

Integrated conformity-assessment checklist

240 requirements | 80 common controls | 10 categories | 12 automotive overlays | 8 internal directives

Use for ACC or REC. Record exact applicability, evidence, findings and separate scope decisions. The checklist does not itself grant approval or replace independent technical judgment.

LEGAL BODY / PROJECT / CASE / SCOPE VERSION

ROUTE, CATEGORIES, FAMILIES, DOMAINS, SITES AND INDEPENDENCE MODES

ASSESSMENT TEAM, COMPETENCE, CONFLICT CLEARANCE AND DATES

IAF CONSTITUTION, CAAR EDITION AND IMPLEMENTATION NOTICE

EVIDENCE MANIFEST, POPULATION REGISTERS AND ORIGINAL-RECORD ACCESS

SEPARATE IAF OPERATOR ASSURANCE / APPROVED APPLICABILITY RECORD

Decision rules, applicability and use

Results

Met = supported conformity. Minor = isolated lapse. Major = systemic/consequential failure. Critical = serious harm or severe integrity loss. Pending = unresolved evidence. NA = inherent non-applicability accepted with reasons. Missing resources or evidence is not NA.

Initial approval gate

ACC and REC require every applicable requirement Met and verified closure of all initial findings. No conditional initial grant and no compensating score. IAF operator controls are assessed separately; applicant declarations do not prove operator conformity.

Active-scope protection

An isolated Minor may remain open on active ACC for at most 90 calendar days only with protected validity, safety and impartiality. Major requires immediate containment and a 30-day target; Critical triggers immediate protective suspension review.

Scope and witness coverage

Apply all selected category and domain modules. Initial ACC needs physical assessment of every critical permanent site, three complete cases per family/site and a witnessed end-to-end activity for each category/family. REC reviews every output and material stage.

Case sampling

For each category/site/family stratum: all files if $N \leq 5$; otherwise at least $\max(5, \text{ceiling}(\text{square root of } N))$. Add adverse and high-risk cases and expand where evidence fails. The formula is not a statistical confidence guarantee.

Record and decide

Give 15 calendar days for factual response. Use an independent three-person decision panel, with at least two affirmative votes. Allow 30 calendar days for an IAF appeal. Use continuation for full reasons and preserve source versions.

Requirement map and applicability record

BODY / PROJECT / CASE REFERENCE

Common core CAAR-01 to CAAR-20 | 80 requirements

Category requirements TST to RMP | 80 requirements

Automotive overlays A01 to A12 | 48 requirements

Internal directives IR01 to IR08 | 32 requirements

Within the core, sections 01-18 primarily address the body; sections 19-20 and relevant directive provisions address IAF operation. Identify the responsible assessed party and independent operator-assurance evidence.

SELECTED CATEGORIES AND AUTOMOTIVE DOMAINS; EXCLUDED MODULES AND SCOPE REASONS

APPROVED NA BY REQUIREMENT, REASON, REVIEWER AND EXACT SCOPE BOUNDARY

ASSESSED BODY/OPERATOR RESPONSIBILITIES AND APPROVAL OF APPLICABILITY

Common core CAAR-01 to CAAR-20 | CAAR-01.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-01.01 | ESSENTIAL GATE

Standard p. 7

Legal responsibility

The body shall have an identifiable legal entity or a defined part whose parent accepts legal responsibility for its conformity assessment work. Verify operating permissions at each location and authority for each claimed activity. Sectoral IAF approval shall not be presented as a public appointment or statutory authorization.

Evidence: Legal identity, responsibility statement and permissions register.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-01.02 | ESSENTIAL GATE

Standard p. 7

Controlled scope

Define each requested activity by category, object, property or process, method or scheme version, range, capability, site, delivery mode and independence mode. Separate distinct legal entities. A brand name, group relationship or membership shall not extend an approved scope.

Evidence: Versioned scope schedule and group structure.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-01.03

Standard p. 7

Accountable governance

Name the executive responsible for resources and integrity, technical leaders, quality leadership and authorized decision roles. Document delegated powers and deputies. No commercial role may overrule a technical result or approval restriction.

Evidence: Responsibility matrix and delegation records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-01.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-01.04

Standard p. 7

Membership and scheme undertaking

Verify IAF membership before formal ACC or REC approval. The applicant shall accept the identified IAF Constitution and controlled CAAR edition, provide truthful access and notify adverse facts. Membership, sponsorship or committee service provides no presumption of competence or favorable decision.

Evidence: Membership reference and version-specific signed undertaking.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-02.01 | ESSENTIAL GATE

Standard p. 7

Relationship and pressure analysis

Continuously identify threats arising from ownership, common staff, consultancy, referral payments, sales targets, client concentration, gifts and personal relationships. Assess the actual influence on each service and record effective safeguards. An unresolved unacceptable threat shall prevent or stop the affected work.

Evidence: Impartiality register, ownership map and controls.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-02.02 | ESSENTIAL GATE

Standard p. 8

Truthful independence mode

Select IND, CAP or SEP for each scope row and engagement. Disclose captive and related-party work on affected outputs. MSC, PCC and PSC shall operate only as independent third parties under this programme. A structural label alone does not establish impartiality.

Evidence: Mode declaration, client relationships and report wording.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-02.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-02.03 | ESSENTIAL GATE

Standard p. 8

Consultancy and certification separation

Do not certify work that the body designed, implemented or materially consulted on for that client. Exclude personnel from evaluation, review and decision where they provided relevant consultancy within twenty-four months, and longer where the threat remains. Do not bundle certification with guaranteed consultancy outcomes.

Evidence: Client conflict screening and role exclusions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-02.04

Standard p. 8

Independent challenge

Maintain a balanced impartiality oversight mechanism with access to decisions, complaints and financial pressure indicators. No single commercial interest shall dominate. Record challenge, management responses and escalation to IAF where safeguards fail; protect members from retaliation.

Evidence: Oversight membership, minutes and escalation evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-03.01 | ESSENTIAL GATE

Standard p. 8

Integrity and truthful conduct

Prohibit fabricated work, altered results, substituted samples, bought decisions, hidden failures and misleading claims. Staff shall report suspected misconduct through protected channels. Preserve relevant evidence and prohibit retaliation, including against clients who challenge an adverse result.

Evidence: Conduct rules, declarations and protected-report process.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-03.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-03.02

Standard p. 8

Confidentiality and disclosure

Define enforceable confidentiality duties for staff, contractors, committees and external providers. Explain necessary disclosure before engagement; notify the client of compelled disclosure unless prohibited. Obtain rights to access and share assessment evidence without promising secrecy that defeats legitimate oversight.

Evidence: Agreements, disclosure log and access notices.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-03.03**

Standard p. 8

Fair and accessible service

Make eligibility and service conditions transparent and apply them consistently. Provide reasonable communication and accessibility arrangements without reducing technical requirements. Reject work only for documented competence, integrity, capacity, authority or legitimate contractual reasons.

Evidence: Service terms, access arrangements and refusal records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-03.04**

Standard p. 8

Personal and commercially sensitive data

Collect only necessary data, restrict access by role and defined purpose, control transfers and securely dispose of expired copies. Protect vehicle-owner data, employee records and unreleased designs. Record incidents and apply the more protective applicable contractual or legal obligation.

Evidence: Data inventory, access review and disposal records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-04.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-04.01 | ESSENTIAL GATE

Standard p. 9

Role-specific competence

Set demonstrable competence requirements for sampling, methods, uncertainty, inspections, audits, examinations, validation, review and decisions. Include automotive technology, failure mechanisms, safety and sector context. Qualifications and course attendance alone shall not establish authorization.

Evidence: Role criteria, competence matrix and work evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-04.02 | ESSENTIAL GATE**

Standard p. 9

Observed authorization

Assess people through observed work, technical questioning and review of completed records before independent activity. Authorize named tasks, methods, ranges, sites and limits; define supervision and deputies. Trainees shall not release results or make decisions outside supervised authority.

Evidence: Witness records and controlled authorization list.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-04.03**

Standard p. 9

Currency and monitoring

Review competence at least annually and after important method, technology or role changes. Use error trends, blind or repeat work, peer review and witnessed performance. Restrict authorization when competence is uncertain and restore it only after verified reassessment.

Evidence: Annual reviews, retraining and restriction history.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-04.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-04.04

Standard p. 9

Capacity and external personnel

Maintain enough competent personnel for realistic workload, leave, travel, witnessing and independent review. Contracted personnel shall follow the same controls and remain subject to oversight. Record workload and prevent impossible site attendance, simultaneous audits or nominal signatures.

Evidence: Capacity plan, contracts and verified time records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-05.01 | ESSENTIAL GATE

Standard p. 9

Suitable and safe facilities

Provide facilities and controlled environments appropriate to the work, including segregation, utilities, cleanliness, access and security. Define environmental limits from method validity and safety needs. Stop or qualify work when conditions invalidate results; do not merely record excursions.

Evidence: Facility plans, environment records and excursion actions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-05.02 | ESSENTIAL GATE

Standard p. 9

Equipment fitness

Identify equipment, firmware, accessories and relevant software uniquely. Verify fitness before use, after transport, repair or change and at justified intervals. Protect settings, maintain service records and prevent use of defective or overdue equipment.

Evidence: Equipment register, checks and maintenance records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-05.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-05.03 | ESSENTIAL GATE

Standard p. 9

Traceable measurement resources

Establish an unbroken documented calibration chain to an appropriate reference, with uncertainty contributions. Evaluate the competence and scope of external calibration providers. Where direct unit realization is unavailable, justify a technically suitable reference and disclose the resulting limitations.

Evidence: Calibration chain, provider evaluation and reference justification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-05.04**

Standard p. 10

Mobile and customer locations

Control environmental conditions, transport, utilities, communications, safety and equipment verification at temporary, mobile and customer sites. Record the actual location and conditions. Ownership of the site does not transfer responsibility for reliable work to the customer.

Evidence: Field procedures, dispatch checks and site records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-06.01 | ESSENTIAL GATE**

Standard p. 10

Defined criteria before work

Agree the assessment object, acceptance criteria, method or scheme version, intended use, reporting needs and decision rule before work begins. Resolve ambiguity with competent personnel. Commercial urgency shall not justify undefined pass criteria or retroactive changes made to obtain a favorable result.

Evidence: Contract review and approved technical work order.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-06.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-06.02 | ESSENTIAL GATE

Standard p. 10

Verification and validation

Verify ability to perform established methods under actual conditions. Validate new, modified, nonstandard or out-of-range methods to their intended use using predefined performance criteria. Retain original data, adverse results and limitations; independent technical approval shall precede release.

