

Corporate recognition assessment checklist

104 corporate controls | 10 supplier profiles | 10 technology domains | 8 internal directives

Use the exact Standard edition and approved applicability map. Record objective evidence, limitations and findings. This checklist does not itself grant recognition or certify an additional IAF text.

LEGAL ENTITY / SITES / FAMILY SCOPE / CASE ID

ASSESSMENT TYPE, PROFILES/DOMAINS AND SCOPE SCHEDULE VERSION

AUDITORS, TECHNICAL COMPETENCE, CONFLICTS AND DATES

IAF CONSTITUTION, PSR EDITION AND ADDITIONAL CLAIMED IAF TEXT MAPS

ORIGINAL EVIDENCE, CASE POPULATIONS AND PHYSICAL SITE/SHIFT COVERAGE

SEPARATE IAF OPERATOR ASSURANCE AND APPROVED APPLICABILITY RECORD

Decision rules, scope and evidence

Results

Met = supported fulfilment. Minor = isolated lapse. Major = systemic/consequential failure. Critical = serious harm or severe integrity loss. Pending = unresolved evidence. NA = inherent non-applicability accepted with reasons; missing resources or evidence is not NA.

Initial recognition

Six months of actual operation, internal audit, management review and three completed cases per family/site are mandatory. Every applicable requirement must be Met and every finding effectively closed before independent grant. No conditional initial recognition or compensating score.

Active recognition

An isolated Minor may remain open at most 90 calendar days only with protected safety, validity and integrity. Major: immediate containment, 30-day closure target and suspension review if unresolved. Critical: immediate protective-suspension review.

Physical and technical coverage

Initial/renewal audit each included operational site; physical surveillance of every operational site annually. Witness critical process families and release routes. Observe at least two shifts if multiple shifts operate. Review three or more actual cases per family/site, expanding for risk.

Independent decision

Three uninvolved competent panel members participate; at least two affirmative reasoned votes are required and all initial gates remain mandatory. Recognition term is at most three years, with surveillance by months 12 and 24; no automatic extension.

Fair response and urgent duty

Allow 15 calendar days for factual response and 30 days for an appeal after decision notice. Serious incidents require IAF notice within 48 hours of awareness. Protect safety and evidence immediately; response or appeal does not suspend necessary protection.

Requirement map and applicability record

ENTITY / SCOPE / CASE REFERENCE

Corporate baseline PSR-01 to PSR-26 | 104 requirements

Supplier profiles SYS to DST | 40 requirements

Technology domains T01 to T10 | 40 requirements

Internal directives IR01 to IR08 | 32 requirements

The organization demonstrates its duties; IAF operator duties require independent programme-assurance evidence. Additional IAF claims need complete separate clause maps and any required separate programme decision.

SELECTED PROFILES/DOMAINS, ACTUAL RESPONSIBILITIES AND EXCLUDED SCOPE

ACCEPTED NA BY REQUIREMENT, EXACT REASON, EVIDENCE AND REVIEWER

IAF OPERATOR ASSURANCE AND ADDITIONAL IAF TEXT EVALUATION REFERENCES

Requirement assessment | PSR-01.01 to PSR-01.03

ENTITY / SCOPE / CASE REFERENCE

PSR-01.01 | ESSENTIAL GATE

Standard p. 7

Accountable legal entity

The organization shall identify the legal entity accountable for its products, services and recognition claim, with registration, ownership, operating permissions and authorized representatives. A brand, group name or distributor agreement shall not substitute for an accountable entity. Every included subsidiary shall be separately named and accept its responsibilities.

Evidence: Registration, ownership chart and signed entity undertakings.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-01.02 | ESSENTIAL GATE

Standard p. 7

Defined supplier responsibility boundary

Define every included site, product/system family, customer application, related service and technology. State whether the supplier designs, integrates, manufactures, processes, configures, distributes or supports the output. Identify the actual source of manufacture and the party controlling final acceptance. Capability claims shall distinguish demonstrated work from planned investment and prototype activity.

Evidence: Entity/site/family schedule with role and source-of-manufacture fields.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-01.03 | ESSENTIAL GATE

Standard p. 7

Customer, supplier and sub-tier interfaces

Map responsibility across the direct customer, system integrator, design owner, source manufacturer, sub-tier processors and service operator. Assign control of specification, change, acceptance, release and field remedy at each handover. Recognition shall not automatically extend to customers, subsidiaries, upstream sources, downstream distributors or a complete vehicle.

Evidence: Signed responsibility map and bounded scope rows.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-01.04 to PSR-02.02

ENTITY / SCOPE / CASE REFERENCE

PSR-01.04

Standard p. 7

Local operation and language

Provide competent access to the languages, locations, shifts and source records needed for assessment. Translation shall preserve technical meaning and original records shall remain available. If access, safety or lawful evidence transfer prevents a necessary audit, the affected scope shall remain unrecognised until equivalent direct evidence is obtained.

Evidence: Access plan, interpreters, originals and restrictions register.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-02.01 | ESSENTIAL GATE**

Standard p. 8

Executive ownership

Assign an executive accountable for quality, product safety, customer outcomes and truthful corporate claims. Approve measurable objectives, resources and escalation routes. Review serious failures directly; commercial targets shall not override release criteria, containment or required notification. Delegation shall identify decisions that remain reserved to accountable management.

Evidence: Board mandate, objectives and escalation decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-02.02 | ESSENTIAL GATE**

Standard p. 8

Independent challenge

Give competent quality and safety personnel authority to stop release or production when acceptance criteria are not met. Protect this authority from retaliation and sales pressure. A person responsible for creating a material nonconformity shall not alone authorize its closure or release a concession affecting safety.

Evidence: Stop-work records and independent release authorizations.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-02.03 to PSR-03.01

ENTITY / SCOPE / CASE REFERENCE

PSR-02.03

Standard p. 8

Management structure

Define process owners, deputy arrangements and interfaces across development, purchasing, operations, digital services and field support. Combined roles are permitted where competence, workload and independent checks remain effective. Outsourcing or centralization shall not remove responsibility for customer commitments or product integrity.

Evidence: Organization chart, role descriptions and interface reviews.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-02.04 | ESSENTIAL GATE**

Standard p. 8

Ethical direction

Operate an accessible reporting channel for employees, contractors and affected parties. Protect good-faith reports, restrict identities and investigate objectively. Prohibit bribery, falsified test results, concealed defects and retaliation. Record remedial action and review whether incentives encourage unsafe release, understated failures or misleading sales claims.

Evidence: Protected reports, investigation controls and incentive review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-03.01 | ESSENTIAL GATE**

Standard p. 8

Adopted quality basis

Adopt this programme quality baseline and identify every additional IAF requirement text claimed as implemented. Record exact title, edition, approval status, scope and responsible owner. A draft, membership record or implementation plan shall not be represented as an approved standard certificate or completed conformity assessment.

Evidence: Authorized adoption schedule and controlled requirement texts.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-03.02 to PSR-03.04

ENTITY / SCOPE / CASE REFERENCE

PSR-03.02 | ESSENTIAL GATE

Standard p. 8

Requirement-level implementation map

Map every applicable PSR requirement and each additional claimed IAF requirement to its process, owner, original evidence and evaluation result. Assess exclusions at the lowest practical requirement. Missing evidence, outsourcing, cost or a low historical failure rate shall not justify non-applicability. Resolve disputed applicability before a recognition decision.

Evidence: Clause-level matrix with approved exclusions and evidence IDs.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-03.03 | ESSENTIAL GATE

Standard p. 9

Demonstrated supplier operating history

For initial recognition, demonstrate at least six months of implemented operation, one completed internal audit and one management review. Each included responsibility-family/site shall have at least three distinct completed production, release or delivery cases with acceptance and traceability evidence. Repeated copies of one record do not create independent cases. Low-volume and newly established suppliers shall wait for sufficient actual evidence.

Evidence: Dated operation and case population; three complete cases per family/site.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-03.04 | ESSENTIAL GATE

Standard p. 9

Truthful conformity statements

Distinguish the PSR corporate recognition conclusion from any separate IAF product rating, identity record, test report or standard certification. Additional conformity claims shall identify their separately verified basis. Future adoption, partial implementation and unresolved evidence shall be disclosed as such; recognition shall not convert them into full conformity.

Evidence: Public claims register and claim-to-decision mapping.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-04.01 to PSR-04.03

ENTITY / SCOPE / CASE REFERENCE

PSR-04.01 | ESSENTIAL GATE

Standard p. 9

Obligation register

Identify applicable product, workplace, environmental, trade, privacy and consumer obligations for each operating location and destination market. Assign owners, current evidence and change monitoring. Where requirements conflict, obtain competent resolution and stop the affected release until a lawful, technically acceptable route is established.

Evidence: Market obligation register, permissions and compliance reviews.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-04.02 | ESSENTIAL GATE**

Standard p. 9

Truthful capability and commercial representations

Substantiate specifications, origin, authorized-source status, capacity, lead time, software entitlements, warranty and environmental statements. Distinguish owned capability from contracted capability and disclose technically material limits before commitment. A nomination, quotation, customer audit, prototype success or corporate recognition shall not be presented as product acceptance or unrestricted vehicle compatibility.

Evidence: Claim register, quotation review, capability evidence and approvals.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-04.03**

Standard p. 9

Integrity of transactions

Control contracting authority, conflicts, gifts, agents and payment changes. Verify parties and delivery instructions proportionately to fraud risk. Protect customer property and confidential information. Record complaints about invoicing, substitution, counterfeit supply or diverted payments and investigate links to wider operational weakness.

Evidence: Contract approvals, agent checks and fraud response records.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-04.04 to PSR-05.02

ENTITY / SCOPE / CASE REFERENCE

PSR-04.04

Standard p. 9

Remedy and transparency

Provide clear terms for delivery, rejection, repair, replacement, refunds where due and dispute escalation. Communicate material inability to fulfil obligations promptly and accurately. Preserve contractual and customer rights; recognition shall not be used to dismiss a legitimate complaint or imply that IAF assumes the organization liabilities.

Evidence: Customer terms, remedy decisions and communication records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-05.01 | ESSENTIAL GATE

Standard p. 10

Lifecycle risk ownership

Maintain a live risk register spanning design, procurement, production, transport, use, service and end-of-life responsibilities. Evaluate foreseeable misuse, vulnerable users, combinations of failures and supplier dependency. Define owners, prevention, detection and response, then update assessments after changes, incidents and new field evidence.

Evidence: Lifecycle risk assessments linked to controls and changes.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-05.02 | ESSENTIAL GATE

Standard p. 10

Safety allocation and critical characteristics

Identify how supplied output can affect vehicle, worker or user safety, including component combinations and foreseeable misuse. Translate allocated safety duties into controlled critical characteristics and verifiable interface assumptions. Trace these through requirements, engineering, sub-tier controls, release and field monitoring. Obtain competent resolution of missing safety information before affected supply; a customer commercial waiver shall not establish safety.

Evidence: Safety responsibility matrix, assumptions and characteristic-to-control trace.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-05.03 to PSR-06.01

ENTITY / SCOPE / CASE REFERENCE

PSR-05.03 | ESSENTIAL GATE

Standard p. 10

Safe release and escalation

Prevent release where safety, legal conformity or essential integrity is unproven. Quarantine affected products and configurations, preserve evidence and evaluate previously delivered populations. Make decisions on containment and notification without waiting for a complete root-cause analysis. Provide clear stop, escalation and authorized restart criteria.

Evidence: Release holds, containment populations and restart approvals.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-05.04 | ESSENTIAL GATE**

Standard p. 10

Risk verification

Test whether controls actually prevent or detect the defined failure under credible operating conditions. Include abnormal operation, degraded resources and human error. A numerical risk score shall not conceal an unacceptable consequence or replace technical judgment; failed assumptions shall trigger new evidence and re-evaluation.

