

Controlled scope and technical capability dossier

Use one master row per authorization unit and category annexes for the requested activities. Repeat records in separate uniquely named files or controlled attachments; duplicating interactive pages can synchronize identical field names.

LEGAL BODY / PROJECT / CASE ID

SCOPE SCHEDULE VERSION, DATE AND ACCOUNTABLE TECHNICAL OWNER

REQUESTED CATEGORIES, DOMAINS, INDEPENDENCE MODES AND LOCATIONS

TECHNICAL FAMILY GROUPING AND WORST-CASE/REPRESENTATIVE RATIONALE

EVIDENCE MANIFEST, COMPLETED-CASE POPULATION AND WITNESS REFERENCES

APPLICANT-REQUESTED SCOPE; FINAL AUTHORITY REQUIRES AN INDEPENDENT IAF DECISION

Scope row master - repeat for each authorization unit

BODY / PROJECT / CASE REFERENCE

BODY/LEGAL ENTITY, SITE AND SCOPE ROW IDS; APPLICATION SCOPE VERSION

CATEGORY, AUTOMOTIVE DOMAINS, TECHNICAL FAMILY AND INDEPENDENCE MODE

OBJECT/MATRIX/SYSTEM, PROPERTY/PROCESS AND INTENDED CONCLUSION

METHOD OR CERTIFICATION/ASSURANCE SCHEME ID, TITLE, EDITION AND DEVIATIONS

RANGE, DETECTION/RESOLUTION, UNCERTAINTY/CAPABILITY AND CONDITIONS

DELIVERY MODE, ACTUAL LOCATIONS, CONFIGURATION/VARIANT LIMITS AND EXCLUSIONS

EVIDENCE DOSSIER, WITNESSES, AUTHORIZATIONS AND REQUESTED DATES

Critical site and network schedule

BODY / PROJECT / CASE REFERENCE

SITE ID, ADDRESS/COUNTRY OR BOUNDED MOBILE/FIELD ARRANGEMENT

LEGAL CONTROL, ACTIVITIES PERFORMED, CATEGORIES/FAMILIES AND SCOPE ROWS

TECHNICAL LEADERSHIP, AUTHORIZED PEOPLE AND DEPUTIES

FACILITIES, ENVIRONMENT, EQUIPMENT, SAFETY AND ACTUAL ACCESS

REMOTE REVIEW/DECISION/RELEASE LOCATIONS, AGENTS AND OUTSOURCED FUNCTIONS

INITIAL PHYSICAL ASSESSMENT AND REPRESENTATIVE FIELD WITNESS PLAN

Personnel authorization and capacity

BODY / PROJECT / CASE REFERENCE

PERSON/ROLE IDENTIFIERS, EMPLOYMENT/CONTRACT STATUS AND LOCATIONS

AUTHORIZED CATEGORIES, FAMILIES, METHODS/RANGES, REVIEW/RELEASE/DECISION ROLES

COMPETENCE CRITERIA, OBSERVED PERFORMANCE, QUALIFICATIONS AND CURRENCY

INDEPENDENCE, CONSULTANCY EXCLUSIONS AND INCOMPATIBLE ROLE CONTROLS

WORKLOAD, DEPUTIES, SUPERVISION AND ANNUAL COMPETENCE REVIEW

TECHNICAL AUTHORIZER, DATE AND EVIDENCE REFERENCES

Method, scheme and digital-tool capability dossier

BODY / PROJECT / CASE REFERENCE

METHOD/SCHEME/MODEL/TOOL ID AND VERSION; INTENDED USE AND SCOPE

PREDEFINED VERIFICATION/VALIDATION CRITERIA AND REPRESENTATIVE CONDITIONS

ORIGINAL DATA, ADVERSE CASES, ACTUAL PERFORMANCE AND LIMITATIONS

UNCERTAINTY/DECISION RULE, TRACEABILITY AND VALIDITY CONTROLS

EQUIPMENT, SOFTWARE, PEOPLE, CHANGE TRIGGERS AND REVIEW AUTHORIZATION

SCOPE ROWS AND COMPLETE CASE/WITNESS EVIDENCE SUPPORTING RELEASE

External providers and delegated work

BODY / PROJECT / CASE REFERENCE

PROVIDER IDENTITY, LOCATION AND EXACT DELEGATED ACTIVITIES

COMPETENCE, SCOPE, METHODS, INDEPENDENCE AND SOURCE-EVIDENCE EVALUATION

CLIENT AGREEMENT, CONTRACTS, CONFIDENTIALITY AND ORIGINAL-RECORD ACCESS

MONITORING, ADVERSE RESULTS AND CORRECTIVE ACTION

HOW EXTERNALLY PERFORMED/OUT-OF-SCOPE WORK APPEARS IN OUTPUTS

RETAINED RESPONSIBILITY AND NON-OUTSOURCED CERTIFICATION DECISION ROLES

REC project and proposed output schedule

BODY / PROJECT / CASE REFERENCE

PROJECT ID/TITLE, PURPOSE, APPLICANT AND RESPONSIBLE CLAIMANT/CLIENT

PROPOSED WINDOW OF NO MORE THAN TWELVE MONTHS AND MATERIAL MILESTONES

CATEGORIES/DOMAINS, CRITERIA, METHODS, OBJECT/CONFIGURATIONS AND SITES

WITNESSING, SOURCE ACCESS, REMOTE FEASIBILITY AND PHYSICAL-EVIDENCE NEEDS

ENUMERATED OUTPUT IDS, PLANNED REVISIONS, INTENDED USERS AND LIMITATIONS

INDEPENDENT REVIEW AND OUTPUT-RECOGNITION DECISION ARRANGEMENTS

TST - Testing laboratories

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Test report; measured or observed results with limitations.