Evidence: Verification or validation plan, data and approval.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-06.03

Standard p. 10

Controlled versions and deviations

Maintain current instructions at the point of use and archive superseded versions. Approve justified deviations before use where possible, evaluate validity and obtain client agreement where relevant. Identify consequential deviations on the output. A deviation shall not silently enlarge approved scope.

Evidence: Version register, deviation log and scope-change review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-06.04 | ESSENTIAL GATE

Standard p. 10

Uncertainty and limitations

Identify measurement, sampling, model, judgment and decision uncertainty appropriate to the activity. Quantify where technically meaningful; otherwise explain important qualitative limits. Avoid unsupported precision, confidence or assurance language. Reassess uncertainty when inputs, ranges or implementation change.

Evidence: Uncertainty budgets, sensitivity studies and limitation statements.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-07.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-07.01 | ESSENTIAL GATE

Standard p. 10

Capability and authority check

Before accepting work, confirm the body has competent people, valid methods, equipment, time, independence and authority for the requested scope. Clarify which parts are within IAF approval. Obtain client agreement for outsourced work and explain responsibility and reporting status.

Evidence: Signed review, scope lookup and resource allocation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-07.02**

Standard p. 10

Risk-based work plan

Plan sampling, evaluation, witnessing, review and reporting according to safety consequence, novelty, variability, claim breadth and evidence quality. Include failure and boundary conditions, not only representative successes. Record why the selected effort can support the proposed conclusion.

Evidence: Engagement plan and risk rationale.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-07.03**

Standard p. 11

Changes and client information

Review material changes in scope, criteria, samples, software, configuration or client organization before proceeding. Evaluate reliability of client-supplied information, identify it clearly and prevent it from appearing to be independently measured or verified when it was not.

Evidence: Change approvals and client-data evaluation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-07.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-07.04

Standard p. 11

Responsibility and communication

Identify technical contacts, site arrangements, confidentiality, access to evidence, deliverables, review rights and incident communication. Clients shall not veto valid adverse findings. No contract may waive safety, independence, result validity or truthful status requirements.

Evidence: Contract provisions and communication trail.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-08.01 | ESSENTIAL GATE

Standard p. 11

Sampling design

Define the population, sampling unit, selection method, sample size, locations, timing and inferential limits. Control representativeness and bias, document deviations and include sampling uncertainty where relevant. A convenience sample shall not support an unsupported whole-batch or fleet conclusion.

Evidence: Sampling plan, population description and selection records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-08.02 | ESSENTIAL GATE

Standard p. 11

Object and configuration identity

Maintain unique identity from receipt through disposal, linking vehicles, components, batches, hardware, software, datasets and relevant build configuration. Record condition, seals, damage and discrepancies. Resolve identity conflicts before issuing conclusions.

Evidence: Receipt records, configuration manifest and custody trail.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-08.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-08.03

Standard p. 11

Handling and preservation

Control transport, storage, preparation, conditioning, environmental exposure and access to preserve the measurand or assessment object. Define acceptance, rejection, retention and disposal rules. Record compromised items and obtain instructions without disguising the impact on validity.

Evidence: Handling procedures and exception records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-08.04 | ESSENTIAL GATE

Standard p. 11

Custody transfer and digital evidence

Record each material transfer or transformation, responsible person, time and reason. Preserve originals or controlled immutable copies of digital evidence with integrity checks. A hash confirms byte consistency only; corroborate origin, completeness and collection conditions independently.

Evidence: Transfer log, evidence inventory and integrity verification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-09.01 | ESSENTIAL GATE

Standard p. 11

Reconstructable technical records

Record observations, raw data, calculations, conditions, operators, equipment, methods, dates and review evidence sufficiently to reconstruct the work. Capture records at the time of activity. Retain failed runs, invalidations and reasons; never replace them with only a final favorable result.

Evidence: Original data, audit trail and calculation files.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-09.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-09.02 | ESSENTIAL GATE

Standard p. 12

Controlled execution

Follow authorized methods and safe work instructions. Confirm setup, readiness, reference checks and stop criteria. Record anomalies and deviations as they occur. Witnessing shall observe actual competence and conditions, not a rehearsed explanation disconnected from the assessed work.

Evidence: Execution logs and actual witness evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-09.03

Standard p. 12

Calculations and transfers

Validate spreadsheets, scripts, unit conversions, instrument interfaces and manual transcription before use and after changes. Independently check critical calculations and data transfers. Retain versions, test cases, permissions and error handling.

Evidence: Calculation validation and transfer checks.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-09.04 | ESSENTIAL GATE

Standard p. 12

Original evidence access

Provide assessors lawful access to relevant original records, people, sites and systems, including failed or withdrawn cases. Redaction shall preserve assessability. Where adequate access cannot be obtained, record Pending and withhold the affected approval or claim.

Evidence: Access arrangements and limitations record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-10.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-10.01 | ESSENTIAL GATE

Standard p. 12

Internal quality assurance

Use appropriate controls such as reference checks, duplicates, blind items, remeasurement, control charts, peer assessment and known challenge cases. Define acceptance and trend rules before reviewing outcomes. Investigate deterioration before routine results become unreliable.

Evidence: Control plan, trends and investigations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-10.02 | ESSENTIAL GATE**

Standard p. 12

External performance challenge

Plan competent proficiency testing, intercomparisons, peer witnessing or other technically justified external challenges across the approved scope. Participate before initial approval where a suitable opportunity exists; justify alternatives and limitations where none exists. No participation certificate alone proves satisfactory performance.

Evidence: Coverage plan, performance reports and alternative justification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-10.03 | ESSENTIAL GATE**

Standard p. 12

Adverse performance response

Investigate unsatisfactory comparisons or control failures, contain affected activity and review previously issued outputs back to the last demonstrated valid condition. Correct causes and demonstrate effectiveness before resuming unqualified release. Inform affected users where confidence is materially reduced.

Evidence: Cause analysis, impact review and recovery evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-10.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-10.04

Standard p. 12

Scope-wide coverage

Maintain a rolling comparison and validity plan for every technical family, reviewed annually. Cover high-consequence families at least annually and all other families within the four-year accreditation cycle, using justified alternatives where necessary. Add challenge after major technical or personnel changes.

Evidence: Family coverage matrix and annual review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-11.01 | ESSENTIAL GATE

Standard p. 13

Competent review before release

Review completeness, technical validity, calculations, deviations, scope status and conclusion wording before release. The reviewer shall be authorized for the activity. For safety-critical or novel work, require a technically competent reviewer independent of the performer.

Evidence: Review checklist, authority and release evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-11.02 | ESSENTIAL GATE

Standard p. 13

Independent certification and assurance decisions

MSC, PCC and PSC decisions shall be made by authorized persons not involved in evaluation. VVB final conclusions require independent review before issue. The body retains decision responsibility and shall not outsource certification decisions. Commercial staff cannot substitute for a technical decision.

Evidence: Evaluation-review-decision separation and decision records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-11.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-11.03 | ESSENTIAL GATE

Standard p. 13

Complete and bounded outputs

Identify the issuer, unique output and revision, client, object/configuration, actual work dates, location, methods, results, decision rule, limitations and authorized release. Clearly separate measured data, supplied information, opinions and conclusions. State the exact IAF route and scope only where permitted.

Evidence: Controlled report templates and issued samples.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-11.04 | ESSENTIAL GATE**

Standard p. 13

Amendments and contradictory evidence

Issue identifiable corrections linked to the original, explaining changes and impact. Do not silently overwrite, backdate or reuse an output identifier for different work. Notify known recipients and update verification status when a conclusion is withdrawn, superseded or materially qualified.

Evidence: Amendment chain, recipient notices and status history.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-12.01 | ESSENTIAL GATE**

Standard p. 13

Provider selection and control

Evaluate external providers for the exact delegated activity, competent personnel, methods, independence and reliable evidence. Define requirements contractually and monitor performance. Approval logos or price alone are insufficient. The contracting body remains responsible for appropriate use of their work.

Evidence: Provider scope evaluation, contracts and monitoring.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-12.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-12.02 | ESSENTIAL GATE

Standard p. 13

Transparent external work

Obtain required client agreement, identify externally performed work and its approval status in the report, and retain sufficient evidence for review. Do not apply the body approval to work outside its own authorized scope or hide subcontracting within a combined report.

Evidence: Client agreement, subcontract records and output labels.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-12.03 | ESSENTIAL GATE

Standard p. 13

Branches, agents and franchises

Control each location and agent that performs critical assessment, review, decision or release functions. Verify contracts, local authority, competence and data access. A franchise, shared brand or representative office shall not issue IAF-endorsed work without a specifically approved scope.

Evidence: Network map and location-specific authority.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-12.04

Standard p. 14

Purchases and reference inputs

Specify acceptance criteria for reagents, components, reference materials, cloud services and data used in assessment. Verify identity, fitness and storage conditions before use. Control supplier changes and counterfeit or contaminated inputs.

Evidence: Purchasing specifications and incoming verification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-13.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-13.01 | ESSENTIAL GATE

Standard p. 14

Access and secure operation

Protect technical systems with individual accounts, least privilege, strong authentication, change logs, backups and tested recovery. Separate production records from development experiments. Assess vulnerabilities and control remote access to vehicles, laboratories and client systems.

Evidence: Access records, security review and recovery tests.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-13.02 | ESSENTIAL GATE**

Standard p. 14

Automation and artificial intelligence

Define the intended use, training or reference data, validation limits, performance monitoring and human accountability for automated tools. Check bias, hallucination, drift and adversarial manipulation as relevant. Automated output shall not be the sole basis of an accreditation, certification or disputed adverse decision.

Evidence: Model/tool register, validation and human review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-13.03 | ESSENTIAL GATE**

Standard p. 14

Virtual and software-defined evidence

Bind simulation and digital-twin results to a validated model, version, parameters, data provenance and operating domain. Establish physical correlation and uncertainty appropriate to the claim. Separate virtual prediction from physical observation and reassess after software or model changes.

Evidence: Model credibility case and correlation evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-13.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-13.04

Standard p. 14

Portable verifiable records

Use stable identifiers and exportable records with controlled status and revision history. Cryptographic signatures, timestamps and verification links shall be tested and governed through key management. A readable manual verification path shall remain available during platform disruption.