Evidence: Challenge tests, adverse results and risk review decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-06.01 | ESSENTIAL GATE**

Standard p. 10

Task competence

Define competence for design approval, critical production, inspection, software release and field remedy roles. Demonstrate ability through observed work and assessment, then authorize bounded tasks. Training attendance alone is insufficient. Reassess after long absence, major technology change, repeated error or withdrawal of an underlying qualification.

Evidence: Role competence criteria, observations and authorization matrix.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-06.02 to PSR-06.04

ENTITY / SCOPE / CASE REFERENCE

PSR-06.02

Standard p. 10

Human performance

Control fatigue, workload, communication, ergonomic barriers and error-prone interfaces where they affect quality or safety. Provide understandable work instructions and safe escalation. Temporary and contracted workers shall receive equivalent task preparation and supervision; production pressure shall not bypass competent staffing or required independent verification.

Evidence: Shift plans, task design reviews and supervision evidence.

RESULT

Select

FINDING ID**EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL****PSR-06.03 | ESSENTIAL GATE**

Standard p. 11

Respect and protection

Prohibit forced labour, abusive recruitment, unlawful child labour, discrimination and retaliation in controlled operations. Identify high-risk labour arrangements and pursue remedy for credible harm. Provide safe reporting and worker interviews without management coaching or intimidation. Age and identity checks shall respect privacy and lawful retention.

Evidence: Workforce due diligence, grievance access and remedy records.

RESULT

Select

FINDING ID**EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL****PSR-06.04**

Standard p. 11

Knowledge continuity

Preserve critical process knowledge, lessons learned and deputy capability. Protect technical information while enabling authorized access at the point of use. Record competence risks from turnover, site moves and automation. Validate that new staff and digital assistance can execute the actual controlled process reliably.

Evidence: Knowledge records, deputy coverage and change readiness reviews.

RESULT

Select

FINDING ID**EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**

Requirement assessment | PSR-07.01 to PSR-07.03

ENTITY / SCOPE / CASE REFERENCE

PSR-07.01 | ESSENTIAL GATE

Standard p. 11

Customer requirements and interface baseline

Review the complete requirement package, including drawings, data models, interfaces, software behaviour, environmental conditions, lifetime, appearance, cleanliness, packaging and service obligations where applicable. Reconcile conflicting revisions and identify who may interpret or change requirements. Manufacturing-only suppliers shall assess feasibility and escalate unsafe or ambiguous design inputs without claiming design authority.

Evidence: Versioned customer requirement register and resolved feasibility questions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-07.02 | ESSENTIAL GATE

Standard p. 11

Product and system validation

Plan verification and intended-application validation for the released hardware, software, materials and system interfaces. Use representative production routes, tolerances, operating environments and adverse cases. Record test failures, repeat tests, deviations and any unresolved integration assumptions. A passing component test shall not establish complete-system safety or suitability for an untested customer application.

Evidence: Requirement-to-test matrix, original results and bounded validation decision.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-07.03 | ESSENTIAL GATE

Standard p. 11

Supplier engineering and configuration change

Assess changes to source, material, formulation, design, software, process, equipment, tool, location or acceptance method before implementation. Identify affected customers, contractual notification rules, necessary approval, revalidation and implementation boundary. Emergency substitution shall remain traceable and shall not bypass essential safety or required customer authorization. Verify old and new configuration segregation and field compatibility.

Evidence: Change dossier, customer disposition, qualification and cut-in genealogy.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-07.04 to PSR-08.02

ENTITY / SCOPE / CASE REFERENCE

PSR-07.04

Standard p. 11

Development learning

Capture field failures, manufacturing variation, service difficulties and customer feedback in future designs. Review maintainability, disassembly, secure updating and accessibility where relevant. Control experimental work and prototypes separately from released products, with explicit restrictions on sale, use and recognition claims.

Evidence: Lessons learned, design updates and prototype controls.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-08.01 | ESSENTIAL GATE**

Standard p. 12

Risk-based sub-tier qualification

Identify the actual manufacturing and processing chain for critical purchased output, including customer-nominated sources. Evaluate competence, controls, capacity, authenticity, change discipline and source continuity. Nomination does not remove supplier responsibility to escalate unresolved risk. Tier number, a certificate or a purchasing score alone shall not establish product-specific assurance.

Evidence: Sub-tier risk map, actual-site evaluations and approval boundaries.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-08.02 | ESSENTIAL GATE**

Standard p. 12

Sub-tier flow-down and verification rights

Flow relevant technical, safety, authenticity, traceability, change, retention and support duties to sub-tiers. Define approval and concession authority, escalation contacts and access to original evidence. Verify that critical requirements reach the operation that controls the characteristic. Where disclosure is restricted, establish a lawful controlled review route; essential evidence shall remain independently verifiable.

Evidence: Contracted requirements, cascading maps and verified access arrangements.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-08.03 to PSR-09.01

ENTITY / SCOPE / CASE REFERENCE

PSR-08.03 | ESSENTIAL GATE

Standard p. 12

Incoming and purchased-output assurance

Select acceptance checks from product and source risk, observed performance and validated evidence. Confirm identity, configuration, authenticity and applicable characteristics before use. Retain the basis for reduced inspection and restore checks when evidence changes. A favourable delivery record shall not justify accepting counterfeit, substituted, expired or unverified critical output.

Evidence: Receipt evidence, source trends and inspection-change decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-08.04 | ESSENTIAL GATE**

Standard p. 12

External laboratory and digital evidence

Establish that external test, inspection, simulation and data providers are competent for the actual method, range, configuration and decision. Link reports to identifiable samples and obtain the technical basis, raw evidence and limitations needed for review. Check authenticity and changes to reports. Outsourcing evidence generation shall not transfer the supplier responsibility for release.

Evidence: Provider assessment, sample chain, report authentication and technical review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-09.01 | ESSENTIAL GATE**

Standard p. 12

Product-to-process readiness

Translate released design and customer requirements into the actual process sequence, risks, operating windows, control points, reaction rules and release checks. Link every critical characteristic to its control and owner. Demonstrate readiness of the actual tools, cavities, fixtures, software, materials, people and sub-tiers. Unresolved production assumptions shall remain visible in the readiness decision.

Evidence: Linked process flow, risk/control records and actual-route trial results.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-09.02 to PSR-09.04

ENTITY / SCOPE / CASE REFERENCE

PSR-09.02 | ESSENTIAL GATE

Standard p. 12

Capacity and sustainable delivery commitment

Demonstrate usable capacity at the constraint operation using representative demand mix, changeovers, maintenance, staffing, inspection, rework and supplier availability. Distinguish theoretical speed from accepted output at sustained quality. Evaluate peak, service-part and recovery demand before committing. Additional stock or overtime shall not conceal an unqualified process or an unsustainable staffing plan.

Evidence: Constraint-run evidence, capacity calculation and customer commitment review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-09.03 | ESSENTIAL GATE**

Standard p. 13

Controlled launch and escalation

Define enhanced checks, containment boundaries, evidence review frequency and objective exit criteria for new or changed supply. Include digital deployments and transferred processes where relevant. Review defect escapes and customer observations independently. A planned end date, production target or favourable aggregate score alone shall not authorize exit from enhanced controls.

Evidence: Launch control plan, actual results, defect review and exit authorization.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-09.04 | ESSENTIAL GATE**

Standard p. 13

Prototype and low-volume integrity

Identify prototype, pilot, special-build and service-part output and control its permitted use. Explain differences from the intended serial route and prevent unauthorized substitution into serial supply. Low volume may justify alternative evidence methods but shall not remove traceability, acceptance, competence or critical validation. Preserve the specific customer disposition for any bounded development shipment.

Evidence: Build status, route differences, use restrictions and acceptance evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-10.01 to PSR-10.03

ENTITY / SCOPE / CASE REFERENCE

PSR-10.01 | ESSENTIAL GATE

Standard p. 13

Controlled execution

Provide current instructions, process parameters, verified materials and competent staff at every relevant workstation. Control line clearance, tool settings, software versions and error-proofing. Prevent unauthorized bypass of inspection or interlocks; monitor bypass events and require safe documented restoration before normal operation resumes.

Evidence: Work instructions, parameter records and bypass log.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-10.02 | ESSENTIAL GATE

Standard p. 13

Special-process and hidden-feature control

Validate processes whose essential characteristics cannot be fully established by later inspection. Control parameter windows, loads, recipes, equipment state, operator competence and periodic challenge evidence. Link records to affected lots and define retrospective containment after a lost parameter or failed check. Destructive sampling, virtual evidence and final testing shall be justified for the actual hidden-feature risk.

Evidence: Qualified windows, challenge results, lot parameters and impact assessment.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-10.03 | ESSENTIAL GATE

Standard p. 13

Tools, equipment and customer property

Identify ownership, permitted use, condition and maintenance of tools, gauges, fixtures, test assets and software licences. Control cavity identity, spare parts, storage and tool-life limits. Verify capability after repair, transfer or long inactivity. Prevent unauthorized use, extra production or disposal of customer-owned assets and preserve agreed recovery or return arrangements.

Evidence: Asset register, condition checks, recovery rights and change qualification.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-10.04 to PSR-11.02

ENTITY / SCOPE / CASE REFERENCE

PSR-10.04 | ESSENTIAL GATE

Standard p. 13

Nonconforming output

Identify, segregate and control nonconforming, suspect, repaired and reworked output. Obtain authorized technical disposition and required customer consent. Verify rework against defined criteria and preserve original failure records. Scrap and rejected identifiers shall be controlled to prevent unauthorized re-entry into the supply chain.

Evidence: Disposition log, rework verification and scrap reconciliation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-11.01 | ESSENTIAL GATE**

Standard p. 14

Measurement suitability

Demonstrate that methods, instruments, software and people can resolve the acceptance decision required. Evaluate calibration, uncertainty or measurement variation as relevant, environmental effects and data processing. A calibration label alone shall not demonstrate suitability for the actual range, tolerance, fixture or production decision.

Evidence: Method validation, calibration and measurement capability studies.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-11.02 | ESSENTIAL GATE**

Standard p. 14

Test integrity

Identify the tested item and configuration, conditions, method, equipment, operator, date and original observations. Preserve failed, aborted and repeated runs with reasons. Protect raw data from silent alteration; corrections shall remain traceable. Results shall not be selectively omitted to create a false appearance of conformity.

Evidence: Original test files, audit trails and repeat-run justifications.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-11.03 to PSR-12.01

ENTITY / SCOPE / CASE REFERENCE

PSR-11.03 | ESSENTIAL GATE

Standard p. 14

Acceptance rules and bounded concessions

Define acceptance criteria and decision rules before testing or release, including measurement uncertainty where material. Concessions shall identify exact product, quantity, time, characteristic, risk, customer authority and expiry. They shall not waive law, essential safety or integrity. Repeat deviations require cause correction, not indefinite renewal. A concession is not general approval of a product family.

Evidence: Acceptance basis, technical decision and controlled concession register.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-11.04 | ESSENTIAL GATE

Standard p. 14

Release authority and shipment integrity

Authorize release only after required internal checks and applicable customer dispositions are complete. Verify exact configuration, quantity, destination and supporting records. Separate technical release from commercial pressure and physical shipment. Mark incomplete development output with explicit permitted use; corporate recognition shall never be used to override a missing product or customer approval.

Evidence: Release record, customer disposition, shipment lock and approver authority.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-12.01 | ESSENTIAL GATE

Standard p. 14

Part, system and digital configuration identity

Maintain controlled identity for products, materials, batches, systems, firmware, software and applicable service configurations. Record revision, variant, source and status; prevent reuse of an identifier for incompatible output. Preserve correspondence between customer identifiers and supplier identifiers. A label or digital token shall resolve to trustworthy underlying records rather than act as proof by itself.

Evidence: Identifier rules, revision histories, cross-reference and delivery manifest.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-12.02 to PSR-12.04

ENTITY / SCOPE / CASE REFERENCE

PSR-12.02 | ESSENTIAL GATE

Standard p. 14

Genealogy across supply tiers

Link released output backward to critical materials, sub-tier lots, processing, tests, tools and software, then forward to recipients and applicable integration records. Address splitting, merging, rework, substitutions and returns without erasing lineage. Reconcile quantities and time boundaries sufficiently to identify a defensible affected population after an upstream defect or compromised software component.

