Access shall be controlled by role, organization, object scope, data classification and purpose.

Evidence: Access matrix; cross-tenant tests; privilege approvals; access review.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-18.04 | OPERATOR

Standard p. 23

Rights and correction

Check: The privacy function shall provide a route for access, correction, objection, restriction and deletion requests as applicable.

Evidence: Rights case log; identity check; correction and deletion evidence.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-18.05 | BOTH

Standard p. 24

Confidentiality and disclosure

Check: Evidence owners shall classify trade secrets and grant sufficient rights for assessment, maintenance, appeal and lawful authority access.

Evidence: Data licence; classification review; redacted evidence; lawful-disclosure record.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-19.01 | OPERATOR | CRITICAL

Standard p. 24

Security baseline

Check: The operator shall maintain threat models for identity fraud, account takeover, insider alteration, ransomware, tenant escape, API abuse and status-cache failure.

Evidence: Threat model; baseline configuration; penetration-test closure; monitoring coverage.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-19.02 | OPERATOR | CRITICAL

Standard p. 24

Signing and trust

Check: Signing keys shall be held in protected key-management infrastructure, separated from application administrators and governed by dual control for critical actions.

Evidence: Key lifecycle procedure; trust data; negative signature and revocation tests.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-19.03 | OPERATOR | CRITICAL

Standard p. 24

Append-only history

Check: Material revisions, decisions and lifecycle events shall be append-only in the application, with actor, reason, effective time, recording time and prior-event relationship.

Evidence: Event ledger; correction example; immutable backup or audit export; tamper alert test.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-19.04 | OPERATOR | CRITICAL

Standard p. 24

Recovery and continuity

Check: The service shall target at least 99.9 percent monthly availability for public verification, a recovery time of four hours and recovery point of 15 minutes for transactional records.

Evidence: Measured service report; backup design; quarterly restoration and restriction reconciliation.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-19.05 | OPERATOR | CRITICAL

Standard p. 25

Incident and exit readiness

Check: Security incidents shall have defined containment, evidence preservation, notification and recovery roles with jurisdiction-specific legal deadlines.

Evidence: Incident exercises; legal notification matrix; continuity agreement; exit and deletion plan.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-20.01 | OPERATOR

Standard p. 25

Published interfaces

Check: The operator shall publish versioned data dictionaries, machine-readable schemas, export rules and an API contract for permitted functions.

Evidence: Schema and API versions; validation tests; complete export and reimport.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-20.02 | OPERATOR | CRITICAL

Standard p. 25

Controlled exchange

Check: Integrations with authority systems, VIN datasets, recalls and battery passports shall identify lawful access, permitted uses, update interval, source limitations and reconciliation.

Evidence: Connector register; agreements; mappings; attribution and access controls.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-20.03 | OPERATOR | CRITICAL

Standard p. 25

Federation consistency

Check: Regional nodes may collect and validate submissions under contract, but the authoritative allocation and current-status service shall prevent divergent identities or positive states.

Evidence: Node agreement; partition test; reconciliation and conflict decision.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-20.04 | OPERATOR

Standard p. 25

Portable verification

Check: Authorized recipients shall be able to export a record with its schema version, provenance, evidence references, decision and status history in documented formats.

Evidence: Export bundle; independent parse and round-trip test; rights controls.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-20.05 | OPERATOR

Standard p. 26

Schema changes

Check: Breaking changes shall use a new major interface version and documented migration.

Evidence: Version policy; compatibility matrix; deprecation notice; migration validation.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-21.01 | BOTH

Standard p. 26

Complete case record

Check: A case record shall contain application, authority to submit, profile and configuration versions, evidence inventory, assessment, independent review, decision, publication approval, notifications and maintenance events.

Evidence: Complete case index; linked originals; retrieval and missing-record test.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-21.02 | OPERATOR | CRITICAL

Standard p. 26

Retention schedule

Check: Before activation the operator shall approve a dataset-specific retention schedule with purpose, trigger, duration, legal basis, custodian and disposal method.