Evidence: Record schema, signature validation and fallback procedure.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-14.01 | ESSENTIAL GATE

Standard p. 14

Immediate control of invalid work

Authorize personnel to stop unsafe or unreliable activity. Identify affected jobs, outputs, equipment and people; quarantine evidence and prevent release. Record the scope of containment and technical rationale. Commercial loss shall not justify continuing an invalid process.

Evidence: Stop-work register and containment evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-14.02 | ESSENTIAL GATE

Standard p. 14

Retrospective impact

Determine the earliest potentially affected date and evaluate all relevant prior outputs, not only the case that exposed the problem. Assess user reliance and safety consequence; notify affected clients and IAF promptly where approval or report trust is implicated.

Evidence: Look-back analysis and notification record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-14.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-14.03

Standard p. 15

Corrective action and effectiveness

Distinguish correction from root-cause action. Set owners and dates, address systemic causes and independently verify effectiveness using real work or meaningful challenge. A revised procedure or training attendance alone shall not close a technical failure.

Evidence: Cause analysis and independent effectiveness evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-14.04 | ESSENTIAL GATE

Standard p. 15

Serious incident notice

Notify IAF within forty-eight hours after awareness of suspected systemic falsification, serious safety harm, major evidence compromise or loss of relevant authority. Preserve evidence, contain work immediately and provide updates. Other material changes shall be notified before implementation or within thirty calendar days if unexpected.

Evidence: Incident timeline and change notifications.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-15.01

Standard p. 15

Controlled documents and records

Maintain an accessible management system covering actual activities, responsibilities and interactions. Approve, identify and control documents and records; preserve revision and retention history. Do not treat a generic manual as evidence that technical processes operate effectively.

Evidence: Document register and implemented records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-15.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-15.02

Standard p. 15

Internal audit

Audit every applicable core and technical module over a twelve-month programme, with competent auditors independent of their own work. Include actual outputs, witness records, impartiality and digital controls. Follow findings through verified effectiveness, including recurrence analysis.

Evidence: Audit programme, reports and closure verification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-15.03

Standard p. 15

Management review

Conduct a documented review at least annually covering competence, resources, validity indicators, conflicts, complaints, incidents, external performance, scope changes and improvement. Assign resourced actions and verify their completion. Include uncomfortable trends and failed cases.

Evidence: Review inputs, decisions and follow-up.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-15.04

Standard p. 15

Measured improvement

Define meaningful indicators with denominators and limitations, including corrected reports, overdue reviews, complaints, failed comparisons and unauthorized work. Use trends to improve reliability. Do not reward staff solely for pass rates, certificate volume, speed or absence of complaints.

Evidence: Indicator definitions and verified improvements.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-16.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-16.01

Standard p. 15

Accessible complaint handling

Publish a process for clients, workers and affected users to raise concerns, including confidential or anonymous concerns where assessable. Acknowledge, evaluate, investigate and communicate outcomes through competent persons free of the alleged conduct. Preserve evidence and prevent retaliation.

Evidence: Complaint process and anonymized case records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-16.02 | ESSENTIAL GATE

Standard p. 16

Appeals against body decisions

Allow a timely, reasoned challenge to certification, inspection or other consequential decisions. Use persons not involved in the original evaluation or decision, disclose conflicts and provide a response opportunity. Keep appeals distinct from ordinary complaints and from appeals against IAF accreditation decisions.

Evidence: Appeal rules, panel independence and reasons.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-16.03 | ESSENTIAL GATE

Standard p. 16

Protected escalation and external cooperation

Enable concerns to reach IAF without filtering by management of the body. Cooperate with legitimate inquiries and preserve relevant records. Do not require withdrawal of a complaint as a condition of service or threaten access to qualification, employment or supply contracts.

Evidence: Escalation channels and non-retaliation controls.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-16.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-16.04

Standard p. 16

Learning and closure

Record the substantiated facts, actions, affected outputs and verification of remedy. Inform the complainant within lawful confidentiality limits and explain further review routes. Analyze complaint and appeal patterns for systemic weaknesses without publishing unnecessary personal data.

Evidence: Case closure and trend review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-17.01 | ESSENTIAL GATE

Standard p. 16

Precise approved wording

Use IAF Approved Body - CAAR Accredited only for active ACC scope. REC outputs shall say IAF CAAR Recognized Assessment Record and identify the project and output. Do not describe membership, a pending application or REC as body accreditation.

Evidence: Approved wording, public pages and scope links.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-17.02 | ESSENTIAL GATE

Standard p. 16

Report endorsement controls

Endorse only eligible work within the approved entity, category, site, method, range and dates. Separate excluded or externally performed results visibly. The IAF mark on a report attests to the approved service scope, not to blanket vehicle or product certification.

Evidence: Endorsement review and mixed-scope report controls.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-17.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-17.03

Standard p. 16

Monitoring and change

Submit annual ACC performance information within thirty calendar days of the approval anniversary and permit surveillance. Obtain prior approval for scope extensions and material changes to methods, sites, legal control or independence. Work shall remain within the current scope while changes are assessed.

Evidence: Annual report and change-control register.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-17.04 | ESSENTIAL GATE

Standard p. 16

Suspension, withdrawal and expiry

Stop new endorsed release for affected scope when suspended, withdrawn or expired, including reissue that implies current approval. Preserve valid historical facts and identify any affected prior outputs. Cooperate with public status updates, client communication and orderly records custody.

Evidence: Status controls, affected-output review and cessation evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-18.01

Standard p. 17

Resources and liability arrangements

Maintain financial and operational resources and appropriate liability arrangements proportionate to the work and potential consequences. Document how claims, retesting, record recovery and continuity will be handled. Liability arrangements shall not substitute for competence or remove client remedies.

Evidence: Resource plan and liability assessment.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-18.02 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-18.02

Standard p. 17

Continuity and safe recovery

Identify dependencies on facilities, people, utilities, data and suppliers. Test recovery at least annually for critical processes. Resume work only after technical readiness and integrity checks; disclose interruptions that affect sample condition, validity or promised surveillance.

Evidence: Continuity plan and recovery exercise.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-18.03**

Standard p. 17

Retention and legal holds

Retain case records, technical evidence, decisions and output revision history for at least ten years after output issue or the end of the related certificate, whichever is later, unless a longer binding obligation applies. Minimize personal data, document justified exceptions and preserve records subject to a hold.

Evidence: Retention schedule, archive access and hold register.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-18.04**

Standard p. 17

Closure and transfer

Before closure or transfer, arrange secure records custody, status communication and unresolved complaint handling. Confirm any successor has lawful access and competence; accreditation does not transfer automatically. Maintain a reachable verification contact for retained historical outputs.

Evidence: Exit plan and transfer accountability.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-19.01 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-19.01 | ESSENTIAL GATE

Standard p. 17

Competent independent assessment

IAF shall appoint a team covering the requested categories and automotive risks, with at least a lead assessor and one technical assessor. Resolve conflicts and assign additional expertise as necessary. Assess actual performance and original evidence; document all unassessed limits.

Evidence: Team competence, conflicts, plan and witness records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-19.02 | ESSENTIAL GATE**

Standard p. 17

Non-compensatory approval

IAF shall grant ACC or REC only when every applicable requirement is Met and scope competence is demonstrated. Minor, Major, Critical or Pending findings shall be closed or the affected scope excluded through a justified decision. Strength elsewhere cannot offset failed safety, integrity or competence.

Evidence: Requirement matrix, closure evidence and exact scope.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-19.03 | ESSENTIAL GATE**

Standard p. 17

Independent decision and appeal

A three-person IAF decision panel, independent of the assessment, shall make approval and status decisions with at least two affirmative votes. An appeal uses three different uninvolved persons. Record competence, conflicts, reasons and dissent; leadership or commercial influence cannot direct an outcome.

Evidence: Panel appointments, votes and reasoned decisions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-19.04 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-19.04 | ESSENTIAL GATE

Standard p. 18

Transparent status and due process

Issue a reasoned decision with exact scope, dates, obligations and appeal route. Give fifteen calendar days to respond to draft factual findings, except urgent interim protection. Allow thirty calendar days to appeal the notified IAF decision; appeal does not automatically stay necessary protective action.

Evidence: Draft response, decision notice and appeal record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-20.01 | ESSENTIAL GATE**

Standard p. 18

Independent programme oversight

IAF shall maintain balanced governance, competent scheme management and independent integrity oversight. Separate member services and revenue from technical judgments. Audit programme operation annually and commission an independent effectiveness evaluation at least every four years.

Evidence: Governance charter, audits and evaluation actions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**CAAR-20.02 | ESSENTIAL GATE**

Standard p. 18

Public registry and correction

Maintain a verifiable register of legal bodies, scope rows, sites, decision IDs, effective and expiry dates, independence modes and status history. Publish protective status changes promptly, normally within two business days. Expose only necessary data and retain a manual verification route.

Evidence: Registry records, publication timestamps and correction trail.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Common core CAAR-01 to CAAR-20 | CAAR-20.03 onwards

BODY / PROJECT / CASE REFERENCE

CAAR-20.03

Standard p. 18

Acceptance by evidence and agreement

Develop actual acceptance through transparent criteria, competent peer challenge, pilots and documented user or authority arrangements. Record geography, activity, output and limitations of each arrangement. Do not assert universal legal recognition or infer acceptance from a logo or membership alone.

Evidence: Acceptance register, pilot results and specific agreements.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAAR-20.04

Standard p. 18

Controlled innovation and revision

Review emerging technology, incidents and stakeholder feedback at least annually. Pilot new methods and modules with explicit limits before approval. Publish prospective transition rules, protect existing users and preserve prior decisions; no silent retroactive change to assessment criteria.

Evidence: Development record, consultation and transition notice.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | TST-01 onwards

BODY / PROJECT / CASE REFERENCE

TST-01 | ESSENTIAL GATE

Standard p. 19

Testing scope and readiness

Describe materials, vehicles or systems tested, characteristics, methods, ranges, detection or reporting limits and locations. Demonstrate all enabling tasks, including conditioning and sampling where included. A laboratory shall not list a test solely because it owns equipment or plans to recruit staff.

Evidence: Method capability matrix and completed work.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

TST-02 | ESSENTIAL GATE

Standard p. 19

Method performance

Select performance characteristics appropriate to intended use, including accuracy, precision, selectivity, recovery, robustness and range where relevant. Use predefined acceptance criteria and representative matrices. Explain limitations of destructive tests, categorical observations and non-repeatable vehicle events.