Evidence: Bidirectional genealogy, quantity balance and exception resolution.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-12.03 | ESSENTIAL GATE

Standard p. 15

Traceability challenge

At least annually, conduct a timed backward-and-forward traceability exercise using actual output selected independently of the process owner. Establish a justified retrieval target beforehand and reconcile affected quantities and destinations. Treat unexplained gaps as nonconformities, contain the potential population and verify correction.

Evidence: Exercise scope, elapsed times, reconciliation and closure evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-12.04

Standard p. 15

Records and authenticity

Retain quality and release evidence for at least ten years after last supply or end of promised support, whichever is later, subject to longer duties and holds. Apply proportionate retention to personal data. Ensure records remain interpretable and authentic after system migration; a hash alone does not prove truthful collection.

Evidence: Retention schedule, migration checks, access controls and holds.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-13.01 to PSR-13.03

ENTITY / SCOPE / CASE REFERENCE

PSR-13.01

Standard p. 15

Preservation controls

Protect product identity, cleanliness, moisture condition, shelf life and physical integrity during storage and transport. Define handling of hazardous energy and sensitive components. Verify packaging and route assumptions against realistic risks; damaged or uncontrolled consignments shall receive technical disposition before delivery or use.

Evidence: Packaging validation, condition records and damage disposition.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-13.02 | ESSENTIAL GATE

Standard p. 15

Inventory integrity

Control incoming, released, quarantined, obsolete and returned stock using distinguishable states. Reconcile inventory movements and prevent unauthorized substitution. Verify storage-life extensions by competent evidence, not relabelling alone. Confirm that electronic inventory status matches physical location and identification.

Evidence: Stock-state controls, reconciliation and shelf-life decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-13.03 | ESSENTIAL GATE

Standard p. 15

Delivery, configuration and receipt

Verify the released identity, revision, quantity, packaging, destination and required evidence before dispatch or digital delivery. Protect transit and installation conditions, then resolve receipt discrepancies. Keep on-time, complete, correct-configuration and accepted-delivery results distinguishable. Customer receipt or payment alone shall not be treated as technical acceptance of unverified output.

Evidence: Release-to-delivery trace, receipt discrepancies and configuration checks.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-13.04 to PSR-14.02

ENTITY / SCOPE / CASE REFERENCE

PSR-13.04

Standard p. 15

External logistics

Define and monitor responsibilities for carriers, warehouses, distributors and export handling. Preserve critical environmental and custody data across transfers. Changes in route or provider shall be reviewed when they affect product integrity, traceability, legal obligations or customer commitments.

Evidence: Logistics agreements, route reviews and transfer records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-14.01 | ESSENTIAL GATE

Standard p. 16

Secure supplier software lifecycle

Control requirements, source, dependencies, toolchain, build, test, release and deployment. Maintain a delivered software component inventory with versions, licences, integrity identifiers and support ownership. Assess vulnerability relevance to the actual configuration, protect signing secrets and verify provenance. Generated code and outsourced development require competent review and do not transfer safety or security responsibility.

Evidence: Versioned component inventory, build provenance and release assurance.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-14.02 | ESSENTIAL GATE

Standard p. 16

Updates, compatibility and recovery

Validate each update against supported hardware, software, calibration and interface combinations. Authorize distribution, protect integrity and confirm completion; a downloaded package is not an installed remedy. Provide safe failure handling and a validated recovery route, recognizing that rollback may itself be unsafe. Preserve version, recipient, deployment and adverse-result history through service and ownership changes.

Evidence: Update compatibility matrix, deployment evidence and recovery tests.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-14.03 to PSR-15.01

ENTITY / SCOPE / CASE REFERENCE

PSR-14.03 | ESSENTIAL GATE

Standard p. 16

Data protection and access

Limit collection and access to necessary information with a defined lawful purpose. Protect personal, customer and proprietary data through role controls, secure transfer, retention and deletion. Assess digital suppliers and report material compromises through required channels. Audit evidence shall not require unnecessary public disclosure of sensitive data.

Evidence: Data map, permissions, transfer controls and incident records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-14.04 | ESSENTIAL GATE

Standard p. 16

Automated decisions

Validate automated inspection, scheduling and release logic against defined performance and failure conditions. Monitor drift, overrides and false acceptance. Assign accountable human decision owners and maintain a safe fallback. An algorithm or generative assistant shall not alone decide corporate recognition or conceal the original basis for a quality decision.

Evidence: Automation validation, drift monitoring and human review records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-15.01 | ESSENTIAL GATE

Standard p. 16

Product and system support commitments

Define warranted performance, service life, response duties, repair data, spares, security support and end-of-support dates appropriate to each contract and application. Confirm resources and access rights for promised support. Control differences among customer programmes using the same product. A subscription, business transfer or sub-tier exit shall not silently remove an accepted support obligation.

Evidence: Contractual support matrix, resource plan and service-performance evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-15.02 to PSR-15.04

ENTITY / SCOPE / CASE REFERENCE

PSR-15.02

Standard p. 16

Service competence and control

Provide current service instructions, competent personnel, suitable tools and controlled replacement parts across authorized service networks. Validate repairs and safety-related calibrations. Capture actual performed work, installed configurations and unresolved conditions; outsourced service remains subject to effective manufacturer oversight.

Evidence: Service authorization, repair records and network review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-15.03 | ESSENTIAL GATE

Standard p. 17

Field information and accessibility

Collect complaints, warranty claims, incidents and repair difficulties using consistent definitions and exposure denominators. Provide usable reporting and accessible safety communication for affected users. Investigate low-frequency severe events promptly; a low overall complaint rate shall not dismiss a credible critical failure.

Evidence: Field data, trend reviews and user communication tests.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-15.04

Standard p. 17

End-of-support and transfer

Plan safe withdrawal of products, services and digital functions. Preserve necessary safety records, vulnerability routes and remedy capability after commercial discontinuation. Assess data, keys, software, parts and liability when support transfers to another provider; notify affected users of material limitations and actions.

Evidence: Withdrawal plan, transfer agreement and residual-risk review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-16.01 to PSR-16.03

ENTITY / SCOPE / CASE REFERENCE

PSR-16.01

Standard p. 17

Complaint handling

Provide accessible complaint channels, acknowledge receipt and assign impartial handling appropriate to the concern. Preserve evidence, involve technical owners and communicate decisions with reasons. Distinguish ordinary service disputes from product safety, deception or systemic quality failures; escalation shall not depend on customer purchasing power.

Evidence: Complaint register, investigation and response trail.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-16.02 | ESSENTIAL GATE**

Standard p. 17

Coordinated field action and remedy

Maintain contacts and authority to notify customers, trace affected output, stop further supply and coordinate field remedies. Demonstrate the ability to establish a defensible population across sub-tiers and customer integrations without waiting for complete root cause. Distinguish notified, reached, repaired, updated and verified-effective cases. Preserve necessary customer and authority reporting duties.

Evidence: Field-action exercise, recipient trace, remedy completion and residual exposure.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-16.03 | ESSENTIAL GATE**

Standard p. 17

Urgent incident reporting

Notify IAF within 48 hours of awareness of a credible serious safety incident, systemic falsification, material recognition-scope failure or major compromise of relevant evidence. Take immediate protective action and comply with any earlier binding notification. Initial notice may be incomplete but shall identify known facts, uncertainties, containment and responsible contacts.

Evidence: Incident notice, awareness time and containment record.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-16.04 to PSR-17.02

ENTITY / SCOPE / CASE REFERENCE

PSR-16.04 | ESSENTIAL GATE

Standard p. 17

Learning and restoration

Verify root cause, affected configurations, remedy feasibility and post-remedy performance before lifting internal holds. Review whether other products, sites or customers share the failure mechanism. Preserve adverse history and communicate corrections to recipients of misleading information; closure shall not erase the original event.

Evidence: Cross-scope impact review and independent effectiveness verification.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-17.01 | ESSENTIAL GATE

Standard p. 18

Material and substance responsibility

Identify supplied material composition, restricted substances, resource impacts and handling hazards relevant to destination and use. Trace declarations to actual materials and sources, with uncertainty and revision controls. Validate recovered or alternative inputs for the required performance. Environmental claims shall not conceal a loss of safety, durability, reparability or responsible sourcing.

Evidence: Material declarations, source evidence, hazard controls and change validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-17.02 | ESSENTIAL GATE

Standard p. 18

Credible performance claims

Substantiate environmental statements with defined boundaries, periods, units, methods, data quality and uncertainty. Separate measured results from estimates and future targets. Explain allocation, recycled-content and mass-balance assumptions where used; avoid double counting or presenting purchased claims as physical changes without qualification.

Evidence: Claim dossiers, source data and reconciliation records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-17.03 to PSR-18.01

ENTITY / SCOPE / CASE REFERENCE

PSR-17.03

Standard p. 18

Repair, recovery and reuse

Consider durability, repairability, safe disassembly, reuse and recovery within product responsibilities. Validate the condition and limits of recovered parts or materials before use. Preserve identity and performance evidence so circular activity does not conceal prior damage, degraded safety or unsuitable second-life operation.

Evidence: Recovery criteria, condition assessments and reuse validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-17.04

Standard p. 18

Improvement and external harm

Set measurable priorities for material environmental impacts and review progress with accountable owners. Assess adverse effects on neighbouring communities and shared resources. Investigate credible grievances and implement remedy. Achievements in one area shall not offset uncontrolled harm or misleading claims in another.

Evidence: Improvement objectives, monitoring and grievance actions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-18.01 | ESSENTIAL GATE

Standard p. 18

Supply continuity and concentration risk

Identify constraint sub-tiers, scarce components, shared infrastructure, logistics routes, tooling, skilled people, software dependencies and single-source knowledge. Assess common-cause failure and geographic concentration across apparently separate suppliers. Agree recovery priorities and decision owners. Alternative supply shall meet applicable approval and change requirements before serial use.

Evidence: Dependency map, recovery priorities and qualified alternative-source plan.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-18.02 to PSR-18.04

ENTITY / SCOPE / CASE REFERENCE

PSR-18.02 | ESSENTIAL GATE

Standard p. 18

Tested recovery

Exercise critical recovery scenarios at least annually and after material change. Verify safe restart, data restoration, product disposition and customer communication. Alternative materials, sites and suppliers shall satisfy normal technical approval; emergency sourcing shall not create an undocumented exception to safety or traceability.

Evidence: Recovery exercise, restore tests and restart approvals.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-18.03**

Standard p. 19

Resource and financial continuity

Demonstrate sufficient arrangements to sustain quality controls, agreed support and material remedies. Review foreseeable inability to fund commitments, critical insurance or contractual protection where relevant. Disclose material continuity threats to IAF. Recognition shall not be advertised as a credit rating, investment assurance or guarantee of solvency.

Evidence: Resource review, support funding and continuity disclosures.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-18.04 | ESSENTIAL GATE**

Standard p. 19

Orderly cessation

Plan controlled reduction, insolvency-related disruption, site closure and transfer of operations. Protect customer property, safety records, pending complaints and field remedies. Notify IAF before planned cessation of recognised activity and promptly after unplanned loss of capability; stop unsupported recognition claims.

Evidence: Cessation plan, records custody and customer protection steps.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-19.01 to PSR-19.03

ENTITY / SCOPE / CASE REFERENCE

PSR-19.01 | ESSENTIAL GATE

Standard p. 19

Design ownership and build-to-print accountability

Before accepting work, assign design authority, manufacturability review, process validation, test approval, release, change and field-action duties. A build-to-print supplier shall execute the approved specification and report known defects or unsafe ambiguity; a system integrator shall control allocated interfaces. No contractual label shall be used to avoid a responsibility the supplier actually performs.