TST-01 TESTING SCOPE AND READINESS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-02 METHOD PERFORMANCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-03 SAMPLING AND PREPARATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-04 MEASUREMENT UNCERTAINTY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-05 RUN VALIDITY AND CONTROL - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-06 CONFORMITY STATEMENTS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-07 OPINIONS AND INTERPRETATIONS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

TST-08 AUTOMOTIVE CONFIGURATION CONTROL - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL - Calibration laboratories

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Calibration certificate with results, uncertainty and traceability.

CAL-01 CAPABILITY EXPRESSION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-02 TRACEABILITY AND REFERENCE HIERARCHY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-03 UNCERTAINTY BUDGETS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-04 ITEM CONDITION AND ADJUSTMENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-05 CALIBRATION PROCEDURE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-06 CERTIFICATE CONTENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-07 CONFORMITY AND INSTRUMENT USE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

CAL-08 COMPARISON AND DRIFT MONITORING - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP - Inspection bodies

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Inspection report or certificate for the inspected object and occasion.

INSP-01 INSPECTION PURPOSE AND INDEPENDENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-02 PROFESSIONAL JUDGMENT COMPETENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-03 INSPECTION INSTRUCTIONS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-04 OBJECT STATE AND EXTENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-05 EQUIPMENT AND SUPPORTING TESTS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-06 SITE SAFETY AND INTERVENTION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-07 INSPECTION REPORTS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

INSP-08 CONSISTENCY AND MONITORING - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC - Management-system certification bodies

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Time-bounded management-system certificate with surveillance.

MSC-01 SCHEME AND SECTOR COMPETENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-02 AUDIT PROGRAMME AND DURATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-03 INITIAL READINESS AND IMPLEMENTATION AUDITS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-04 MULTI-SITE AND SHIFT COVERAGE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-05 FINDINGS AND INDEPENDENT DECISION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-06 SURVEILLANCE AND RECERTIFICATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-07 CERTIFICATE AND TRANSFER CONTROLS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

MSC-08 SUSPENSION AND RESTORATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC - Product, process and service certification bodies

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Scheme-specific certificate; batch, type, ongoing product or service claims remain distinct.

PCC-01 CONTROLLED CERTIFICATION SCHEME - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-02 REPRESENTATIVE SELECTION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-03 EVALUATION RESOURCES - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-04 REVIEW AND CERTIFICATION DECISION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-05 PRODUCTION AND SERVICE CONTINUITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-06 CHANGES AND VARIANTS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-07 CERTIFICATES AND MARK LICENSE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PCC-08 UNSAFE OR NONCONFORMING CERTIFIED OUTPUT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC - Personnel certification bodies

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Individual competence credential with limits, validity and recertification.