Evidence: Validation data and method approval.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

TST-03 | ESSENTIAL GATE

Standard p. 19

Sampling and preparation

Control representativeness, sample preparation, conditioning, contamination and identification. State whether the laboratory sampled the item. Where a customer supplied the sample, conclusions shall normally apply to the submitted item unless a justified broader sampling design is verified.

Evidence: Sampling and preparation records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | TST-04 onwards

BODY / PROJECT / CASE REFERENCE

TST-04 | ESSENTIAL GATE

Standard p. 19

Measurement uncertainty

Evaluate uncertainty using relevant contributions, including calibration, preparation, sampling where applicable, repeatability and environment. Use experimental performance data where appropriate. Report uncertainty where needed for interpretation, conformity decisions, client agreement or method requirements.

Evidence: Budget, performance studies and reports.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

TST-05 | ESSENTIAL GATE

Standard p. 20

Run validity and control

Define batch/run acceptance, blanks, references, duplicates and system suitability as appropriate. Preserve outliers and apply predeclared exclusion rules. Repeated testing until a pass is obtained shall not replace investigation of the original failure.

Evidence: Run records and rejection investigations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

TST-06 | ESSENTIAL GATE

Standard p. 20

Conformity statements

Agree a decision rule, applicable specification and treatment of uncertainty before testing. Explain whether results alone or guarded acceptance limits determine a pass. Identify inconclusive results where evidence cannot support a binary conclusion. Do not claim a universal risk probability without its assumptions.

Evidence: Contract rule and worked decisions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | TST-07 onwards

BODY / PROJECT / CASE REFERENCE

TST-07

Standard p. 20

Opinions and interpretations

Separate factual test results from interpretation. Authorize personnel for opinions, document their basis and disclose limits. A test report shall not imply continuing product certification or type approval merely because the tested specimen passed.

Evidence: Interpretation authority and bounded reports.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

TST-08 | ESSENTIAL GATE

Standard p. 20

Automotive configuration control

Bind results to the tested hardware, software, calibration files, tires, load, fuel or energy state and conditioning as relevant. Identify modifications and engineering samples. Justify any extension to variants; untested configurations shall not inherit a result without an approved technical basis.

Evidence: Configuration manifest and variant analysis.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAL-01 | ESSENTIAL GATE

Standard p. 20

Capability expression

Define measurand, instrument types, method, range, location and the best demonstrable expanded uncertainty under stated conditions. Do not advertise capability below supported evidence. Actual customer results shall reflect the particular item and conditions, including worse performance where relevant.

Evidence: Capability schedule and uncertainty demonstration.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | CAL-02 onwards

BODY / PROJECT / CASE REFERENCE

CAL-02 | ESSENTIAL GATE

Standard p. 20

Traceability and reference hierarchy

Maintain competent calibration of reference equipment and documented traceability with uncertainty through each significant link. Control intermediate checks and drift. In-house calibration requires equivalent technical competence and evidence; it is not exempt because no commercial certificate is issued.

Evidence: Reference hierarchy and in-house calibration records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAL-03 | ESSENTIAL GATE

Standard p. 20

Uncertainty budgets

Model significant contributions, distributions, sensitivity and correlation. State combined and expanded uncertainty, coverage factor and coverage basis. Review the budget for each range and implementation. Unsupported universal factors or copied supplier specifications shall not substitute for evaluated uncertainty.

Evidence: Budget calculations and review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAL-04 | ESSENTIAL GATE

Standard p. 21

Item condition and adjustment

Record receipt condition and distinguish as-found results, adjustments or repair, and as-left results. Preserve evidence of out-of-tolerance conditions and notify the client of implications. Calibration shall not silently erase evidence of earlier instrument error.

Evidence: As-found/as-left records and client notice.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | CAL-05 onwards

BODY / PROJECT / CASE REFERENCE

CAL-05

Standard p. 21

Calibration procedure

Control warm-up, alignment, loading, stabilization, environmental corrections, reference reading sequence and repeatability as applicable. Confirm transport effects for field calibration. Document deviations and support any correction applied to a customer result.

Evidence: Procedure and contemporaneous observations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAL-06 | ESSENTIAL GATE

Standard p. 21

Certificate content

Report actual results, units, uncertainty, traceability basis, conditions, method, date and authorized release. Distinguish calibration from adjustment and conformity. Recommend a recalibration interval only under a justified agreement; the user retains responsibility for its instrument programme.

Evidence: Issued calibration certificates.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

CAL-07 | ESSENTIAL GATE

Standard p. 21

Conformity and instrument use

Apply an agreed rule when judging an instrument against a tolerance. Explain uncertainty and guard bands and avoid equating calibration with general fitness for every use. Evaluate which functions and ranges were actually calibrated.

Evidence: Decision rule and scope-limited statements.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | CAL-08 onwards

BODY / PROJECT / CASE REFERENCE

CAL-08 | ESSENTIAL GATE

Standard p. 21

Comparison and drift monitoring

Use competent intercomparisons or justified alternatives and monitor long-term reference stability. Investigate unexpected drift, recalculate relevant uncertainty and review affected customer results back to the last supported valid state.

Evidence: Comparison reports and drift investigations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-01 | ESSENTIAL GATE

Standard p. 21

Inspection purpose and independence

Define object, stage, criteria, type of judgment and independence mode for each inspection. Disclose whether the body is independent, captive or related to the object owner or manufacturer. Do not describe an internal acceptance check as independent third-party approval.

Evidence: Inspection scheme and relationship record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-02 | ESSENTIAL GATE

Standard p. 21

Professional judgment competence

Authorize inspectors for the specific technologies, defect mechanisms, methods and decisions they use. Assess judgment using observed inspections and technically challenging cases. Keep objective acceptance criteria distinct from expert judgment and record the rationale for discretionary conclusions.

Evidence: Witness records and judgment case review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | INSP-03 onwards

BODY / PROJECT / CASE REFERENCE

INSP-03

Standard p. 22

Inspection instructions

Use adequate instructions for selection, examination, measurement, acceptance and defect classification. Define inaccessible areas, disassembly limits and safe stopping conditions. Where judgment supplements instructions, ensure consistency and technical review.

Evidence: Instructions and completed check records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-04 | ESSENTIAL GATE

Standard p. 22

Object state and extent

Identify the vehicle, installation, equipment or batch and actual condition at inspection. Record coverage, hidden or inaccessible features, sample basis and restrictions. An inspection certificate shall not imply every component was examined when access or sampling was limited.

Evidence: Identity, coverage and limitation evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-05 | ESSENTIAL GATE

Standard p. 22

Equipment and supporting tests

Control inspection instruments and calibrations where measurement affects conclusions. Evaluate laboratory results used as inputs, including scope, configuration and timing. Inspection approval does not automatically cover the competence of all supporting testing.

Evidence: Equipment checks and input evaluation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | INSP-06 onwards

BODY / PROJECT / CASE REFERENCE

INSP-06 | ESSENTIAL GATE

Standard p. 22

Site safety and intervention

Assess hazards at client sites and retain authority to stop. Do not cause unsafe operation merely to observe a fault. Record any repair, adjustment or intervention and preserve independence of the subsequent judgment.

Evidence: Site risk review and intervention log.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-07 | ESSENTIAL GATE

Standard p. 22

Inspection reports

Describe what was inspected, when, against which criteria, by whom and with what limitations. Identify defects, measured data, professional opinions and conclusions separately. State whether the conclusion applies only at the observed time or to a justified defined period.

Evidence: Report templates and completed inspections.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

INSP-08

Standard p. 22

Consistency and monitoring

Monitor inspectors through witnessed work, repeat inspections, case comparison and complaints. Resolve inconsistent judgments, review affected reports and provide technical calibration of decisions. Rotate or independently review high-risk recurring client assignments.

Evidence: Monitoring plan and consistency studies.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | MSC-01 onwards

BODY / PROJECT / CASE REFERENCE

MSC-01 | ESSENTIAL GATE

Standard p. 22

Scheme and sector competence

Define each management-system scheme, requirement set, sector boundaries and certification cycle. Establish competence for contract review, team selection, auditing, technical review and decision, including automotive production and service risks. Accreditation for one scheme shall not imply authority for another.

Evidence: Scheme dossier and role competence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

MSC-02 | ESSENTIAL GATE

Standard p. 23

Audit programme and duration

Determine audit effort from organizational size, complexity, sites, shifts, outsourcing, hazards and prior performance. Retain a documented calculation and reasons for adjustments. Travel, breaks and unsupported remote activity shall not be counted as productive audit time.

Evidence: Duration calculation and programme.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

MSC-03 | ESSENTIAL GATE

Standard p. 23

Initial readiness and implementation audits

Conduct a readiness review of scope, system design, internal audit and management review before a distinct implementation assessment. Resolve readiness obstacles and assess actual operation, process interactions and effectiveness. A document review alone shall not support initial certification.

Evidence: Readiness and implementation evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | MSC-04 onwards

BODY / PROJECT / CASE REFERENCE

MSC-04 | ESSENTIAL GATE

Standard p. 23

Multi-site and shift coverage

Justify any site sampling using a common controlled system, comparable activities, central control and risk. Visit all unique or high-risk activities and cover relevant shifts. A headquarters visit does not automatically certify other locations; public scope shall identify included sites.

Evidence: Site population, selection and shift evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

MSC-05 | ESSENTIAL GATE

Standard p. 23

Findings and independent decision

Base findings on traceable evidence and defined requirements, with significance justified. Verify closure of all initial-certification nonconformities before grant. A competent decision-maker independent of auditing shall review sufficiency, scope and unresolved risks; no sales role may direct certification.

Evidence: Findings, closure and decision record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

MSC-06 | ESSENTIAL GATE

Standard p. 23

Surveillance and recertification

Use a certification cycle not exceeding three years, surveillance at least annually and recertification before expiry. Monitor changes, complaints, performance and continued implementation. No automatic extension is permitted while recertification remains incomplete. The body accreditation cycle is separately four years.

Evidence: Client cycle plan and surveillance records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | MSC-07 onwards

BODY / PROJECT / CASE REFERENCE

MSC-07 | ESSENTIAL GATE

Standard p. 23

Certificate and transfer controls

Identify the legal client, system, requirement edition, activities, sites, dates and certificate status. Evaluate authenticity and current validity before accepting transferred certification; resolve open adverse issues. A management-system certificate shall not appear to certify every product or shipment.