Evidence: Customer responsibility agreement and design/process authority matrix.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-19.02 | ESSENTIAL GATE**

Standard p. 19

Intellectual property and evidence access

Protect customer designs, source code, test data, tooling and controlled know-how. Verify rights to use, modify, supply and support third-party content. Establish restricted evidence-review arrangements that preserve technical substance and original provenance. A non-disclosure agreement shall not become an unexplained refusal of essential assurance or conceal unauthorized copying and counterfeit content.

Evidence: Rights register, protected review plan and customer-property controls.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-19.03 | ESSENTIAL GATE**

Standard p. 19

Outsourced critical operation

Retain effective technical access and control over outsourced operations essential to the scope. Require relevant original records and permit necessary IAF witnessing through contracts. If the needed evidence or access is unavailable, exclude the affected activity or defer recognition; contractual assurances alone are insufficient.

Evidence: Access clauses, witnessed operations and outsourced evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-19.04 to PSR-20.02

ENTITY / SCOPE / CASE REFERENCE

PSR-19.04

Standard p. 19

Interface change control

Coordinate technical and commercial changes between brand owner, contract factory and service operator. Establish who informs customers and regulators, updates identity records and maintains post-sale support. Changes in responsibility shall be approved and traceable before affected products are presented under a recognised scope.

Evidence: Joint change approvals and updated interface matrix.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-20.01 | ESSENTIAL GATE

Standard p. 20

Quotation, nomination and contract feasibility

Review customer requirements, technical assumptions, capacity, lead time, support, changes, liability allocation and acceptance routes before commercial commitment. Record unresolved matters and obtain competent approvals. Distinguish quotation, nomination, development authorization and serial-supply release. Contract pressure shall not authorize shipment, unsupported capability claims or deletion of adverse evidence.

Evidence: Contract review, assumptions log and role-based acceptance gates.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-20.02

Standard p. 20

Service outcome control

For engineering, installation, digital or other related services included in scope, define deliverables, acceptance criteria, competence and evidence of completion. Monitor outsourced service interfaces and unintended effects on product configuration. A manufacturer recognition claim shall identify which services were actually assessed.

Evidence: Service plans, acceptance records and bounded scope statements.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-20.03 to PSR-21.01

ENTITY / SCOPE / CASE REFERENCE

PSR-20.03

Standard p. 20

Honest performance communication

Provide customers with accurate quality, delivery and support information. Distinguish committed dates from forecasts, complete delivery from partial fulfilment and original yield from reworked output. Correct material errors in previously supplied reports and prevent selective reporting that hides recurrent failures.

Evidence: Customer dashboards, correction notices and data reconciliation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-20.04**

Standard p. 20

Fair access and remedy

Offer practical routes for customers and affected users to obtain essential safety information, raise concerns and pursue agreed remedies. Consider accessibility, language and geographic constraints. Protect complainants from adverse treatment and monitor whether remedy delays or exclusions undermine the commitments used to establish trust.

Evidence: Contact routes, remedy ageing and accessibility review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-21.01**

Standard p. 20

Supplier quality and trust indicators

Monitor first-pass quality, defect escapes, customer returns, delivery completeness, configuration accuracy, response time, recurring findings, source risk and support effectiveness. Define denominator, time basis, severity and responsibility. Separate an organization-wide trend from programme or site results. No attractive score shall compensate for unverified release, falsified evidence or an unresolved essential safety condition.

Evidence: Indicator dictionary, source data, adverse trends and management actions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-21.02 to PSR-21.04

ENTITY / SCOPE / CASE REFERENCE

PSR-21.02 | ESSENTIAL GATE

Standard p. 20

Data reconciliation

Reconcile reported performance to original production, shipment, service and finance records as appropriate. Control duplicates, exclusions, changed definitions and restatements. Report missing data and uncertainty. Preserve both initial failure and final disposition so repair or reclassification cannot artificially improve first-pass or escape measures.

Evidence: Data lineage, reconciliation and restatement log.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-21.03

Standard p. 21

Comparability and interpretation

Compare like products, configurations, exposure and periods. Distinguish a rate change caused by denominator, product mix or detection from an actual improvement. Explain statistical limitations of small samples and avoid universal capability claims derived from a short, selected or unrepresentative production run.

Evidence: Trend analysis with exposure, mix and limitation notes.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-21.04

Standard p. 21

Action on deterioration

Define escalation thresholds for adverse indicators and serious single events. Investigate causes, allocate resources and verify actions at relevant sites and products. Leadership shall review overdue actions and recurring misses; targets shall not be retrospectively changed merely to conceal poor performance.

Evidence: Escalation records, management decisions and action verification.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-22.01 to PSR-22.03

ENTITY / SCOPE / CASE REFERENCE

PSR-22.01 | ESSENTIAL GATE

Standard p. 21

Risk-based internal audit

Complete an internal audit before initial recognition and at least annually thereafter. Cover all applicable requirements, sites, shifts and controlled activities over a planned cycle, with annual attention to critical risks and prior failures. Auditors shall be competent and independent of the work audited to a degree appropriate to the risk.

Evidence: Audit programme, competence and coverage matrix.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-22.02**

Standard p. 21

Effective audit evidence

Use observation, original records, independent interviews and forward-and-backward traces. Include adverse cases and unannounced process observations where justified and lawful. Record contradictions and sampling limits. A checklist tick without identifiable evidence shall not establish implementation or support closure of a finding.

Evidence: Audit trails, samples, observations and reasoned conclusions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-22.03 | ESSENTIAL GATE**

Standard p. 21

Management review

At least annually, review quality objectives, safety, customer outcomes, supplier performance, resources, changes, audit results and unresolved risks. Decide actions with owners and deadlines. Include whether recognition claims remain accurate and whether continued operation can meet support and remedy commitments.

Evidence: Review inputs, decisions and follow-up evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-22.04 to PSR-23.02

ENTITY / SCOPE / CASE REFERENCE

PSR-22.04

Standard p. 21

Learning across sites

Share relevant lessons and verify implementation where the same risk exists. Central corrective action shall be tested at affected sites rather than assumed effective throughout the group. Retain local exceptions, cultural or language barriers and process differences in the improvement decision.

Evidence: Cross-site deployment and local effectiveness checks.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-23.01 | ESSENTIAL GATE

Standard p. 22

Containment and impact

On discovery of nonconformity, contain affected work, identify potentially delivered output and assess wider scope. Preserve original evidence and assign accountable action owners. The first response shall protect users and truthful information; immediate correction alone shall not be recorded as proof that the cause has been removed.

Evidence: Containment record and affected-population assessment.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-23.02

Standard p. 22

Cause and systematic action

Determine technical, human, management and detection causes using verifiable evidence. Select actions that address recurrence and escape, including similar operations. Avoid unsupported attribution to operator error. Record why alternatives were rejected and how changes will be implemented without creating new safety, quality or support risks.

Evidence: Cause analysis, systemic actions and change risk review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-23.03 to PSR-24.01

ENTITY / SCOPE / CASE REFERENCE

PSR-23.03 | ESSENTIAL GATE

Standard p. 22

Effectiveness verification

Set measurable closure criteria and a representative observation period before claiming success. Use an independent competent verifier for material findings. Re-perform failed controls, review new production and field data, and check other affected sites. Training records or revised procedures alone shall not establish effective closure.

Evidence: Closure criteria, new evidence and verifier authorization.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-23.04 | ESSENTIAL GATE**

Standard p. 22

IAF finding deadlines

For initial recognition, all applicable findings shall be closed and verified before grant. During active recognition, an isolated Minor may remain open for at most 90 calendar days only with protected safety, validity and integrity. A Major requires immediate containment and a 30-calendar-day closure target; unresolved affected scope enters suspension review. Critical findings trigger immediate protective suspension review.

Evidence: Finding log, verified closure and scope/status decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-24.01 | ESSENTIAL GATE**

Standard p. 22

Bounded public status

Use Corporate Recognised wording only for the active legal entity, named sites and approved activities in the current recognition schedule. Display the recognition ID and verification route with material claims. Membership, application, readiness assessment, product tests and supplier status shall not be represented as corporate recognition.

Evidence: Approved wording, current schedule and public-use samples.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-24.02 to PSR-24.04

ENTITY / SCOPE / CASE REFERENCE

PSR-24.02 | ESSENTIAL GATE

Standard p. 22

Material changes

Notify IAF before implementing planned changes that alter scope, control, site, ownership, technology or capability; unplanned material changes shall be notified within five calendar days of awareness. Apply the 48-hour rule for serious incidents. Expanded activities remain outside recognition until independently reviewed and approved.

Evidence: Change register, notices and revised scope decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-24.03 | ESSENTIAL GATE

Standard p. 23

Audit and monitoring cooperation

Provide safe physical access, original evidence and independent interviews for scheduled and justified special audits. Maintain annual monitoring and supply requested performance information. Refusal, falsification or inability to verify an essential claim shall trigger restriction, deferral or protective status action appropriate to the affected scope.

Evidence: Access records, annual reports and monitoring responses.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-24.04 | ESSENTIAL GATE

Standard p. 23

Expiry, suspension and withdrawal

Stop new recognition claims for expired, suspended or withdrawn scope and correct misleading public material. Preserve truthful historical records and support outstanding remedies. Renewal is not automatic; a pending application or appeal shall not extend the term or restore recognition unless an authorized decision explicitly does so within programme rules.

Evidence: Claim withdrawal, historical records and remedy continuity.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-25.01 to PSR-25.03

ENTITY / SCOPE / CASE REFERENCE

PSR-25.01 | ESSENTIAL GATE

Standard p. 23

Approval boundary and ownership

For every included product/system family, define whether customer approval is required, what evidence is required and who may approve supply. Maintain an approval dossier tied to exact customer, product revision, manufacturing or delivery route, site and intended application. Where no customer approval is required, document the contract basis and independent internal release. IAF corporate recognition shall not supply a missing customer decision.

Evidence: Approval register, contract basis, exact scope and signatory authority.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-25.02 | ESSENTIAL GATE**

Standard p. 23

Complete qualification dossier

Compile requirements, responsibility allocation, risk controls, process readiness, measurement suitability, validation results, material and software identity, capacity evidence, traceability and unresolved limitations as applicable. Retain original adverse results and the rationale for representative or worst-case selections. A summary warrant or signed cover shall not replace the underlying technical evidence.

Evidence: Controlled dossier manifest with original evidence and limitation links.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-25.03 | ESSENTIAL GATE**

Standard p. 23

Disposition and change effect

Record acceptance, rejection, pending review or a permitted bounded deviation with its authority, conditions and validity. Determine what design, source, process, tool, location, software or support changes trigger resubmission. Verify written approval where required before affected shipment. Apply stricter customer notification duties when agreed; no universal notification period shall be inferred from this programme.

Evidence: Customer disposition history, change triggers and reapproval evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-25.04 to PSR-26.02

ENTITY / SCOPE / CASE REFERENCE

PSR-25.04 | ESSENTIAL GATE

Standard p. 23

Transfer and ongoing assurance

Carry approved requirements into production, purchase controls, release checks, service information and delivered configuration. Reconfirm evidence after material change or adverse performance and at justified intervals. Preserve approval status during plant transfer, supplier takeover and system integration. An approved sample shall not establish continuing conformity of uncontrolled production.

Evidence: Approval-to-operation map, requalification results and transfer checks.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-26.01**

Standard p. 24

Obsolescence intelligence

Monitor discontinuation, unsupported software, exhausted tool life, unavailable material and loss of critical expertise before they impair supply or support. Identify affected customer programmes and committed service periods. Notify through agreed routes, explain uncertainty and develop qualified alternatives. A last-time purchase shall include preservation, authenticity, quantity and lifetime risk review.

Evidence: Lifecycle watchlist, programme impact map and last-time-buy rationale.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PSR-26.02 | ESSENTIAL GATE**

Standard p. 24

Counterfeit and diversion prevention

Control authorized sourcing, provenance, distribution rights and suspect stock. Assess brokers, excess stock, returned products and recycled electronic components according to authenticity risk. Quarantine disputed identity and preserve chain of custody. Prevent unauthorized remarking, product diversion and re-entry of rejected output. Escalate credible counterfeit evidence through appropriate customer and protective channels.