PSC-01 OCCUPATIONAL COMPETENCE SCHEME - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-02 TRAINING AND ASSESSMENT INDEPENDENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-03 FAIR CANDIDATE ACCESS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-04 VALID EXAMINATION AND PRACTICAL ASSESSMENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-05 SECURE AND RELIABLE ASSESSMENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-06 INDEPENDENT COMPETENCE DECISION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-07 CREDENTIAL AND RECERTIFICATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PSC-08 MISUSE AND LOSS OF COMPETENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB - Validation and verification bodies

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Validation or verification statement, with assurance, materiality and use boundaries.

VVB-01 CLAIM AND INTENDED USE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-02 COMPETENCE AND IMPARTIALITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-03 STRATEGIC AND RISK ANALYSIS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-04 MATERIALITY AND EVIDENCE SUFFICIENCY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-05 ESTIMATES AND FUTURE CLAIMS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-06 FINDINGS AND INDEPENDENT REVIEW - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-07 STATEMENT CONTENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

VVB-08 FACTS DISCOVERED AFTER ISSUE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD - Audit and surveillance organizations

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Audit or surveillance report; no certification authority is implied.

AUD-01 MANDATE AND OUTPUT TYPE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-02 AUDITOR AND OBSERVER COMPETENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-03 RISK-BASED AUDIT COVERAGE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-04 SURVEILLANCE CONTINUITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-05 EVIDENCE AND FINDINGS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-06 REPORTING AND INDEPENDENT REVIEW - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-07 FOLLOW-UP AND CLOSURE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

AUD-08 REMOTE OBSERVATION AND AUTHENTICITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP - Proficiency and intercomparison providers

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Participant performance report with design, assigned values and evaluation limits.

PTP-01 PROGRAMME DESIGN - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-02 PROVIDER COMPETENCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-03 ITEM HOMOGENEITY AND STABILITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-04 ASSIGNED VALUES AND UNCERTAINTY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-05 PERFORMANCE STATISTICS - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-06 SECURE DISTRIBUTION AND CONFIDENTIALITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-07 RESULTS AND TECHNICAL FEEDBACK - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

PTP-08 APPEALS AND PROGRAMME IMPROVEMENT - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP - Reference material producers

BODY / PROJECT / CASE REFERENCE

Complete only for a requested category. Give exact evidence references and attach detailed dossiers. Material or artifact certificate describing properties, uncertainty, stability and proper use.

RMP-01 MATERIAL SPECIFICATION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-02 PRODUCTION CONTROL - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-03 HOMOGENEITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-04 STABILITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-05 CHARACTERIZATION AND TRACEABILITY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-06 ASSIGNED VALUE AND UNCERTAINTY - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-07 CERTIFICATE AND DISTRIBUTION - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

RMP-08 POST-DISTRIBUTION ASSURANCE - ACTUAL CAPABILITY, EVIDENCE IDS AND LIMITS

Scope dossier authorization

BODY / PROJECT / CASE REFERENCE

EXACT LEGAL ENTITY, SCOPE VERSION, ATTACHED ROWS AND COMPLETED CATEGORY ANNEXES

TECHNICAL LEADERS CONFIRMING ACTUAL CAPABILITY AND DISCLOSED LIMITATIONS

UNRESOLVED GAPS, PLANNED CAPABILITY KEPT OUTSIDE CURRENT REQUESTED GRANT

CONSTITUTION, CAAR AND IMPLEMENTATION NOTICE VERSIONS ACCEPTED

AUTHORIZED SIGNATURE/AUTHENTICATED APPROVAL, DATE AND PLACE

Continuation and supporting detail

BODY / PROJECT / CASE REFERENCE

PARENT FIELD, REQUIREMENT OR RECORD ID / CONTINUATION NUMBER

FULL RESPONSE, SOURCE REFERENCES, RATIONALE AND LIMITATIONS

PREPARED BY / ROLE / DATE / AUTHORIZATION