Evidence: Certificates and transfer evaluation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

MSC-08 | ESSENTIAL GATE

Standard p. 23

Suspension and restoration

Define and apply suspension, scope reduction and withdrawal rules. Require clients to stop misleading claims and preserve public status history. Restore only after verified correction and independent decision, without backdating an unapproved gap.

Evidence: Status actions and restoration evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PCC-01 | ESSENTIAL GATE

Standard p. 24

Controlled certification scheme

Define object, requirements, selection, evaluation methods, review, decision, surveillance, validity and mark rules before offering certification. Distinguish single batch, type evaluation, ongoing production, process and service certification. A test report alone shall not be renamed a certification scheme.

Evidence: Scheme specification and technical validation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PCC-02 onwards

BODY / PROJECT / CASE REFERENCE

PCC-02 | ESSENTIAL GATE

Standard p. 24

Representative selection

Control specimen, batch and variant selection against the scheme purpose. Prevent applicant-selected golden samples from concealing production variability. Record family grouping, worst-case rationale and exclusions. Samples shall remain linked to the certified design or production scope.

Evidence: Selection plan and variant evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PCC-03 | ESSENTIAL GATE

Standard p. 24

Evaluation resources

Use competent testing, inspection and audit resources for each required activity. Review external evidence for identity, currency, methods, limits and independence. Accreditation of an input provider does not remove the responsibility of the certification body to evaluate suitability.

Evidence: Evaluation plan and input acceptance.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PCC-04 | ESSENTIAL GATE

Standard p. 24

Review and certification decision

Assign review and certification decision to authorized people not involved in the evaluation. Confirm all scheme requirements and nonconformity closures before grant. Retain responsibility for the decision within the body; subcontracted evaluation shall not become subcontracted decision-making.

Evidence: Independent review and decision authorization.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PCC-05 onwards

BODY / PROJECT / CASE REFERENCE

PCC-05 | ESSENTIAL GATE

Standard p. 24

Production and service continuity

Where the claim covers continuing output, evaluate production or service controls and perform risk-based surveillance at least annually. Include market or production sampling where justified. A batch-only scheme shall clearly exclude future batches and ongoing-production assurance.

Evidence: Surveillance design and actual evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PCC-06 | ESSENTIAL GATE

Standard p. 24

Changes and variants

Require notice of material design, software, materials, process, supplier or site changes. Evaluate their effect before extending certification. Maintain the exact approved variant list; unsupported equivalence between configurations is prohibited.

Evidence: Change assessment and controlled scope.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PCC-07 | ESSENTIAL GATE

Standard p. 24

Certificates and mark license

Tie certificates and permitted marks to the specific object, scheme, variants, dates and status. Establish enforceable use rules and investigate misuse. The CAAR body approval mark shall not be used as the product certification mark.

Evidence: Certificate, mark license and monitoring.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PCC-08 onwards

BODY / PROJECT / CASE REFERENCE

PCC-08 | ESSENTIAL GATE

Standard p. 25

Unsafe or nonconforming certified output

Investigate credible adverse evidence, contain further certification and decide on suspension, withdrawal or scope restriction. Notify affected parties and cooperate with applicable product-safety action without claiming to exercise public recall powers. Preserve traceable reasons and status history.

Evidence: Incident review and protective decisions.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-01 | ESSENTIAL GATE

Standard p. 25

Occupational competence scheme

Base the scheme on a documented analysis of automotive tasks, responsibilities, hazards and required competence. Define prerequisites, assessment methods, pass criteria, scope and recertification. Consult balanced technical and user interests and review changes in technology and practice.

Evidence: Role analysis and scheme blueprint.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-02 | ESSENTIAL GATE

Standard p. 25

Training and assessment independence

Do not make purchase of training supplied by the body a condition of certification. Control conflicts involving trainers, employers and examiners; a trainer shall not be the sole assessor of their own learners. Separate commercial training targets from competence decisions.

Evidence: Conflict controls and candidate access rules.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PSC-03 onwards

BODY / PROJECT / CASE REFERENCE

PSC-03

Standard p. 25

Fair candidate access

Verify identity, prerequisites and consent; offer reasonable accommodations that preserve the competence being assessed. Publish clear rules for resits, misconduct, complaints and appeals. Language or access arrangements shall not silently alter safety-critical requirements.

Evidence: Candidate rules and accommodation records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-04 | ESSENTIAL GATE

Standard p. 25

Valid examination and practical assessment

Map assessment tasks to the competence blueprint and test authentic individual performance. Use observed practical assessment for claimed hands-on skills, including safe response to abnormal conditions. A written test or attendance record alone shall not establish practical competence.

Evidence: Blueprint, rubrics and observed performance.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-05 | ESSENTIAL GATE

Standard p. 25

Secure and reliable assessment

Control item banks, examination versions, invigilation, remote identity and cheating risks. Evaluate scoring consistency and examiner competence; moderate borderline and disputed outcomes. Automated misconduct detection shall be corroborated before adverse action.

Evidence: Security controls and reliability review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PSC-06 onwards

BODY / PROJECT / CASE REFERENCE

PSC-06 | ESSENTIAL GATE

Standard p. 25

Independent competence decision

Use authorized decision-makers independent of training and assessment for the individual case. Require every mandatory competence and safety gate to be met; scores shall not average away unsafe performance. Record reasons and permitted work limitations.

Evidence: Competence matrix and decision record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-07 | ESSENTIAL GATE

Standard p. 26

Credential and recertification

Issue a verifiable individual credential with scheme, competence scope, limits, effective and expiry dates. The certification term shall not exceed three years. Recertification shall demonstrate continued practice and competence, not payment or training attendance alone.

Evidence: Credential register and recertification evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PSC-08 | ESSENTIAL GATE

Standard p. 26

Misuse and loss of competence

Investigate misuse, serious incidents and credible evidence of lost competence. Apply proportionate restrictions or suspension with due process, update status and protect personal data. A competence certificate does not itself provide a statutory occupational license.

Evidence: Misuse investigations and status history.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | VVB-01 onwards

BODY / PROJECT / CASE REFERENCE

VVB-01 | ESSENTIAL GATE

Standard p. 26

Claim and intended use

Identify the responsible claimant, assertion, period, organizational boundary, users and intended decision. Distinguish prospective validation from historical verification. Define criteria, assurance level, materiality and limitations before acceptance; do not mix these concepts in a generic assurance statement.

Evidence: Engagement specification and claim version.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

VVB-02 | ESSENTIAL GATE

Standard p. 26

Competence and impartiality

Assign competence in the claim subject, data systems, evidence methods and automotive context. Disclose relationships and do not independently verify a claim the body materially prepared. Separate technical assistance on process from authorship of the assessed assertion.

Evidence: Competence and conflict evaluation.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

VVB-03 | ESSENTIAL GATE

Standard p. 26

Strategic and risk analysis

Understand the claim process, sources, controls, estimation methods and likely misstatement pathways. Assess inherent and control risks, including omitted sources, boundary manipulation and double counting. Use this analysis to design an evidence-gathering plan.

Evidence: Risk analysis and evidence plan.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | VVB-04 onwards

BODY / PROJECT / CASE REFERENCE

VVB-04 | ESSENTIAL GATE

Standard p. 26

Materiality and evidence sufficiency

Define quantitative and qualitative materiality, aggregation and qualitative overrides. Obtain sufficient appropriate evidence through source checks, recalculation, interviews, site work and independent corroboration. A lower assurance level shall not excuse known material misstatement or unverifiable core data.

Evidence: Materiality rationale and source testing.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

VVB-05 | ESSENTIAL GATE

Standard p. 26

Estimates and future claims

Evaluate assumptions, uncertainty, scenarios and sensitivity for forecasts, models or lifecycle claims. Identify evidence that could falsify the claim. Validation shall explain plausibility and conditions; it shall not promise that a future result will occur.

Evidence: Model/estimate review and sensitivity evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

VVB-06 | ESSENTIAL GATE

Standard p. 27

Findings and independent review

Resolve material discrepancies and document uncorrected issues before conclusion. A competent reviewer not involved in the engagement shall evaluate evidence sufficiency, reasoning, assurance wording and limits. Withhold or qualify a conclusion when evidence cannot support it.

Evidence: Review record and unresolved-issue treatment.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | VVB-07 onwards

BODY / PROJECT / CASE REFERENCE

VVB-07 | ESSENTIAL GATE

Standard p. 27

Statement content

Identify the exact claim version, period, criteria, assurance level, materiality basis, work summary, conclusion and limitations. Make exclusions visible. Distinguish source data verified from assumptions accepted and prevent broader environmental or safety claims than the work supports.

Evidence: Issued statements and claim linkage.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

VVB-08 | ESSENTIAL GATE

Standard p. 27

Facts discovered after issue

Evaluate later facts that could materially affect the conclusion, consult the claimant and take proportionate corrective action. Amend, withdraw or qualify the statement when necessary, notify known users and preserve the original and correction history.

Evidence: Post-issue review and notification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

AUD-01 | ESSENTIAL GATE

Standard p. 27

Mandate and output type

Define whether the work is first-, second- or third-party audit, production monitoring, shipment surveillance or other contracted oversight. Specify the client, intended users, criteria and independence relationship. Reports shall not claim certification unless a separately approved certification category applies.

Evidence: Mandate and output wording.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | AUD-02 onwards

BODY / PROJECT / CASE REFERENCE

AUD-02 | ESSENTIAL GATE

Standard p. 27

Auditor and observer competence

Authorize personnel for the automotive processes, products, defect mechanisms and sampling they assess. Verify ability to question, observe, trace records and distinguish evidence from assertion. Monitor performance through witnessed assignments and case review.

Evidence: Competence records and witness observations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

AUD-03 | ESSENTIAL GATE

Standard p. 27

Risk-based audit coverage

Plan relevant sites, shifts, processes, suppliers and outsourced operations according to risk and scope. Trace both successful and adverse cases. Explain sampling limits; a short visit to selected records shall not support an unrestricted claim about every process or shipment.

Evidence: Coverage matrix and sampling rationale.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

AUD-04 | ESSENTIAL GATE

Standard p. 27

Surveillance continuity

For ongoing monitoring, define frequency, unannounced elements where agreed, escalation thresholds and missed-visit response. For loading or shipment work, record custody, quantity, identification and sealed-state limitations. Stop or qualify claims when continuity is broken.