Evidence: Approved schedule; jurisdiction validation; retention trigger configuration.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-21.03 | OPERATOR

Standard p. 26

Legal holds and deletion

Check: A documented hold shall suspend disposal only for relevant records and periods.

Evidence: Hold register; scoped deletion run; backup expiry; disposal certificate.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-21.04 | OPERATOR

Standard p. 26

Audit and review

Check: An internal audit independent of the audited activity shall assess all operator requirements at least annually.

Evidence: Audit programme; readiness report; management review; corrective-action closure.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-21.05 | OPERATOR

Standard p. 27

Historical reconstruction

Check: The operator shall demonstrate reconstruction of a decision as it stood at a specified time, including source version, configuration, reviewer, legal-source check and public display.

Evidence: Time-specific reconstruction test; bitemporal event data; original display snapshot.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-22.01 | OPERATOR

Standard p. 27

Complaint access

Check: Any affected person shall have an accessible route to report inaccurate records, improper claims, conduct or process failures.

Evidence: Complaint channel; acknowledgement; initial and final response dates.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-22.02 | BOTH | CRITICAL

Standard p. 27

Appeal filing and panel

Check: An affected party may appeal an AUTOID decision within 30 calendar days of notification.

Evidence: Notification and filing dates; panel appointment; competence and conflict checks.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-22.03 | OPERATOR

Standard p. 27

Fair review

Check: The panel shall review the original record, applicable rules and submissions, provide a meaningful opportunity to respond, and issue reasons normally within 60 calendar days.

Evidence: Appeal case file; submissions; reasoned determination; implementation instructions.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-22.04 | BOTH | CRITICAL

Standard p. 27

Interim protection

Check: An appeal shall not automatically remove a justified protective restriction.

Evidence: Interim decision; risk rationale; correctly labelled public dispute.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-22.05 | OPERATOR

Standard p. 28

Implementation and learning

Check: Appeal outcomes and substantiated complaints shall lead to authorized corrections, notifications and systemic corrective action where needed.

Evidence: Implemented outcome; notifications; effectiveness check; protected reporting record.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-23.01 | OPERATOR | CRITICAL

Standard p. 28

Written authorization

Check: A body acting in the IAF name shall hold written corporate approval, an effective Cooperation and Authorization Memorandum and a scope schedule before operation.

Evidence: Approval; executed memorandum; published scope schedule.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-23.02 | OPERATOR

Standard p. 28

Competence criteria

Check: Assessors shall demonstrate relevant vehicle technology, regulatory-route knowledge, evidence evaluation, configuration control and data integrity competence.

Evidence: Competence matrix; witnessed cases; qualification decision; scope limits.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-23.03 | OPERATOR

Standard p. 28

Ongoing competence

Check: The operator shall review authorized personnel at least annually and after material rule or technology changes.

Evidence: Annual review; continuing development; performance records; scope restriction.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-23.04 | OPERATOR

Standard p. 28

Subcontractors

Check: Subcontracting shall preserve responsibility, confidentiality, competence and independence.

Evidence: Subcontract agreement; authorization; competence and access audit.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-23.05 | OPERATOR

Standard p. 29

Provider exit

Check: Suspension or termination shall include a case and data transition plan, notification to affected parties, protection of records and removal of unauthorized claims.

Evidence: Exit plan; case reassignment; mark removal; historical-result review.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-24.01 | OPERATOR

Standard p. 29

Consultation

Check: A new or materially changed AUTOID requirement shall normally receive 60 calendar days of stakeholder consultation, with at least the constitutional minimum where applicable.

Evidence: Consultation notice; balanced participation; comment disposition; release authorization.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-24.02 | OPERATOR

Standard p. 29

Pilot acceptance

Check: A pilot shall test at least two distinct regulatory routes, five independent organizations, 20 model or configuration cases and 1,000 synthetic or lawfully authorized vehicle records.

Evidence: Pilot protocol; coverage matrix; failures and remediation; independent report.

RESULT

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

Requirements & evidence

CASE / OPERATOR ASSESSMENT REFERENCE

AID-24.03 | OPERATOR | CRITICAL

Standard p. 30

Go-live criteria

Check: Operational release shall require zero open critical or major findings, closure of all applicable launch requirements, successful recovery and status-propagation tests, verified privacy controls and independent decision capacity.