Evidence: Provenance chain, suspect-product investigations and disposition records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PSR-26.03 to SYS-01

ENTITY / SCOPE / CASE REFERENCE

PSR-26.03 | ESSENTIAL GATE

Standard p. 24

Data portability and sustainable exit

Plan lawful continuity of customer-critical records, configuration knowledge, service keys, repair information and agreed tooling access after insolvency, acquisition, contract exit or provider closure. Protect security and intellectual property while preserving necessary support. Test recoverability and define who may authorize transfer; a theoretical escrow or undocumented backup is not demonstrated continuity.

Evidence: Exit plan, access rights, recovery test and authenticated transfer controls.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PSR-26.04 | ESSENTIAL GATE

Standard p. 24

Multi-customer impact and systemic learning

Evaluate whether a failure, vulnerability, material defect or common-source change affects other customers and product families. Share timely, technically useful warnings within lawful confidentiality limits and preserve responsibility for each decision. Track recipient action and unresolved exposure. Competitive sensitivity shall not justify withholding information necessary to prevent credible serious harm.

Evidence: Cross-programme impact assessment, protected notices and action tracking.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

SYS-01 | ESSENTIAL GATE

Standard p. 24

Allocated system responsibility

Define system boundaries, interfaces, allocated functions and acceptance responsibility with the customer and participating suppliers. Maintain a traceable architecture and record assumptions about vehicle integration. A supplier may claim only the functions and conditions it has verified; unresolved responsibility gaps shall prevent affected release.

Evidence: System architecture, interface agreement and acceptance ownership.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | SYS-02 to SYS-04

ENTITY / SCOPE / CASE REFERENCE

SYS-02 | ESSENTIAL GATE

Standard p. 25

Combination and variant validation

Validate relevant hardware, software, calibration and environmental combinations, including worst-case tolerances and fault propagation. Explain which variants share evidence and which need additional verification. Component conformity shall not by itself establish system performance, compatibility or safe degraded behaviour.

Evidence: Variant matrix, integration tests and failure-propagation evidence.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

SYS-03 | ESSENTIAL GATE

Standard p. 25

Coordinated configuration release

Control the exact integrated bill of materials, versions, approved sources, test state and release package. Verify consistency between assembled, tested and delivered configurations. Identify any integration performed by the customer and provide clear instructions, constraints and acceptance evidence for that handover.

Evidence: Released system manifest and configuration-to-test trace.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

SYS-04 | ESSENTIAL GATE

Standard p. 25

System-level field responsibility

Maintain ability to investigate interacting failures and coordinate component suppliers, customers and service operators. Preserve synchronized evidence and assess common effects across variants. Distinguish a defective component from an integration failure without delaying necessary protection while commercial responsibility is disputed.

Evidence: Joint investigation records, field population and coordinated remedy.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | CMP-01 to CMP-03

ENTITY / SCOPE / CASE REFERENCE

CMP-01 | ESSENTIAL GATE

Standard p. 25

Critical geometry and properties

Translate function and loading into controlled dimensional, material, surface, cleanliness and mechanical-property requirements. Verify measurement capability and production representativeness. Record any supplied-design uncertainty that affects interchangeability, durability or safety and obtain resolution before affected supply.

Evidence: Characteristic matrix, dimensional evidence and property validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

CMP-02 | ESSENTIAL GATE

Standard p. 25

Tool, cavity and process identity

Retain the link from output to tooling, cavity, machine, settings, material and process route where needed to contain variation. Validate repairs, transfers and alternative production routes. Do not pool incompatible cavities or machines to hide an unstable or unqualified source.

Evidence: Cavity-level process records and post-change validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

CMP-03 | ESSENTIAL GATE

Standard p. 25

Load and life evidence

Justify fatigue, wear, corrosion, joint, sealing or other lifetime verification for the actual application. State acceleration models, sample limitations and boundary conditions. Production changes that affect a failure mechanism shall trigger review of the previously accepted performance evidence.

Evidence: Lifetime test rationale, original failures and configuration limits.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | CMP-04 to ELE-02

ENTITY / SCOPE / CASE REFERENCE

CMP-04 | ESSENTIAL GATE

Standard p. 25

Replacement compatibility

Establish fit, function and application boundaries for original and replacement parts. Control catalogue mapping, marking and installation instructions. A visually similar part or matching nominal dimension is insufficient evidence of equivalent performance; prohibit misleading interchangeability claims and unsafe substitutions.

Evidence: Compatibility evidence, catalogue approval and installation information.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

ELE-01 | ESSENTIAL GATE

Standard p. 26

Electronic design and source control

Identify electrical, thermal, timing, environmental and endurance requirements and component derating assumptions. Trace semiconductor and electronic component provenance, revision and approved sources. Assess process-node, fabrication, packaging and die changes where they could affect reliability or intended use.

Evidence: Design limits, source genealogy and change-impact evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

ELE-02 | ESSENTIAL GATE

Standard p. 26

Assembly and latent-defect control

Control sensitive handling, moisture, contamination, joining profiles, cleaning and rework. Validate hidden joints and latent-defect risks with technically appropriate methods. Keep recipe, lot and board identity linked so that a process excursion can be traced to affected assemblies and customers.

Evidence: Assembly qualification, excursion records and latent-defect checks.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | ELE-03 to MAT-01

ENTITY / SCOPE / CASE REFERENCE

ELE-03 | ESSENTIAL GATE

Standard p. 26

Hardware and software compatibility

Verify electrical interfaces, startup, timing, diagnostics, power loss, disturbance and recovery behaviour with the released software or calibration. Record supported variants and installation constraints. A bench pass with a different firmware or load shall not establish conformity of the delivered configuration.

Evidence: Hardware/software matrix, disturbance and recovery evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

ELE-04 | ESSENTIAL GATE

Standard p. 26

Lifecycle authenticity and support

Manage ageing, counterfeit risk, discontinuation and substitute component qualification over the committed support period. Maintain accessible technical change notices and approved equivalent-source decisions. Explain the limits of screening; it shall not convert unidentified provenance into a fully traceable original-source claim.

Evidence: Authenticity plan, obsolescence register and substitution approvals.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

MAT-01 | ESSENTIAL GATE

Standard p. 26

Composition and batch definition

Define controlled composition, formulation, grade, physical properties and batch boundaries. Link declarations to actual source and production evidence and control blending, recycled input and reprocessing. Protect proprietary recipes through competent restricted review while keeping conformity verifiable.

Evidence: Batch composition, source records and controlled formulation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | MAT-02 to MAT-04

ENTITY / SCOPE / CASE REFERENCE

MAT-02 | ESSENTIAL GATE

Standard p. 26

Representative sampling and stability

Justify how samples represent tanks, coils, melts, containers or blended lots. Evaluate variability, homogeneity, contamination and stability under intended storage and use. Preserve sampling locations and handling conditions; a favourable isolated sample shall not conceal an uncharacterized batch.

Evidence: Sampling plan, variability evidence and stability results.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

MAT-03 | ESSENTIAL GATE

Standard p. 27

Handling and application conditions

Provide accurate safety, storage, mixing, curing, shelf-life and compatibility information relevant to the customer process. Verify material behaviour within the declared application window. Control transport excursions and expiry; relabelling or retesting alone shall not extend life without a justified technical basis.

Evidence: Application window, transport checks and expiry-extension review.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

MAT-04 | ESSENTIAL GATE

Standard p. 27

Composition changes and declarations

Assess formulation, source, substance and process changes against customer approval, destination obligations and long-term performance. Update declarations and identify the first affected batch. Environmental or recycled-content claims shall preserve traceability and disclose the calculation boundary and uncertainty.

Evidence: Change-to-batch map, declarations and claim substantiation.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | SWD-01 to SWD-03

ENTITY / SCOPE / CASE REFERENCE

SWD-01 | ESSENTIAL GATE

Standard p. 27

Digital deliverable and rights boundary

Define the released artifact, functions, interfaces, data rights, dependencies and support obligation. Distinguish development assistance from responsibility for an operational function. Identify rights to use and redistribute code, models and data; disclose restrictions that affect customer deployment, repair or continued support.

Evidence: Digital product definition, rights register and responsibility map.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

SWD-02 | ESSENTIAL GATE

Standard p. 27

Reproducible and authentic release

Preserve source and dependency versions, toolchain, build parameters, review results and artifact integrity. Demonstrate a reproducible or otherwise defensibly verifiable release and protect signing credentials. Link generated artifacts to the approved baseline; a repository tag alone shall not prove what was delivered.

Evidence: Build provenance, artifact hashes and authorized release evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

SWD-03 | ESSENTIAL GATE

Standard p. 27

Operational reliability and isolation

Validate interface contracts, performance limits, data quality, tenant separation, failure behaviour and recovery for the actual deployment. Monitor meaningful service outcomes and dependency failures. Prevent one customer configuration, update or data set from compromising another without detection and containment.

Evidence: Deployment validation, isolation tests and service-recovery results.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | SWD-04 to PROC-02

ENTITY / SCOPE / CASE REFERENCE

SWD-04 | ESSENTIAL GATE

Standard p. 27

Vulnerability and support continuity

Maintain a vulnerability intake, relevance assessment, coordinated remedy and customer notification process. Define support windows and secure access to necessary release knowledge after staff or supplier exit. Escalate unsupported dependencies and residual exposure; a severity score alone shall not determine whether a safety-relevant action is needed.

Evidence: Vulnerability decisions, notifications, update evidence and exit plan.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PROC-01 | ESSENTIAL GATE

Standard p. 28

Customer process responsibility

Agree the supplied inputs, operation boundary, acceptance criteria, special characteristics and permitted process changes. Verify incoming state and report unsuitable or ambiguous inputs. A customer-owned design does not authorize uncontrolled processing or remove the duty to report known unsafe results.

Evidence: Process agreement, incoming review and resolved technical queries.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

PROC-02 | ESSENTIAL GATE

Standard p. 28

Qualified operating window

Establish validated process windows, equipment condition, load patterns, consumables and competent personnel. Demonstrate controls for hidden properties and process interruptions. Changes outside the window require technical review and qualification before affected release; nominal machine settings alone are insufficient evidence.

Evidence: Qualification trials, operating envelopes and interruption controls.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | PROC-03 to TLS-01

ENTITY / SCOPE / CASE REFERENCE

PROC-03 | ESSENTIAL GATE

Standard p. 28

Lot integrity through transformation

Preserve identity across split loads, mixed customer work, rework, subcontract processing and scrap. Reconcile quantities and prevent cross-contamination or return of unprocessed items as completed. Link reported results to actual load and process records rather than generic conformance statements.

Evidence: Load genealogy, quantity reconciliation and original process data.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**PROC-04 | ESSENTIAL GATE**

Standard p. 28

Independent completion and return

Verify completion against the agreed process and product requirements, including destructive or indirect evidence where justified. Identify deviations, concessions and unresolved limits in the delivery record. Customer urgency shall not override a hold on unverified work or authorize a false processing certificate.

Evidence: Completion checks, release authority and accurate return documentation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**TLS-01 | ESSENTIAL GATE**

Standard p. 28

Intended production capability

Define product characteristics, capacity, operating conditions, interfaces, safety functions and acceptance criteria for the delivered asset. Distinguish machine performance from capability of a complete customer process. Resolve site utilities, installation assumptions and customer-supplied elements before final commitment.

Evidence: Equipment requirement baseline and site-interface agreement.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | TLS-02 to TLS-04

ENTITY / SCOPE / CASE REFERENCE

TLS-02 | ESSENTIAL GATE

Standard p. 28

Acceptance at real use conditions

Demonstrate relevant repeatability, output quality, cycle performance, protective functions and recovery at agreed representative conditions. Record differences between factory trials and installed operation. A demonstration using selected parts or disabled protections shall not establish unrestricted production capability.