Evidence: Monitoring plan and event logs.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | AUD-05 onwards

BODY / PROJECT / CASE REFERENCE

AUD-05 | ESSENTIAL GATE

Standard p. 28

Evidence and findings

Tie each finding to criteria, actual evidence, location and time. Distinguish nonconformity, observation and improvement advice. Do not dilute a consequential failure into an advisory comment to satisfy the commissioning client.

Evidence: Traceable findings and supporting records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

AUD-06 | ESSENTIAL GATE

Standard p. 28

Reporting and independent review

Review technical sufficiency and independence before release, including assignment scope, observed facts and limitations. For safety-critical work use a reviewer independent of the auditor. Client factual comments may be considered but shall not suppress substantiated findings.

Evidence: Review and client-comment disposition.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

AUD-07

Standard p. 28

Follow-up and closure

Verify corrective effectiveness using evidence proportionate to the finding; use further site observation where documents cannot demonstrate actual change. State whether follow-up is included in the mandate. A closed audit finding does not imply product certification.

Evidence: Closure verification and residual-risk record.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | AUD-08 onwards

BODY / PROJECT / CASE REFERENCE

AUD-08 | ESSENTIAL GATE

Standard p. 28

Remote observation and authenticity

Validate identity, location, live-feed integrity and evidence completeness for remote work. Record outages, blind spots and client-controlled selection. Require physical follow-up where risk or evidence limitations prevent a reliable conclusion.

Evidence: Remote feasibility and corroboration records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**PTP-01 | ESSENTIAL GATE**

Standard p. 28

Programme design

Define the competence question, participant population, item type, measurand, matrix, range and performance criterion. Choose a design suited to the comparison purpose and participant methods. Pilot unusual designs before using results for consequential participant judgments.

Evidence: Design dossier and pilot evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL**PTP-02 | ESSENTIAL GATE**

Standard p. 28

Provider competence

Maintain expertise in item preparation, measurement, statistics, logistics, automotive applications and performance interpretation. Evaluate outsourced contributors and retain responsibility for the programme. Prevent commercial relationships from influencing assigned values or participant scores.

Evidence: Competence and impartiality records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PTP-03 onwards

BODY / PROJECT / CASE REFERENCE

PTP-03 | ESSENTIAL GATE

Standard p. 28

Item homogeneity and stability

Demonstrate suitable homogeneity and stability over preparation, transport, storage and participation periods. Quantify their influence on assigned values and performance interpretation. Investigate damaged or compromised items and avoid penalizing participants for provider-controlled failures.

Evidence: Homogeneity, stability and shipping studies.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PTP-04 | ESSENTIAL GATE

Standard p. 29

Assigned values and uncertainty

Establish assigned values using a justified technically competent approach and evaluate uncertainty. Where participant consensus is used, assess method bias, population suitability and robustness. Do not imply traceability that the assignment method cannot support.

Evidence: Assigned-value calculations and uncertainty.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PTP-05 | ESSENTIAL GATE

Standard p. 29

Performance statistics

Predefine evaluation statistics, limits, exclusions and treatment of uncertainty. Check calculations independently and explain the meaning and limitations of performance categories. A single satisfactory result shall not be represented as full-scope laboratory competence.

Evidence: Statistical plan and verified calculations.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | PTP-06 onwards

BODY / PROJECT / CASE REFERENCE

PTP-06

Standard p. 29

Secure distribution and confidentiality

Control item identification, instructions, distribution, deadlines and participant coding. Protect identities and results; explain any authorized disclosure. Prevent collusion or disclosure of target values before submissions close.

Evidence: Distribution controls and participant agreements.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PTP-07 | ESSENTIAL GATE

Standard p. 29

Results and technical feedback

Report design, assigned values, uncertainty, methods, evaluation criteria, participant results and relevant caveats. Identify provider deviations and their effects. Give useful technical feedback without changing performance criteria after seeing desired outcomes.

Evidence: Reports and feedback records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

PTP-08

Standard p. 29

Appeals and programme improvement

Investigate disputed scoring, item issues and computational errors independently. Correct affected reports and notify participants. Analyze programme performance and improve future rounds without erasing original results or traceable decisions.

Evidence: Appeals and improvement records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | RMP-01 onwards

BODY / PROJECT / CASE REFERENCE

RMP-01 | ESSENTIAL GATE

Standard p. 29

Material specification

Define the material, artifact or reference dataset, intended use, properties, matrix, range, quantity and limitations. Distinguish certified properties from indicative values. Establish acceptance criteria and a production plan before making claims.

Evidence: Specification and production dossier.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

RMP-02 | ESSENTIAL GATE

Standard p. 29

Production control

Control source materials, preparation, processing, contamination, packaging and batch identity. Qualify critical suppliers and outsourced steps. Retain a traceable batch history and demonstrate that the final units represent the characterized material.

Evidence: Batch records and process verification.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

RMP-03 | ESSENTIAL GATE

Standard p. 30

Homogeneity

Design and evaluate homogeneity studies at the minimum sample intake and unit scale relevant to use. Include between-unit and within-unit effects where necessary. Set limits that support the intended uncertainty and prevent unsupported claims for smaller portions.

Evidence: Homogeneity study and intake limits.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | RMP-04 onwards

BODY / PROJECT / CASE REFERENCE

RMP-04 | ESSENTIAL GATE

Standard p. 30

Stability

Assess short-term transport and long-term storage stability under defined conditions. Monitor throughout the assigned validity period and include relevant uncertainty. Update users when degradation could affect results; expiry shall be evidence-based.

Evidence: Stability model and monitoring.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

RMP-05 | ESSENTIAL GATE

Standard p. 30

Characterization and traceability

Use competent, justified characterization methods with sufficient independence and bias evaluation. Establish an appropriate traceability chain for assigned properties and disclose operationally defined values. Account for conflicts between methods rather than selecting a convenient value.

Evidence: Characterization data and traceability evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

RMP-06 | ESSENTIAL GATE

Standard p. 30

Assigned value and uncertainty

Combine characterization, homogeneity, stability and other relevant contributions using a justified model. State assigned values, units, expanded uncertainty, coverage factor and basis where appropriate. An indicative property shall not be presented with unsupported certified precision.

Evidence: Value assignment and uncertainty review.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Category requirements TST to RMP | RMP-07 onwards

BODY / PROJECT / CASE REFERENCE

RMP-07 | ESSENTIAL GATE

Standard p. 30

Certificate and distribution

Provide unique batch/unit identity, producer, property values, status, uncertainty, traceability, use instructions, intake, storage, validity and limitations. Maintain distribution records sufficient for correction or recall notices while protecting customers.

Evidence: Certificate and distribution trail.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

RMP-08 | ESSENTIAL GATE

Standard p. 30

Post-distribution assurance

Monitor stability and complaints, investigate erroneous certificates or unsuitable material, and notify affected users promptly. Withdraw or correct values where necessary and assess impacts on customer results. Preserve original certificates and the reasoned amendment trail.

Evidence: Monitoring and user notification records.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A01-01 onwards

BODY / PROJECT / CASE REFERENCE

A01-01 | ESSENTIAL GATE

Standard p. 31

Boundary and configuration

Define the safety function, vehicle configuration, occupant or load conditions, speed, surface and environment. Distinguish component, subsystem and whole-vehicle conclusions; identify untested variants and real-world limitations.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A01-02 | ESSENTIAL GATE

Standard p. 31

Instrumentation and event fidelity

Verify sensor range, synchronization, calibration, filtering, trigger and acquisition completeness for fast or destructive events. Validate test fixtures, surrogates and post-processing; retain raw traces and failed acquisitions.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A01-03 | ESSENTIAL GATE

Standard p. 31

Safe execution and coverage

Use controlled facilities, competent personnel and explicit emergency/abort rules. Cover critical scenarios and boundary conditions appropriate to the claim. Do not expose people to hazardous demonstrations merely to provide witness evidence.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A01-04 onwards

BODY / PROJECT / CASE REFERENCE

A01-04 | ESSENTIAL GATE

Standard p. 31

Reasoned conclusion

Link each conclusion to measured evidence, uncertainty and the actual test configuration. Avoid translating one successful test into a universal safety claim. Explain limits of simulation, surrogate behavior and extrapolation.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A02-01 | ESSENTIAL GATE

Standard p. 32

Energy-state identity

Record chemistry, configuration, age, health, charge state, conditioning, protection settings and software. Distinguish new, damaged and second-life items and preserve their provenance.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A02-02 | ESSENTIAL GATE

Standard p. 32

Hazard controls

Assess high voltage, stored energy, thermal runaway, toxic release and fire propagation risks. Establish qualified roles, isolation verification, remote operation, containment and emergency response before testing or inspection.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A02-03 onwards

BODY / PROJECT / CASE REFERENCE

A02-03 | ESSENTIAL GATE

Standard p. 32

Representative validation

Control fixtures, cooling, loading, abuse inputs, temperature and measurement synchronization. Validate accelerations and surrogate conditions; demonstrate that they support the claimed use rather than merely producing a dramatic result.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A02-04 | ESSENTIAL GATE

Standard p. 32

Lifecycle interpretation

Explain test limits for aging, repair, transport, reuse and end-of-life. A result from one state or specimen shall not automatically approve an entire battery family or all future service conditions.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A03-01 | ESSENTIAL GATE

Standard p. 32

System boundary

Identify equipment variants, connectors, firmware, power ratings, installation conditions and vehicle/grid interfaces. Separate laboratory equipment performance from installed-system acceptance.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A03-02 onwards

BODY / PROJECT / CASE REFERENCE

A03-02 | ESSENTIAL GATE

Standard p. 32

Electrical and interface evidence

Verify protective functions, isolation, fault response, thermal behavior, communications and interoperability across justified combinations. Record reference equipment and compatibility limitations.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A03-03 | ESSENTIAL GATE

Standard p. 32

Field reliability

Evaluate site conditions, access, environmental exposure, emergency operation and maintenance. Validate load emulation and explain its limits where full rated operation cannot be observed.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A03-04 | ESSENTIAL GATE

Standard p. 33

Updates and service continuity

Assess the impact of firmware, backend, payment or control changes on prior evidence. Preserve versioned configurations and require targeted reevaluation before extending claims to changed interfaces.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A04-01 onwards

BODY / PROJECT / CASE REFERENCE

A04-01 | ESSENTIAL GATE

Standard p. 33

Authorized and bounded testing

Define written authorization, assets, interfaces, data and permitted techniques before security testing. Isolate hazardous functions, protect third parties and establish stop and disclosure procedures.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A04-02 | ESSENTIAL GATE