Evidence: Signed go-live checklist; test results; disabled modules; approved service catalogue.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-24.04 | OPERATOR | CRITICAL

Standard p. 30

External acceptance programme

Check: Each proposed acceptance relationship shall define recipient, use case, technical and legal basis, covered outputs, review obligations, data exchange, liability, termination and public wording.

Evidence: Acceptance agreement; scope; effective conditions; approved announcement.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

AID-24.05 | OPERATOR

Standard p. 30

Expansion and review

Check: New markets, vehicle categories, automated decisions or delegated issuance shall pass the same design, competence, consultation, security and release gates proportionate to their risk.

Evidence: Expansion dossier; annual maintenance; transition plan; historical compatibility test.

RESULT

Select result

FINDING / ACTION ID

EVIDENCE / OBSERVED RESULT / N/A RATIONALE

F01 Application and object scope

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

APPLICATION REFERENCE AND DATE

APPLICANT LEGAL NAME REGISTRATION AND JURISDICTION

AUTHORIZED REPRESENTATIVE AND MANDATE REFERENCE

MANUFACTURER BRAND IMPORTER AND DATA STEWARD ROLES

REQUESTED OBJECTS MODELS VARIANTS CONFIGURATIONS AND VEHICLE CATEGORIES

MARKETS REGULATORY ROUTES INTENDED ACTION DATES AND EXCLUSIONS

SOURCE DOSSIER AND EXTERNAL IDENTIFIER REFERENCES

DECLARATION OF ACCURACY DATA RIGHTS AND CHANGE NOTIFICATION COMMITMENT

APPLICANT NAME CAPACITY SIGNATURE REFERENCE AND DATE

F02 Jurisdiction applicability and evidence row

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

CASE PROFILE VERSION TERRITORY ROUTE AND CONFIGURATION

REQUIREMENT IDENTIFIER LEGAL SOURCE EDITION AND EFFECTIVE DATE

APPLICABILITY RATIONALE OR EXEMPTION AUTHORITY AND CONDITIONS

TEST OR SOURCE METHOD ACCEPTANCE LIMIT AND DECISION RULE

EVIDENCE IDENTIFIERS ISSUER AUTHENTICATION AND CHECKED DATE

CONFIGURATION COVERAGE SAMPLE AND UNCERTAINTY WHERE RELEVANT

RESULT OPEN GAPS REVIEWER AND REQUIRED CLOSURE

F03 Evidence authentication record

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

CASE EVIDENCE REFERENCE TITLE ISSUER VERSION AND DATE

--

ORIGINAL FILE LOCATION DIGEST CLASSIFICATION AND RIGHTS

--

ISSUER AUTHORITY COMPETENCE AND SCOPE VERIFICATION

--

INDEPENDENT VERIFICATION CHANNEL AND CONFIRMATION RESULT

--

SUBJECT CONFIGURATION COVERAGE RESTRICTIONS AND CONTRADICTIONS

--

VERIFIER NAME DECISION AND DATE

--

F04 Assessment and independent decision

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

CASE CONFIGURATION PROFILES AND EXACT PROPOSED SCOPE

ASSESSMENT TEAM INDEPENDENT REVIEWER DECISION MAKER AND CONFLICTS

FINDINGS CLOSURE EVIDENCE AND JUSTIFIED EXCLUSIONS

OUTCOME IDENTITY STATE ASSESSMENT STATE CURRENCY AND EXTERNAL SOURCE STATE

APPROVED STATEMENT LIMITATIONS REVIEW DATE AND PUBLICATION FIELDS

DECISION AUTHORITY SIGNATURE REFERENCE AND DATE

NOTIFICATION DATE APPEAL DEADLINE AND VERIFICATION RELEASE CHECK

F05 Material change and carry forward

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