Evidence: Trial plan, original results, installed checks and limitations.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

TLS-03 | ESSENTIAL GATE

Standard p. 28

Controlled lifecycle changes

Identify hardware, control logic, tooling revisions, safety settings and remote-access configuration. Validate modifications and repairs for their effect on output conformity and worker protection. Preserve backups and recovery instructions, and prevent service access from silently altering a qualified customer process.

Evidence: Asset configuration, service changes and recovery validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

TLS-04 | ESSENTIAL GATE

Standard p. 29

Support and measurement integrity

Provide competent training, maintenance, spare parts, calibration or verification instructions and end-of-life support information. Where the asset measures or accepts product, establish decision capability and ongoing check requirements. Equipment supply under PSR does not authorize independent laboratory or inspection-body claims.

Evidence: Support package, measurement validation and bounded claims.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | ENE-01 to ENE-03

ENTITY / SCOPE / CASE REFERENCE

ENE-01 | ESSENTIAL GATE

Standard p. 29

Energy responsibility and interfaces

Define responsibility for stored energy, electrical isolation, thermal control, mechanical containment and protective communication across supplied modules. Validate interface assumptions with the system customer. Product transport, service and damaged-state handling shall be considered alongside normal operation.

Evidence: Energy architecture, interface allocation and hazard controls.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

ENE-02 | ESSENTIAL GATE

Standard p. 29

Production genealogy and consistency

Link released output to critical cell, material, joining, power-device, firmware and calibration identities. Demonstrate production consistency for parameters affecting energy safety and lifetime. Bound the affected population after a source or process excursion, including mixed lots and repaired modules.

Evidence: Energy-system genealogy and critical-process records.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

ENE-03 | ESSENTIAL GATE

Standard p. 29

Abnormal and degraded conditions

Verify relevant fault, abuse, ageing, isolation loss, communication loss and protection behaviour under justified safe test conditions. State untested combinations and dependence on vehicle-level controls. A declared rating or single nominal-performance test shall not establish the complete safety envelope.

Evidence: Fault evidence, degraded-state limits and residual assumptions.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | ENE-04 to REM-02

ENTITY / SCOPE / CASE REFERENCE

ENE-04 | ESSENTIAL GATE

Standard p. 29

Service, reuse and end-of-life

Define safe diagnosis, isolation, replacement, storage, transport and recovery for the delivered configuration. Reuse and second-life claims require condition assessment and validation for the new duty. Preserve ownership of support and field actions after system disassembly or a change of business model.

Evidence: Service controls, second-life decision and lifecycle responsibility.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

REM-01 | ESSENTIAL GATE

Standard p. 29

Input acceptance and provenance

Define acceptable core identity, history, damage, contamination and remaining-life information. Segregate unknown or unsuitable input and preserve original identifiers. The absence of a visible defect shall not establish fitness for remanufacture or authorize removal of prior failure history.

Evidence: Core acceptance criteria, source history and rejection records.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

REM-02 | ESSENTIAL GATE

Standard p. 30

Restoration process and changed capability

Control disassembly, inspection, replacement, processing, software and reassembly against the declared target capability. Validate changes from the original specification or application. Identify what is restored, upgraded or left unverified and prevent a claim of equivalent performance without supporting evidence.

Evidence: Restoration specification, process route and validation limits.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | REM-03 to DST-01

ENTITY / SCOPE / CASE REFERENCE

REM-03 | ESSENTIAL GATE

Standard p. 30

Individual release and traceability

Link each unit or justified lot to inspected condition, replaced parts, process records and final acceptance. Control reuse of life-limited elements and hidden damage. Preserve the connection to original identity and any new configuration without misrepresenting the item as newly manufactured.

Evidence: Restoration genealogy, acceptance results and truthful marking.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

REM-04 | ESSENTIAL GATE

Standard p. 30

Warranty and safe reuse information

Provide accurate application, installation, service and warranty information reflecting restored capability and residual limits. Monitor returns and repeat failures by core source and restoration route. Support field containment and safe disposal where continued use cannot be justified.

Evidence: Warranty scope, customer information and restored-product field data.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

DST-01 | ESSENTIAL GATE

Standard p. 30

Authorized source and accountable supply

Identify actual source manufacturers, permitted distribution rights and customer technical requirements. Demonstrate authority and competence for every claimed supply activity. Corporate recognition of the distributor shall not imply recognition of the source manufacturer, product certification or permission to obscure country, source or configuration.

Evidence: Source authorization, manufacturer identity and scope boundaries.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | DST-02 to DST-04

ENTITY / SCOPE / CASE REFERENCE

DST-02 | ESSENTIAL GATE

Standard p. 30

Authenticity and configuration acceptance

Verify identity, revision, condition, provenance and required original acceptance evidence before supply. Apply additional controls to brokers, aged inventory, returned products and scarce electronic parts. Quarantine uncertain authenticity; invoice continuity alone shall not establish technical conformity.

Evidence: Receipt and authenticity checks with original release evidence.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

DST-03 | ESSENTIAL GATE

Standard p. 30

Preservation and transformation limits

Control storage, shelf life, handling, packaging, firmware loading, kitting and installation instructions. Treat any actual modification or transformation through its relevant additional profile and approval route. Repackaging or remarking shall preserve traceability and shall not conceal an unapproved substitute or altered product.

Evidence: Storage evidence, configuration history and transformation approval.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

DST-04 | ESSENTIAL GATE

Standard p. 30

Customer remedy and upstream coordination

Maintain ability to reach affected customers, retrieve traceability and obtain competent source support for defects, obsolescence and field actions. Define replacement, repair and continuity responsibilities. Escalate unresolved upstream evidence and prevent sales commitments that exceed actual technical support or lawful supply rights.

Evidence: Customer/source contacts, remedy cases and continuity evidence.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T01-01 to T01-03

ENTITY / SCOPE / CASE REFERENCE

T01-01 | ESSENTIAL GATE

Standard p. 31

Energy safety

Identify electrical, thermal, mechanical and chemical hazards across manufacture, transport, charging, use, repair and damaged-product handling. Verify protective controls and competent emergency response. The selected test programme shall address the actual chemistry, packaging, configuration and use limits.

Evidence: Energy safety dossier and configuration-specific tests.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T01-02 | ESSENTIAL GATE

Standard p. 31

Battery genealogy

Link safety-relevant material and cell batches to modules, packs, control software and delivered products. Preserve repair, replacement and state-of-health information where relied upon. Validate traceability through pack reconfiguration or second-life transfer rather than assuming the original identity remains sufficient.

Evidence: Cell-to-pack genealogy and modification history.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T01-03 | ESSENTIAL GATE

Standard p. 32

Abnormal condition control

Define containment for damaged, suspect, overheated or deeply degraded energy systems. Verify safe storage, isolation, transport and competent disposition. Monitor failures after release and identify the potentially affected population; a passed routine functional test alone shall not justify release of a damaged system.

Evidence: Quarantine, abnormal-event response and disposition evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T01-04 to T02-02

ENTITY / SCOPE / CASE REFERENCE

T01-04

Standard p. 32

Performance and second-life claims

Substantiate capacity, range contribution, durability and second-life suitability with stated conditions, ageing assumptions and uncertainty. Distinguish measured performance from projections. Reassess safety and support obligations for changed use; remaining capacity alone shall not prove suitability for repurposing.

Evidence: Performance dossier and repurposing risk evaluation.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T02-01 | ESSENTIAL GATE

Standard p. 32

Interface compatibility

Define supported electrical, mechanical, communication and user interfaces. Test representative combinations and known adverse interoperability cases. Record unsupported combinations and fail-safe responses; compatibility with one test partner shall not imply universal interoperability.

Evidence: Interface matrix and interoperability evidence.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T02-02 | ESSENTIAL GATE

Standard p. 32

Safe operation

Verify protection against electrical, thermal, environmental and misuse hazards in the actual installation and operating context. Address interruptions, isolation, emergency stopping and degraded communications. Allocate responsibilities between vehicle, charger, installer and service operator.

Evidence: Safety tests and interface responsibility record.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T02-03 to T03-01

ENTITY / SCOPE / CASE REFERENCE

T02-03

Standard p. 32

Metering and customer information

Verify measurement and billing-related data where provided, including timestamps, losses, tariffs and interrupted sessions. Present limitations and dispute routes clearly. Changes to measurement software or energy-management algorithms shall be controlled and re-evaluated where they affect customer outcomes.

Evidence: Measurement validation and transaction reconciliation.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T02-04 | ESSENTIAL GATE

Standard p. 32

Bidirectional and grid interaction

For bidirectional or managed charging, validate operating limits, authentication, conflicting commands and safe loss of external control. Establish ownership of battery degradation and support claims. Treat new energy-service functionality as a controlled scope and configuration change.

Evidence: Energy-control validation and agreed operating limits.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T03-01 | ESSENTIAL GATE

Standard p. 33

Connected-service boundary

Identify connectivity dependencies, back-end providers, data flows and the functions affected by loss or compromise. Define supported regions and service lifetime. Demonstrate safe degradation and understandable user communication when external connectivity is unavailable.

Evidence: Connectivity architecture and degraded-mode tests.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T03-02 to T03-04

ENTITY / SCOPE / CASE REFERENCE

T03-02 | ESSENTIAL GATE

Standard p. 33

Update campaign control

Bound each update campaign by exact compatible configurations, approved evidence and rollout criteria. Monitor installation and functional outcomes, contain adverse results and support safe recovery. Preserve status for offline or unreachable products and do not count download completion as successful remedy.

Evidence: Campaign manifest, deployment results and rollback evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**T03-03 | ESSENTIAL GATE**

Standard p. 33

Identity and credential lifecycle

Control device and service identities, privileged access, signing keys and credential transfer. Revoke compromised access and prevent unauthorized reuse after ownership change, dismantling or remanufacture. Verify that secure maintenance remains possible throughout the promised support period.

Evidence: Credential controls, revocation and ownership-transfer tests.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL**T03-04**

Standard p. 33

Feature and subscription integrity

Align advertised features, paid entitlements and actual availability. Explain connectivity, geographic, user and time limits. Material withdrawal or impairment shall receive technical and customer-impact review with lawful, agreed remedy; recognition shall not endorse an unvalidated future feature.

Evidence: Entitlement tests, disclosures and withdrawal review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T04-01 to T04-03

ENTITY / SCOPE / CASE REFERENCE

T04-01 | ESSENTIAL GATE

Standard p. 33

Intended operating envelope

Define the task, operating conditions, users, performance limits and foreseeable misuse of each automated function. Identify fallback and accountable decision owners. Validate transitions outside the supported envelope and avoid marketing that encourages operation beyond demonstrated capability.

Evidence: Operating-envelope definition and transition validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T04-02 | ESSENTIAL GATE

Standard p. 33

Data and model control

Control data provenance, selection, labels, permission, bias and representativeness. Version models and training or adaptation settings; evaluate rare but consequential cases. A larger dataset or higher average accuracy shall not substitute for evidence covering relevant failure modes and affected user groups.

Evidence: Dataset records, model versions and disaggregated evaluation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T04-03 | ESSENTIAL GATE

Standard p. 33

Independent challenge and monitoring

Use competent challenge tests, independent review and field monitoring appropriate to consequences. Set limits for drift and unexpected behaviour, then define containment or reversion. Learning after release shall remain within authorized change controls and shall not silently alter the safety basis.

Evidence: Challenge results, drift limits and intervention records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T04-04 to T05-02

ENTITY / SCOPE / CASE REFERENCE

T04-04 | ESSENTIAL GATE

Standard p. 34

Human responsibility

Provide understandable limitations, alerts, oversight and safe intervention where human participation is required. Validate workload and response assumptions in representative conditions. An automated score shall not alone determine safety acceptance, customer remedy or IAF corporate recognition.

Evidence: Human-factors evidence and accountable approval records.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T05-01 | ESSENTIAL GATE

Standard p. 34

Context of use

Define the decision that virtual evidence supports, required credibility and relevant physical conditions. Bound each model to validated assumptions and configurations. Do not use a convenient simulation as a replacement for necessary physical evidence outside its demonstrated context.