Standard p. 33

Reproducible technical evidence

Record builds, dependencies, settings, tools, test data and execution environment. Verify findings manually where material; preserve evidence sufficient to distinguish exploitable conditions from scanner noise.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A04-03 | ESSENTIAL GATE

Standard p. 33

Lifecycle and update integrity

Evaluate secure development, access, update authenticity, rollback, recovery and vulnerability handling according to the engagement. Distinguish process assurance from a claim that software is free of vulnerabilities.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A04-04 onwards

BODY / PROJECT / CASE REFERENCE

A04-04 | ESSENTIAL GATE

Standard p. 33

Time-bounded conclusions

State the assessed version, threat assumptions and date. Reassess material changes and newly relevant threats; a past assessment shall not imply perpetual security or coverage of untested interfaces.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A05-01 | ESSENTIAL GATE

Standard p. 33

Operational domain and responsibility

Define operating domain, intended users, human supervision, system limits and fallback responsibilities. Record the exact model, training/reference data lineage and deployed configuration.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A05-02 | ESSENTIAL GATE

Standard p. 33

Scenario credibility

Use a justified scenario catalogue spanning normal, rare, adverse and boundary conditions. Check data representativeness, bias and leakage. A large scenario count alone shall not establish meaningful coverage.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A05-03 onwards

BODY / PROJECT / CASE REFERENCE

A05-03 | ESSENTIAL GATE

Standard p. 33

Correlation and uncertainty

Validate simulation, emulation and digital twins against suitable physical evidence and quantify important discrepancy. Bound any substitution of virtual for physical testing and require independent technical challenge.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A05-04 | ESSENTIAL GATE

Standard p. 34

Change and residual risk

Evaluate drift, software updates and distribution shifts. Report unresolved scenarios, uncertainty and human-performance assumptions. Do not equate a model accuracy metric with whole-system safety.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A06-01 | ESSENTIAL GATE

Standard p. 34

Material and sample identity

Record batch, composition, processing, orientation, conditioning, aging and preparation. Control contamination and specimen geometry. Representative coupons shall be linked to actual production conditions.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A06-02 onwards

BODY / PROJECT / CASE REFERENCE

A06-02 | ESSENTIAL GATE

Standard p. 34

Method fitness

Validate destructive, nondestructive, chemical and accelerated methods for the matrix and use. Establish detection capability, uncertainty and defect sensitivity; evaluate operator influence.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A06-03 | ESSENTIAL GATE

Standard p. 34

Life prediction limits

Justify acceleration factors, load spectra and extrapolation models with supporting data. Separate observed durability from predicted service life and disclose censoring, sample size and uncertainty.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A06-04 | ESSENTIAL GATE

Standard p. 34

Production transfer

Assess changes in supplier, material, tooling, joining, coating or process before extending results. Trace nonconforming batches and distinguish screening from certification of every produced item.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A07-01 onwards

BODY / PROJECT / CASE REFERENCE

A07-01 | ESSENTIAL GATE

Standard p. 34

Process and site coverage

Map production steps, sites, shifts, critical suppliers, outsourced operations and traceability. Identify special processes whose results cannot be fully verified afterward.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A07-02 | ESSENTIAL GATE

Standard p. 34

Actual performance evidence

Observe real production and review process capability, failures, rework, escapes and control effectiveness. Verify data denominators and sampling; presentation slides alone shall not demonstrate implementation.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A07-03 | ESSENTIAL GATE

Standard p. 34

Automation and measurement control

Evaluate robot/program versions, sensor integrity, measurement capability, change control and human override. Challenge automated inspection using known defects and boundary cases.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A07-04 onwards

BODY / PROJECT / CASE REFERENCE

A07-04 | ESSENTIAL GATE

Standard p. 35

Traceable supplier conclusions

Link findings and certifications to the actual supplier, site, product/process family and time period. Avoid automatic approval of an entire supply chain based on one tier or headquarters audit.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A08-01 | ESSENTIAL GATE

Standard p. 35

Boundary and functional basis

Define lifecycle or operational boundary, functional unit, period, activity data, exclusions and intended comparison. Avoid favorable boundary selection that hides material burdens.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A08-02 | ESSENTIAL GATE

Standard p. 35

Measurement and data quality

Verify sampling, analyzers, energy measurements and data sources. Evaluate representativeness, missing data, estimation methods and uncertainty. Distinguish measured values from modeled or supplier-provided information.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A08-03 onwards

BODY / PROJECT / CASE REFERENCE

A08-03 | ESSENTIAL GATE

Standard p. 35

Claim integrity

Check allocation, aggregation, double counting, baseline selection and sensitivity. Separate gross impacts, reductions and any compensating claims; do not describe a narrow test result as proof of overall environmental superiority.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A08-04 | ESSENTIAL GATE

Standard p. 35

Comparable communication

State criteria, assumptions, limits and whether validation or verification was performed. Comparative claims require a genuinely comparable basis and material caveats visible to intended users.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A09-01 | ESSENTIAL GATE

Standard p. 35

Vehicle and repair history

Identify the vehicle, configuration, mileage where relevant, modifications, damage and available maintenance records. Record inaccessible systems and the reliability of supplied history.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A09-02 onwards

BODY / PROJECT / CASE REFERENCE

A09-02 | ESSENTIAL GATE

Standard p. 35

Competent diagnosis and examination

Use validated diagnostic methods, current information, suitable equipment and authorized personnel. Distinguish symptom observation from confirmed cause and preserve diagnostic data.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A09-03 | ESSENTIAL GATE

Standard p. 36

Post-intervention integrity

Evaluate the consequences of repair, parts substitution, calibration or software changes for affected safety functions. Verify required relearning or calibration and do not hide work performed during an inspection.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A09-04 | ESSENTIAL GATE

Standard p. 36

Bounded service conclusion

State inspected items, observed condition, exclusions and the actual date. Do not promise future roadworthiness or absence of hidden defects beyond evidence; escalate serious safety concerns promptly.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A10-01 onwards

BODY / PROJECT / CASE REFERENCE

A10-01 | ESSENTIAL GATE

Standard p. 36

Duty and configuration

Define load, duty cycle, attachments, bodywork, auxiliary systems and operating environment. Distinguish chassis, completed vehicle and special conversion scope.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A10-02 | ESSENTIAL GATE

Standard p. 36

System interaction

Evaluate braking, stability, visibility, coupling, load restraint and relevant hydraulic, pneumatic or lifting interactions. Include critical interfaces introduced by bodybuilders or attachments.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A10-03 | ESSENTIAL GATE

Standard p. 36

Representative working conditions

Justify loads, routes, terrain, climate and accelerated duty simulations. Control risks to operators and bystanders and retain actual conditions and instrument performance.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A10-04 onwards

BODY / PROJECT / CASE REFERENCE

A10-04 | ESSENTIAL GATE

Standard p. 36

Variant and conversion limits

Reassess material conversions, attachments and load changes. A result for one duty or body configuration shall not automatically extend to all specialized uses.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A11-01 | ESSENTIAL GATE

Standard p. 36

Users and intended task

Define user population, tasks, abilities, language, context and foreseeable misuse. Include relevant accessibility needs and explain exclusions rather than claiming universal usability.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A11-02 | ESSENTIAL GATE

Standard p. 36

Ethical and valid study

Use informed participation, appropriate privacy, safe procedures and justified sampling. Control learning effects, ordering, fatigue and conflicts; protect vulnerable participants.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A11-03 onwards

BODY / PROJECT / CASE REFERENCE

A11-03 | ESSENTIAL GATE

Standard p. 37

Objective and subjective evidence

Validate measurement instruments, questionnaires and observation methods. Separate measured behavior from preferences and marketing impressions. Report variability and uncertainty, not only average scores.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A11-04 | ESSENTIAL GATE

Standard p. 37

Safety-relevant interpretation

Evaluate distraction, workload, errors and recovery where relevant. A comfort or satisfaction result shall not substitute for evidence of safe operation or accessibility across untested populations.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A12-01 | ESSENTIAL GATE

Standard p. 37

Provenance and condition

Establish identity, prior use, processing history and known limitations of reused or remanufactured items. Classify unknown provenance explicitly and do not erase original safety-relevant history.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Automotive overlays A01 to A12 | A12-02 onwards

BODY / PROJECT / CASE REFERENCE

A12-02 | ESSENTIAL GATE

Standard p. 37

Fit-for-purpose reassessment

Define the new intended use and evidence needed for retained safety, performance and durability. Evaluate degradation, contamination, residual life and incompatible interfaces.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A12-03 | ESSENTIAL GATE

Standard p. 37

Novel-method readiness

For emerging technology, establish credible criteria, competent expertise, validation and independent challenge before approval. Use a bounded pilot when knowledge is insufficient; future capability shall not appear as current approved scope.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

A12-04 | ESSENTIAL GATE

Standard p. 37

Circular claims and traceability

Trace material recovery, reuse and disposal claims to actual evidence. Distinguish technical suitability from environmental benefit and quantify relevant limitations or trade-offs.