CHANGE REFERENCE SOURCE AFFECTED OBJECTS AND EFFECTIVE DATE

BEFORE AND AFTER HARDWARE SOFTWARE AND MANUFACTURING BASELINE

AFFECTED REQUIREMENTS EVIDENCE AND MARKET PROFILES

CARRY-FORWARD RATIONALE NEW TESTS AND AUTHORITY ACTIONS

NEW CONFIGURATION IDENTITY REVISION LINKS AND STATUS RESTRICTIONS

REVIEWER DECISION RELEASE AND RECIPIENT NOTIFICATION RECORDS

F06 Safety incident and recall action

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

INCIDENT SOURCE AWARENESS RECEIPT AND TRIAGE TIMESTAMPS

HAZARD CONFIDENCE LEVEL SOURCE AND AFFECTED POPULATION RULE

IMMEDIATE CONTAINMENT PUBLIC RESTRICTION AND STATUTORY NOTIFICATIONS

RECALL REFERENCE REMEDY REVISION AND UNIT COMPLETION EVIDENCE

INDEPENDENT REVIEW ROOT CAUSE CORRECTIVE ACTION AND CLOSURE

PUBLIC STATUS UPDATE DOWNSTREAM NOTICES AND RESPONSIBLE OFFICER

F07 Appeal and independent determination

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

APPELLANT CONTACT CASE CHALLENGED DECISION AND NOTIFICATION DATE

FILING DATE GROUNDS REQUESTED REMEDY AND EVIDENCE REFERENCES

PANEL APPOINTMENT AUTHORITY THREE MEMBERS AND CONFLICT CHECKS

INTERIM RESTRICTIONS SUBMISSIONS AND HEARING RECORD

REASONED DETERMINATION IMPLEMENTATION OWNER AND DEADLINE

PANEL SIGNATURE REFERENCES NOTIFICATION AND COMPLETED CORRECTIONS

F08 Launch authorization and accepted scope

Blank process template. Complete as a separate controlled record; attach continuation sheets when needed.

OPERATOR PROGRAMME VERSION AND ACCOUNTABLE OFFICERS

MARKETS CATEGORIES ROUTES FUNCTIONS AND EXCLUDED MODULES

CONSTITUTION AUTHORITY BUDGET INDEPENDENCE AND PROVIDER PREREQUISITES

SECURITY PRIVACY RECOVERY INTEROPERABILITY AND PILOT EVIDENCE

OPEN FINDINGS DISPOSITION AND MONITORED SERVICE TARGETS

INDEPENDENT READINESS REVIEW AND COUNCIL RESOLUTION REFERENCE

EFFECTIVE DATE PUBLICATION OWNER AND FIRST MANAGEMENT REVIEW

Final review & outcome

Record the exact approved scope and decision basis. A typed name or this completed form is not a cryptographic signature.

APPLICABLE CONTROLS AND OPERATOR PREREQUISITES REVIEWED

UNRESOLVED FINDINGS AND EXCLUDED SCOPE

APPROVED OUTCOME AND EXACT CLAIM WORDING

IDENTITY ASSESSMENT EXTERNAL SOURCE CURRENCY AND ALERT STATES

INDEPENDENT REVIEWER AND DECISION AUTHORITY REFERENCES

DECISION NOTICE APPEAL DEADLINE PUBLICATION AND NEXT REVIEW

A positive statement requires all applicable controls closed. This form records a decision; it does not confer government approval. Store authenticated signature evidence under the decision reference.

Continuation & additional evidence

Identify every continuation record and its parent control, form or finding. Retain additional sheets as separately identified evidence and preserve the link in the parent field.

CASE / FORM / CONTROL / FINDING AND CONTINUATION REFERENCE

ADDITIONAL EVIDENCE, MEASUREMENTS, RATIONALE OR VERIFICATION

CASE / FORM / CONTROL / FINDING AND CONTINUATION REFERENCE

ADDITIONAL EVIDENCE, MEASUREMENTS, RATIONALE OR VERIFICATION

CASE / FORM / CONTROL / FINDING AND CONTINUATION REFERENCE

ADDITIONAL EVIDENCE, MEASUREMENTS, RATIONALE OR VERIFICATION

PREPARED BY, REVIEWED BY AND DATED RECORD REFERENCE