Evidence: Model-use statement and validation boundary.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T05-02 | ESSENTIAL GATE

Standard p. 34

Correlation and uncertainty

Compare predictions with independent physical or operational observations and explain discrepancies. Evaluate sensitivity, numerical uncertainty and data limitations. Maintain traceable model, solver, parameter and dataset versions so results can be reproduced or critically reviewed.

Evidence: Correlation studies and reproducibility package.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T05-03 to T06-01

ENTITY / SCOPE / CASE REFERENCE

T05-03 | ESSENTIAL GATE

Standard p. 34

Inspection performance

Validate automated inspection for relevant defect classes, line conditions and misleading inputs. Measure false acceptance and false rejection separately, with sample limitations. Provide a controlled human review route and monitor drift after changes to lighting, material, equipment or software.

Evidence: Inspection validation and false-acceptance monitoring.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T05-04 | ESSENTIAL GATE

Standard p. 34

Evidence authenticity

Preserve raw input, transformation history and review decisions for synthetic or digitally generated evidence. Mark synthetic content clearly and prevent it from being presented as an observed physical event. Demonstrate original data integrity independently of any hash, signature or persuasive visualization.

Evidence: Evidence lineage and synthetic/physical distinction.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T06-01 | ESSENTIAL GATE

Standard p. 34

Process qualification window

Define and validate material, machine, software, build and post-processing conditions that govern performance. Establish when changes invalidate the process window. Results from laboratory coupons or another machine shall be transferred only with a justified and verified technical basis.

Evidence: Qualified process envelope and transfer validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T06-02 to T06-04

ENTITY / SCOPE / CASE REFERENCE

T06-02 | ESSENTIAL GATE

Standard p. 35

Hidden-feature assurance

Identify internal, anisotropic or otherwise difficult-to-inspect characteristics. Combine validated process controls and appropriate verification to establish their reliability. Preserve critical process data and reject unsupported extrapolation from external appearance or limited surface inspection.

Evidence: Hidden-feature controls and representative verification.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T06-03 | ESSENTIAL GATE

Standard p. 35

Human and robot integration

Verify safe, quality-controlled interaction between workers, robots, flexible fixtures and digital work instructions. Test interruption, restart, manual override and mixed production. Assess whether changed tasks or automation obscure defects that operators previously detected.

Evidence: Integration tests, restart checks and competence evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T06-04

Standard p. 35

Technology change management

Plan controlled introduction, competence development and fallback for emerging technology. Distinguish experimental lines from recognised production. Retain legacy support and configuration evidence when new manufacturing methods replace earlier processes or materials.

Evidence: Technology introduction gates and fallback readiness.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T07-01 to T07-03

ENTITY / SCOPE / CASE REFERENCE

T07-01 | ESSENTIAL GATE

Standard p. 35

Lifecycle data identity

Define the identity, provenance, ownership and update responsibility of product lifecycle information. Separate public, customer and restricted data. Verify that an accessible digital record refers to the actual product and revision; a scannable code alone shall not establish conformity.

Evidence: Lifecycle data schema and identity verification.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T07-02 | ESSENTIAL GATE

Standard p. 35

Recovered-content assurance

Verify source, quality and chain of custody for recovered inputs. Explain allocation and mixing assumptions and prevent double counting. Confirm technical suitability for the intended safety and performance demands; environmental benefit shall not justify an unvalidated material substitution.

Evidence: Recovered-input dossier and claim reconciliation.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T07-03

Standard p. 35

Repair and disassembly

Provide technically sound repair and disassembly instructions for authorized lifecycle activities. Address hazardous energy, structural integrity, data removal and reused components. Test relevant procedures and clarify which changes require new validation or alter the recognised scope.

Evidence: Validated repair/disassembly instructions and scope effects.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T07-04 to T08-02

ENTITY / SCOPE / CASE REFERENCE

T07-04 | ESSENTIAL GATE

Standard p. 35

Second-life responsibility

Assign responsibility for changed use, condition assessment, new limits, support and field remedies. Maintain links to original and modified identities. Distinguish remanufactured performance claims from a new-product claim and provide evidence appropriate to the changed operating conditions.

Evidence: Second-life dossier and responsibility transfer.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T08-01 | ESSENTIAL GATE

Standard p. 36

Measurement boundaries

Define facilities, products, periods, units and material lifecycle stages included in a resource or emission claim. Identify primary data, estimates and exclusions. Preserve calculation versions and explain whether a result describes a physical reduction, a contractual instrument or a future objective.

Evidence: Boundary statement, data lineage and claim explanation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T08-02 | ESSENTIAL GATE

Standard p. 36

Comparable product claims

Use consistent functional performance, service life and allocation assumptions when comparing products or options. Assess material sensitivity and uncertainty. Do not advertise a minor operational saving as a verified whole-lifecycle advantage without evidence covering the claimed boundary.

Evidence: Comparison assumptions, sensitivity and review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T08-03 to T09-01

ENTITY / SCOPE / CASE REFERENCE

T08-03

Standard p. 36

Resource continuity

Assess energy and water constraints, extreme events and dependency on critical materials. Test continuity options without bypassing approved process or safety limits. Verify the impact of alternative energy, cooling, recycled water or substituted material on actual manufacturing quality.

Evidence: Resource scenarios and alternative-process validation.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T08-04

Standard p. 36

Transition accountability

Assign owners, milestones, funding and performance measures for transition commitments. Review whether actions shift harm to suppliers, communities or end users. Distinguish achieved outcomes from unverified expectations and correct claims when assumptions or delivery schedules materially change.

Evidence: Transition plan, progress evidence and revised disclosures.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T09-01

Standard p. 36

User and task diversity

Define intended users, tasks, abilities, environments and foreseeable barriers. Include relevant variation in size, age, language and ability in evaluation. Explain limitations in the tested population and avoid universal accessibility or comfort claims from a narrow sample.

Evidence: User requirements and representative evaluation plan.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T09-02 to T09-04

ENTITY / SCOPE / CASE REFERENCE

T09-02 | ESSENTIAL GATE

Standard p. 36

Safety-related interaction

Validate controls, displays, warnings and handover interactions under distraction, fatigue and degraded conditions. Assess whether foreseeable confusion can cause harm. Marketing or user training alone shall not compensate for an unsafe interface where a feasible design control is required.

Evidence: Human interaction tests and safety disposition.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T09-03

Standard p. 37

Service accessibility

Provide understandable instructions, safety notices and remedy access through usable channels. Evaluate barriers created by digital-only service, subscription dependence or unavailable local support. Establish effective alternatives where necessary to fulfil actual safety and customer commitments.

Evidence: Accessibility review and alternative support routes.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T09-04

Standard p. 37

Wellbeing claims

Substantiate comfort, air quality, noise and wellbeing claims using defined conditions, methods and evidence. Distinguish engineering performance from medical assertions. Investigate adverse user feedback and avoid presenting corporate recognition as validation of unassessed health benefits.

Evidence: Claim evidence and adverse-feedback review.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T10-01 to T10-03

ENTITY / SCOPE / CASE REFERENCE

T10-01 | ESSENTIAL GATE

Standard p. 37

Duty and application boundary

Define actual loads, duty cycles, environments, operators and service demands. Assess multi-stage manufacture and body or equipment integration. An approval basis for ordinary road use shall not automatically extend to off-road, severe-duty, emergency or unusual operating conditions.

Evidence: Duty-cycle definition and integration evidence.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T10-02 | ESSENTIAL GATE

Standard p. 37

Novel energy and hazard review

For hydrogen, alternative fuels or other unfamiliar energy systems, identify hazards, containment, detection, emergency response and competent maintenance. Validate the actual installed configuration and credible failures. Do not infer equivalent safety from a conventional energy architecture.

Evidence: Novel-energy risk dossier and emergency validation.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

T10-03 | ESSENTIAL GATE

Standard p. 37

Small-series control

Maintain configuration-specific design, build, test and release evidence for small series and unique units. Identify common validated features and independently justify differences. A low production volume shall not excuse missing safety evidence, traceability or support capability.

Evidence: Unique-unit records and difference assessment.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | T10-04 to IR01-02

ENTITY / SCOPE / CASE REFERENCE

T10-04 | ESSENTIAL GATE

Standard p. 37

Future-use governance

When a new application lacks sufficient evidence or an adopted technical module, restrict it to controlled development until the necessary criteria and validation exist. Use expert review and pilots to develop prospective requirements. Do not grant public recognition to a speculative capability merely to support innovation messaging.

Evidence: Research boundaries, pilot review and adoption decision.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR01-01 | ESSENTIAL GATE

Standard p. 38

Adoption and launch

IAF shall formally adopt the edition, effective date, roles, contact channels and implementation notice before issuing recognition. Validate pilots, assessor competence, independent decisions, complaints and status verification. This prepared document set shall not itself confer recognition or prove that an operating registry or acceptance agreement exists.

Evidence: Adoption resolution, pilot outcomes and launch readiness record.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR01-02 | ESSENTIAL GATE

Standard p. 38

Eligible supplier scope

Accept accountable product and system suppliers in the ten defined profiles, including qualified digital and controlled-distribution activities. Apply every relevant profile and technology module. Membership, a sales introduction, unrestricted brokerage, training or independent assessment alone is not supplier recognition; actual controlled output and evidence are required.

Evidence: Eligibility review and accepted scope/profile selection.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR01-03 to IR02-01

ENTITY / SCOPE / CASE REFERENCE

IR01-03 | ESSENTIAL GATE

Standard p. 38

Readiness without public status

A private readiness review may identify gaps, but shall not issue Corporate Recognised status, a public approval badge or a provisional recognition certificate. The initial six-month operation and three-case family/site floor remain mandatory. Inform applicants that eligibility and complete paperwork do not guarantee grant.

Evidence: Readiness boundary and applicant communication.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR01-04 | ESSENTIAL GATE

Standard p. 38

IAF quality texts and transition

Use a controlled schedule of IAF requirements with exact editions and approval status. PSR is a self-contained corporate quality baseline; it does not automatically certify every other IAF text. Additional claimed conformity needs its own complete mapping and assessment. Adopt new requirements prospectively with published transition and unresolved-scope rules.

Evidence: Controlled criterion schedule and transition decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR02-01 | ESSENTIAL GATE

Standard p. 38

Recognition unit

Each decision shall identify accountable legal entities, sites, activity profiles, product/service families, technology modules and exclusions. A group schedule shall name every included entity and its undertaking; entities outside the decision shall not inherit status. Retain stable entity, site and scope-row identifiers through revisions.

Evidence: Approved recognition schedule with stable identifiers.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR02-02 to IR02-04

ENTITY / SCOPE / CASE REFERENCE

IR02-02 | ESSENTIAL GATE

Standard p. 38

Operational-site coverage

Before initial recognition and renewal, physically audit every included operational site. Audit each such site physically at least annually during the term. Operational sites include manufacturing, processing, warehouse/configuration and accountable software engineering/release centres. Assess central/support controls annually; retain necessary direct evidence of critical outsourced operations. Remote workers are assigned to a controlled operational centre, not automatically separate sites.

Evidence: Site coverage plan and completed physical-audit records.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR02-03 | ESSENTIAL GATE

Standard p. 39

Family grouping

Group products only where design responsibility, process route, critical characteristics, technology and risk are sufficiently comparable. Record worst-case choices and differences. A family boundary shall not be broadened merely to reduce audits or case counts. Every included family/site shall satisfy the operating-history and completed-case gate.

Evidence: Technical family rationale and representative-case matrix.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR02-04 | ESSENTIAL GATE

Standard p. 39

Change and partial restriction

Assess material changes before extending scope. A coherent unaffected scope may remain active only when shared causes and interfaces are controlled and the independent decision states the exact boundary. Update public scope promptly; a broad badge shall not conceal a suspended plant, activity or product family.