Evidence: Configuration, competent execution, original data, validation and bounded report for this domain.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR01-01 onwards

BODY / PROJECT / CASE REFERENCE

IR01-01 | ESSENTIAL GATE

Standard p. 37

Route selection

Use ACC for continuing body capability and REC only for a bounded project and enumerated outputs. Apply the common core, all relevant category modules and all material automotive overlays. A lighter administrative route shall not reduce technical requirements.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR01-02 | ESSENTIAL GATE

Standard p. 37

Separate authorization

Approve each legal entity and scope row explicitly. Shared systems and evidence may be reused, but branches, categories, methods, sites and independence modes do not inherit authorization automatically.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR01-03 | ESSENTIAL GATE

Standard p. 38

REC boundary

Before a REC project starts, agree its window, applicable criteria, witnessing and output list. Review actual work before recognizing any output. Recognition cannot retroactively turn work into accredited work or authorize unrelated future reports.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR01-04 onwards

BODY / PROJECT / CASE REFERENCE

IR01-04 | ESSENTIAL GATE

Standard p. 38

Readiness without approval

An applicant lacking sufficient completed work may receive a private readiness review. It has no approval status, mark right or public accreditation claim. Demonstrations must be identified honestly and cannot be represented as real client history.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR02-01 | ESSENTIAL GATE

Standard p. 38

Scope-row minimum

Record entity ID, category, object/property, method or scheme and edition, capability/range, uncertainty where relevant, site, delivery mode, independence mode, limitations, effective dates and status for every row.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR02-02 | ESSENTIAL GATE

Standard p. 38

Family grouping

Group methods only where principles, equipment, staff competence and risk are demonstrably comparable. Record representative and worst-case members. Novel methods, materially different hazards or unsupported ranges form separate families.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR02-03 onwards

BODY / PROJECT / CASE REFERENCE

IR02-03 | ESSENTIAL GATE

Standard p. 38

Mode restrictions

Only IND supports MSC, PCC or PSC under CAAR. CAP and SEP may support other listed activities when their controls are met and actual relationships are disclosed. Independence must be assessed at both organizational and engagement level.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR02-04 | ESSENTIAL GATE

Standard p. 38

Scope change

Prior approval is required for new categories, technical families, critical sites, independence modes or material capability extensions. An administrative correction that does not change technical capability may use controlled expedited review, with a recorded rationale.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR03-01 | ESSENTIAL GATE

Standard p. 38

Initial physical assessment

Before initial ACC, physically assess every critical permanent location and witness representative actual work for every category and technical family. Remote evidence may supplement but not replace this initial physical coverage. Field activities require representative field witnessing.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR03-02 onwards

BODY / PROJECT / CASE REFERENCE

IR03-02 | ESSENTIAL GATE

Standard p. 39

Case-file sample

For each category/site/technical-family stratum use the previous twelve months population N: review all if N is five or fewer; otherwise at least max(5, ceiling(square root of N)). Add failures, complaints, amendments, outsourced work and high-risk cases; expand when adverse evidence appears.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR03-03 | ESSENTIAL GATE

Standard p. 39

Minimum performance evidence

Before ACC approve each family/site only after at least three complete executed case files and one directly witnessed end-to-end activity are demonstrated. Controlled demonstrations may supplement testing capability with clear labels; MSC, PCC and PSC require actual completed client/candidate cases and a witnessed full evaluation.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR03-04 | ESSENTIAL GATE

Standard p. 39

REC and remote limitations

Review every REC output and all material project stages; sampling may support detailed source checks, not omission of an output decision. A fully digital REC project may use remote witnessing only with proven identity, original-system access, independent corroboration and no unverified physical claim.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR04-01 onwards

BODY / PROJECT / CASE REFERENCE

IR04-01 | ESSENTIAL GATE

Standard p. 39

Initial grant gate

Grant ACC or REC only with all applicable requirements Met and all initial findings closed with verified effectiveness. Pending is not Met; justified NA requires an inherent scope reason. There is no conditional initial grant and no compensating total score.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR04-02 | ESSENTIAL GATE

Standard p. 39

Active-scope findings

A Minor may remain open on active ACC for at most ninety calendar days if validity, safety and impartiality remain demonstrably protected. A Major requires immediate containment and a thirty-day closure target; unresolved affected scope enters suspension review. Critical findings trigger immediate protective suspension review and evidence preservation.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR04-03 | ESSENTIAL GATE

Standard p. 39

Decision independence

Use a three-person panel independent of assessment, requiring at least two affirmative votes. Retain dissent and technical reasons. Recent consultancy or employment with the applicant within thirty-six months disqualifies an IAF assessor or decision-maker; other significant interests require exclusion whenever they compromise impartiality.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR04-04 onwards

BODY / PROJECT / CASE REFERENCE

IR04-04 | ESSENTIAL GATE

Standard p. 39

Fair response and appeal

Allow fifteen calendar days for factual response to draft findings and thirty calendar days to appeal an IAF decision. A different three-person panel decides appeals, normally within forty-five calendar days of a complete file. Urgent protective action may precede full response, with prompt review.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR05-01 | ESSENTIAL GATE

Standard p. 40

ACC term

A full ACC grant lasts no more than four years from the effective decision date. Plan surveillance by months twelve, twenty-four and thirty-six, including at least one physical visit each year to risk-selected critical locations. Every approved site and family shall be covered during the cycle.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR05-02 | ESSENTIAL GATE

Standard p. 40

Reporting and renewal

Receive annual information within thirty calendar days after each anniversary. Apply for renewal at least six months before expiry and complete reassessment and independent decision before expiry. Pending work does not extend the term; a new decision cannot backdate a gap.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR05-03 onwards

BODY / PROJECT / CASE REFERENCE

IR05-03 | ESSENTIAL GATE

Standard p. 40

REC project window

REC project authorization to seek output recognition ends by the agreed completion date, no later than twelve months after the project decision. Each recognized output retains a historical verification record with corrections/status; new work after the window requires a new project decision.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR05-04 | ESSENTIAL GATE

Standard p. 40

Risk escalation

Increase visits, witnessing and file samples after incidents, adverse comparisons, rapid expansion, key-person loss or unreliable records. Do not lower surveillance solely because the body is large, influential or commercially important. Refused necessary access prevents continued approval of affected scope.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR06-01 | ESSENTIAL GATE

Standard p. 40

Eligibility at work and release

An ACC-endorsed output requires active matching scope when the work was performed and when released. Identify any period, site or activity outside approval. REC wording requires the specific output-recognition decision and cannot be replaced by ACC wording.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR06-02 onwards

BODY / PROJECT / CASE REFERENCE

IR06-02 | ESSENTIAL GATE

Standard p. 40

Authenticity and reliance

Verification shall distinguish authentic identity, document integrity, historical scope, current status and technical limitations. A matching QR code or hash alone is insufficient. The recipient remains responsible for intended-use suitability and any required public acceptance.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR06-03 | ESSENTIAL GATE

Standard p. 40

Status-change protection

Publish suspension, withdrawal, expiry, replacement and material report correction with effective dates. Preserve prior versions and explain whether earlier outputs are affected. A later suspension does not automatically invalidate every earlier result, but an impact review may identify particular invalid outputs.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR06-04 | ESSENTIAL GATE

Standard p. 41

Mark restrictions

Use only authorized artwork and required scope/route wording. Do not place the CAAR body mark on products, vehicle approval plates, personnel credentials or unrelated services as if it were their certification. No membership or candidate claim may mimic technical approval.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR07-01 onwards

BODY / PROJECT / CASE REFERENCE

IR07-01 | ESSENTIAL GATE

Standard p. 41

Identity and versioning

Use distinct stable identifiers for bodies, sites, scope rows, decisions, projects and outputs. Never recycle withdrawn IDs. Link amendments and transfers; record the issuing legal entity and authoritative time basis.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR07-02 | ESSENTIAL GATE

Standard p. 41

Minimum retention

Apply the ten-year minimum in CAAR-18.03 to technical and decision evidence. Preserve legal holds and longer binding obligations. Retain public historical status while minimizing personal data; remove incidental recordings thirty days after case/appeal closure unless justified evidence retention is required.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR07-03 | ESSENTIAL GATE

Standard p. 41

Reliable verification service

Before public launch, test access control, digital signatures where used, revocation, status propagation, backups, export and a manual fallback. Do not advertise a live universal database or automatic authenticity service before it actually operates.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR07-04 onwards

BODY / PROJECT / CASE REFERENCE

IR07-04 | ESSENTIAL GATE

Standard p. 41

Evidence access and breach response

Provide lawful assessor access to originals under controlled permissions. Log transfers and access, isolate suspected compromise and preserve evidence. Notify IAF within forty-eight hours for material integrity incidents and meet any shorter binding notification duty.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR08-01 | ESSENTIAL GATE

Standard p. 41

Adoption and implementation

Record approval of this edition, responsible governing body, implementation date, contact channels, registry operator and transition rules. These prepared documents alone do not establish an operating accreditation service or grant any body approval.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR08-02 | ESSENTIAL GATE

Standard p. 41

Calibration and pilots

Pilot at least laboratory, inspection, certification and digital/verification cases before full launch. Compare assessor judgments, validate forms and scope wording, and correct weaknesses. Pilot participation is not accreditation.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Internal directives IR01 to IR08 | IR08-03 onwards

BODY / PROJECT / CASE REFERENCE

IR08-03 | ESSENTIAL GATE

Standard p. 42

Accountability and safeguards

Audit the scheme annually, independently evaluate it at least every four years and publish a proportionate integrity summary. Investigate conflicts, appeals, falsified evidence and misuse without interference from membership, sponsorship or leadership interests.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

IR08-04 | ESSENTIAL GATE

Standard p. 42

Acceptance development

Maintain documented acceptance arrangements and user feedback with exact boundaries. Seek employer, buyer and authority confidence through demonstrated reliability and transparent oversight. No statement shall imply automatic legal equivalence, reciprocal recognition or acceptance in every country.

Evidence: Controlled scheme record, independent review and exact scope/decision evidence.

RESULT

Select

FINDING ID

EXACT EVIDENCE / RATIONALE / LIMITS - USE CONTINUATION FOR DETAIL

Findings, closure and essential gates

BODY / PROJECT / CASE REFERENCE

APPLICABLE MODULES AND RESULT COUNTS; ALL ACCEPTED NA REASONS

EVERY COMPETENCE, SAFETY, INTEGRITY AND MANDATORY DECISION GATE SUPPORTED

OPEN FINDINGS, AFFECTED SCOPE, CONTAINMENT, PRIOR-OUTPUT IMPACT AND DEADLINES

VERIFIED CLOSURE, UNRESOLVED EVIDENCE AND TECHNICALLY COHERENT EXCLUSIONS

ASSESSMENT RECOMMENDATION, LIMITS AND RESPONSIBLE ASSESSORS

Independent approval and exact scope

BODY / PROJECT / CASE REFERENCE

ROUTE, LEGAL BODY/PROJECT, FINAL SCOPE VERSION AND EVIDENCE MANIFEST

THREE UNINVOLVED PANEL MEMBERS, COMPETENCE, CONFLICTS AND INDIVIDUAL VOTES

ALL APPLICABLE MET; REASONED GRANT/DEFER/REFUSE/RESTRICT DECISION AND DISSENT

EFFECTIVE/EXPIRY OR PROJECT WINDOW; OUTPUT RECOGNITION IDS IF REC

MONITORING, PUBLIC WORDING, NOTICE, APPEAL DEADLINE AND AUTHORIZATION

Continuation and supporting detail

BODY / PROJECT / CASE REFERENCE

PARENT FIELD, REQUIREMENT OR RECORD ID / CONTINUATION NUMBER

FULL RESPONSE, SOURCE REFERENCES, RATIONALE AND LIMITATIONS

PREPARED BY / ROLE / DATE / AUTHORIZATION