Evidence: Change assessment, coherent restriction and status update.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR03-01 to IR03-03

ENTITY / SCOPE / CASE REFERENCE

IR03-01 | ESSENTIAL GATE

Standard p. 39

Audit team and independence

IAF shall assign at least two competent auditors collectively covering management, industrial processes and relevant technologies. Exclude personnel with employment, consultancy, ownership or material commercial ties to the applicant in the preceding 36 months, and longer where a threat remains. Declare other conflicts and protect independent worker and customer evidence.

Evidence: Competence matrix, conflict clearance and team appointment.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR03-02 | ESSENTIAL GATE

Standard p. 39

Minimum supplier audit planning

Initially plan at least two auditor-days for central governance plus two per operational site up to 100 effective workers, three for 101-500 and four above 500. Add one day per affected site for each factor: novel safety-critical technology, more than two material shifts, complex critical outsourcing or unresolved systemic risk. Each included operational site requires at least one full operating day physically on site.

Evidence: Duration calculation, additions and approved coverage plan.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR03-03 | ESSENTIAL GATE

Standard p. 39

Case and process sampling

At each family/site review at least three completed cases, including changed and adverse cases where available; add more to cover actual risks and contradictions. Witness every critical process family and release route and observe at least two shifts where more than one operates. Cover remaining shifts within the annual programme. These floors are not statistical confidence claims.

Evidence: Case population, independent selections and witness/shift coverage.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR03-04 to IR04-02

ENTITY / SCOPE / CASE REFERENCE

IR03-04 | ESSENTIAL GATE

Standard p. 39

Evidence sufficiency

Triangulate original records, observation and independent interviews. Give at least half of on-site audit time to process observation, witnessing and interviews. Remote tools may supplement required physical audits but shall not replace them. Increase duration and samples when evidence is conflicting, inaccessible or insufficient; record limitations and unsupported scope.

Evidence: Evidence manifest, actual time log and sufficiency conclusion.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR04-01 | ESSENTIAL GATE

Standard p. 40

Finding classification

Classify findings as Minor, Major or Critical using consequence and systemic extent, not negotiation. Pending is unverified evidence; NA requires an inherent scope reason approved by the auditor. A serious safety or integrity threat is Critical. Disclose affected scope, objective evidence and the failed requirement and allow 15 calendar days for factual response.

Evidence: Finding records, scope impact and factual-response trail.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR04-02 | ESSENTIAL GATE

Standard p. 40

Independent initial decision

A three-person IAF panel, uninvolved in audit or applicant consultancy, shall review competence, conflicts, evidence, scope and closure. All three participate; at least two affirmative reasoned votes are needed to grant. Every applicable requirement and additional claimed criterion shall be Met. No open initial finding, score average or commercial promise can substitute for this gate.

Evidence: Three-person vote record and verified initial closure package.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR04-03 to IR05-01

ENTITY / SCOPE / CASE REFERENCE

IR04-03 | ESSENTIAL GATE

Standard p. 40

Term and surveillance

Recognition lasts at most three years from the grant decision, subject to annual surveillance due by months 12 and 24 and the annual physical-site rule. Renewal shall be independently decided before expiry; apply at least six months beforehand. A pending audit, appeal or renewal shall not silently extend the term.

Evidence: Decision dates, surveillance calendar and renewal record.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR04-04 | ESSENTIAL GATE

Standard p. 40

Active findings and urgent protection

During an active term apply the 90-day Minor maximum, 30-day Major closure target and immediate Critical protective-suspension review. Record containment and prior-output impact. A decision to continue coherent unaffected scope requires evidence and reasons; missed surveillance or inability to verify essential controls requires suspension review.

Evidence: Active finding controls and protective status decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR05-01 | ESSENTIAL GATE

Standard p. 40

Controlled wording

The authorized status is IAF Corporate Recognised, used with the exact named scope and recognition ID. Certificates or statements shall describe corporate implementation assurance and its limits. They shall not imply universal legal acceptance, product-by-product approval, accreditation as a test body or a guarantee against defects or commercial loss.

Evidence: Approved statement, scope annex and claim samples.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR05-02 to IR05-04

ENTITY / SCOPE / CASE REFERENCE

IR05-02 | ESSENTIAL GATE

Standard p. 40

Verification before publication

IAF shall validate a public or controlled manual verification service before issuing live status. Show legal entity, sites, scope, dates, conditions and current/historical status without exposing confidential findings or personal data. A code or signature verifies record linkage and authenticity, not the truth of every product claim.

Evidence: Verification tests and published minimum record.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR05-03 | ESSENTIAL GATE

Standard p. 41

Mark licence and misuse

Use only IAF-approved artwork and a recorded licence for active scope. Do not place the corporate mark on products, product labels, packaging or test reports in a way that implies product certification. Monitor misleading use, require correction and suspend rights where material misuse persists or creates immediate harm.

Evidence: Licence, artwork approval and misuse responses.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR05-04 | ESSENTIAL GATE

Standard p. 41

Status event integrity

Retain immutable history of grant, extension, restriction, suspension, expiry, withdrawal and restoration. Display the effective time and scope; publish protective changes normally within two business days. Correct stale copies through notice and verification links, preserving historical facts rather than deleting adverse status events.

Evidence: Authorized status events, notices and publication audit trail.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR06-01 to IR06-03

ENTITY / SCOPE / CASE REFERENCE

IR06-01 | ESSENTIAL GATE

Standard p. 41

Impartial operation

Separate IAF assessment and decision activity from sales, membership recruitment, sponsorship and applicant consultancy. Review structural conflicts at least annually. No commercial relationship shall buy a technical outcome. Staff incentives and committee composition shall support independent challenge and balanced industry/user interests.

Evidence: Impartiality review and role-separation evidence.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR06-02 | ESSENTIAL GATE

Standard p. 41

Complaints and protected concerns

Provide accessible confidential channels, acknowledge complaints normally within five business days and assign independent handling. Investigate evidence proportionately and protect good-faith reporters. Notify parties of reasoned outcomes and remaining review routes without disclosing unnecessary confidential material. Do not suppress a credible safety concern because litigation or a commercial dispute exists.

Evidence: Complaint log, protection controls and reasoned outcomes.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR06-03 | ESSENTIAL GATE

Standard p. 41

Appeals

Permit an appeal within 30 calendar days of decision notification. Use three competent reviewers who did not audit, decide or advise the original case. Record conflicts, evidence and reasons; target a determination within 45 calendar days after a complete submission. Necessary proportionate protection remains in effect during appeal.

Evidence: Appeal record, independent panel and final reasons.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR06-04 to IR07-02

ENTITY / SCOPE / CASE REFERENCE

IR06-04 | ESSENTIAL GATE

Standard p. 41

Confidential information

Agree lawful access, restricted review, secure transfer and retention before collecting evidence. Share only what is necessary for competent assessment, required reporting and fair process. Preserve identities and trade secrets in public records. Confidentiality shall not be used to hide falsification or deny essential evidence; unresolved access prevents a supported conclusion.

Evidence: Data-access agreement, permissions and disclosure record.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR07-01 | ESSENTIAL GATE

Standard p. 42

Decision record retention

IAF shall retain application, evidence manifest, audit, finding, decision, appeal and status records for at least ten years after expiry or withdrawal, subject to longer holds. Limit unnecessary personal data. The organization retains product evidence under PSR-12.04; the two clocks shall not be confused.

Evidence: Role-specific retention and deletion/hold records.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR07-02 | ESSENTIAL GATE

Standard p. 42

Registry integrity

Control creation and modification of recognition identities, scope versions and status through authorized roles, separation of duties and recoverable audit trails. Test backup restoration and protect signing credentials. During an outage, return unavailable or unresolved with retrieval time and provide a controlled manual verification route.

Evidence: Registry access, recovery and outage verification tests.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR07-03 to IR08-01

ENTITY / SCOPE / CASE REFERENCE

IR07-03 | ESSENTIAL GATE

Standard p. 42

Assessor consistency

Calibrate auditors and decision panels using common cases, witnessed performance and review of disagreement. Monitor bias, conflicts, overturned decisions and weak evidence. Authorize by actual competence and technology scope; generic audit experience alone shall not authorize unfamiliar high-risk industrial assessment.

Evidence: Calibration cases, observed audits and authorization limits.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR07-04

Standard p. 42

Independent programme review

Audit programme operation annually and commission an independent effectiveness review at least every three years. Review false or overstated claims, surveillance timeliness, complaint outcomes and serious events. Publish aggregate performance without exposing confidential cases and adopt corrective improvements through controlled change.

Evidence: Programme review, performance report and corrective actions.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR08-01 | ESSENTIAL GATE

Standard p. 42

Validated supplier pilots

Before launch, pilot at least an integrated system supplier with critical sub-tiers, a component or special-process producer, a software/digital supplier and a controlled-distribution or circular-product supplier. Include a failed case and a contested decision. Validate actual case definitions, physical operational-site coverage, forms, audit effort, decisions and status verification; resolve weaknesses before issuing recognition.

Evidence: Pilot coverage, results and launch gate decision.

RESULT

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Requirement assessment | IR08-02 to IR08-04

ENTITY / SCOPE / CASE REFERENCE

IR08-02 | ESSENTIAL GATE

Standard p. 42

Acceptance development

Build confidence through transparent criteria, competent auditing and documented acceptance arrangements with actual users. State the geography, purpose and limits of each arrangement. Neither this programme design nor a recognition record shall be described as accepted by every country, customer or authority without specific evidence.

Evidence: Acceptance register and bounded public statements.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR08-03

Standard p. 43

Proportionate access

Permit organizations of different sizes and regions to use existing records and integrated systems where they satisfy requirements. Support competent translation and lawful protected access. Proportionality may change evidence format and audit additions, but shall not waive essential safety, integrity, physical audit or initial operating-history gates.

Evidence: Access arrangements and reasoned proportionality decisions.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

IR08-04

Standard p. 43

Future modules and transition

Monitor new products, materials, energy systems and digital practices. Develop requirements through technical review, balanced consultation and pilots, then publish a prospective adoption and transition notice. Unvalidated future capability stays outside active recognition; preserve earlier decisions and explain the effect of changes on each scope.

Evidence: Research register, consultation, pilot and transition record.

RESULT

Select

FINDING ID

EVIDENCE / RATIONALE / SCOPE LIMITS - USE CONTINUATION FOR DETAIL

Findings, closure and essential gates

ENTITY / SCOPE / CASE REFERENCE

APPLICABLE MODULES, RESULT COUNTS AND ACCEPTED NA REASONS

HISTORY/CASES, TECHNICAL EVIDENCE, PHYSICAL AUDIT AND ESSENTIAL GATES SUPPORTED

FINDINGS, AFFECTED SCOPE, CONTAINMENT, PRIOR-OUTPUT IMPACT AND DEADLINES

VERIFIED CLOSURE, UNRESOLVED EVIDENCE AND COHERENT EXCLUSIONS

ASSESSMENT RECOMMENDATION, LIMITATIONS AND RESPONSIBLE AUDITOR AUTHORIZATION

Independent recognition and exact scope

ENTITY / SCOPE / CASE REFERENCE

FINAL LEGAL ENTITIES, SITES, SCOPE VERSION AND EVIDENCE MANIFEST

THREE UNINVOLVED PANEL MEMBERS, COMPETENCE, CONFLICTS AND INDIVIDUAL VOTES

ALL APPLICABLE MET; GRANT/DEFER/REFUSE/RESTRICT, REASONS AND DISSENT

EFFECTIVE/EXPIRY WITHIN THREE YEARS AND ANNUAL SITE/SURVEILLANCE DATES

AUTHORIZED CLAIMS, VERIFICATION, DECISION NOTICE, APPEAL DEADLINE AND SIGNATURE

Continuation and supporting detail

ENTITY / SCOPE / CASE REFERENCE

PARENT FIELD, REQUIREMENT OR RECORD ID / CONTINUATION NUMBER

FULL RESPONSE, SOURCE REFERENCES, RATIONALE AND LIMITATIONS

PREPARED BY / ROLE / DATE / AUTHORIZATION