

Implemented practice, evidence and effectiveness

Describe actual implementation and evidence, not intended policy alone. Include adverse facts and gaps. This dossier supports review; it does not replace audit or independently grant recognition.

LEGAL ENTITY / INCLUDED SITES / SCOPE VERSION / CASE ID

PROFILES, TECHNOLOGY MODULES AND PRODUCT/SERVICE FAMILIES

AT LEAST SIX MONTHS OF OPERATION; EVIDENCE PERIOD AND DATA CUTOFF

SELF-ASSESSMENT TEAM, TECHNICAL OWNERS AND ACCOUNTABLE EXECUTIVE

PSR/CONSTITUTION EDITIONS AND ADDITIONAL IAF ADOPTION MAP

ORIGINAL EVIDENCE INDEX, ACCESS LOCATIONS, PRIVACY AND LIMITATIONS

01 Legal identity, scope and accountability

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-01.01 ACCOUNTABLE LEGAL ENTITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-01.02 DEFINED SUPPLIER RESPONSIBILITY BOUNDARY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-01.03 CUSTOMER, SUPPLIER AND SUB-TIER INTERFACES - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-01.04 LOCAL OPERATION AND LANGUAGE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

02 Leadership, policy and governance

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-02.01 EXECUTIVE OWNERSHIP - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-02.02 INDEPENDENT CHALLENGE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-02.03 MANAGEMENT STRUCTURE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-02.04 ETHICAL DIRECTION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

03 IAF quality adoption and claim substantiation

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-03.01 ADOPTED QUALITY BASIS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-03.02 REQUIREMENT-LEVEL IMPLEMENTATION MAP - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-03.03 DEMONSTRATED SUPPLIER OPERATING HISTORY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-03.04 TRUTHFUL CONFORMITY STATEMENTS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

04 Obligations and responsible commercial conduct

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-04.01 OBLIGATION REGISTER - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-04.02 TRUTHFUL CAPABILITY AND COMMERCIAL REPRESENTATIONS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-04.03 INTEGRITY OF TRANSACTIONS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-04.04 REMEDY AND TRANSPARENCY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

05 Risk management and product safety

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-05.01 LIFECYCLE RISK OWNERSHIP - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-05.02 SAFETY ALLOCATION AND CRITICAL CHARACTERISTICS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-05.03 SAFE RELEASE AND ESCALATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-05.04 RISK VERIFICATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

06 People, competence and working conditions

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-06.01 TASK COMPETENCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-06.02 HUMAN PERFORMANCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-06.03 RESPECT AND PROTECTION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-06.04 KNOWLEDGE CONTINUITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

07 Design, development and engineering change

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-07.01 CUSTOMER REQUIREMENTS AND INTERFACE BASELINE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-07.02 PRODUCT AND SYSTEM VALIDATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-07.03 SUPPLIER ENGINEERING AND CONFIGURATION CHANGE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-07.04 DEVELOPMENT LEARNING - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

08 Supply network and purchased assurance

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-08.01 RISK-BASED SUB-TIER QUALIFICATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-08.02 SUB-TIER FLOW-DOWN AND VERIFICATION RIGHTS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-08.03 INCOMING AND PURCHASED-OUTPUT ASSURANCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-08.04 EXTERNAL LABORATORY AND DIGITAL EVIDENCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

09 Industrialisation and production readiness

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-09.01 PRODUCT-TO-PROCESS READINESS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-09.02 CAPACITY AND SUSTAINABLE DELIVERY COMMITMENT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-09.03 CONTROLLED LAUNCH AND ESCALATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-09.04 PROTOTYPE AND LOW-VOLUME INTEGRITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

10 Manufacturing and assembly control

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-10.01 CONTROLLED EXECUTION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-10.02 SPECIAL-PROCESS AND HIDDEN-FEATURE CONTROL - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-10.03 TOOLS, EQUIPMENT AND CUSTOMER PROPERTY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-10.04 NONCONFORMING OUTPUT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

11 Measurement, testing and release evidence

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-11.01 MEASUREMENT SUITABILITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-11.02 TEST INTEGRITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-11.03 ACCEPTANCE RULES AND BOUNDED CONCESSIONS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-11.04 RELEASE AUTHORITY AND SHIPMENT INTEGRITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

12 Identity, configuration and traceability

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-12.01 PART, SYSTEM AND DIGITAL CONFIGURATION IDENTITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-12.02 GENEALOGY ACROSS SUPPLY TIERS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-12.03 TRACEABILITY CHALLENGE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-12.04 RECORDS AND AUTHENTICITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

13 Preservation, logistics and delivery

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-13.01 PRESERVATION CONTROLS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-13.02 INVENTORY INTEGRITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-13.03 DELIVERY, CONFIGURATION AND RECEIPT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-13.04 EXTERNAL LOGISTICS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

14 Software, cybersecurity and information integrity

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-14.01 SECURE SUPPLIER SOFTWARE LIFECYCLE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-14.02 UPDATES, COMPATIBILITY AND RECOVERY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-14.03 DATA PROTECTION AND ACCESS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-14.04 AUTOMATED DECISIONS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

15 Aftersales, warranty and lifecycle support

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-15.01 PRODUCT AND SYSTEM SUPPORT COMMITMENTS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-15.02 SERVICE COMPETENCE AND CONTROL - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-15.03 FIELD INFORMATION AND ACCESSIBILITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-15.04 END-OF-SUPPORT AND TRANSFER - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

16 Complaints, field action and emergency response

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-16.01 COMPLAINT HANDLING - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-16.02 COORDINATED FIELD ACTION AND REMEDY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-16.03 URGENT INCIDENT REPORTING - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-16.04 LEARNING AND RESTORATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

17 Environmental responsibility and circularity

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-17.01 MATERIAL AND SUBSTANCE RESPONSIBILITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-17.02 CREDIBLE PERFORMANCE CLAIMS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-17.03 REPAIR, RECOVERY AND REUSE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-17.04 IMPROVEMENT AND EXTERNAL HARM - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

18 Resilience and continuing ability to deliver

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-18.01 SUPPLY CONTINUITY AND CONCENTRATION RISK - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-18.02 TESTED RECOVERY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-18.03 RESOURCE AND FINANCIAL CONTINUITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-18.04 ORDERLY CESSATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

19 Contract manufacture, intellectual property and interfaces

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-19.01 DESIGN OWNERSHIP AND BUILD-TO-PRINT ACCOUNTABILITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-19.02 INTELLECTUAL PROPERTY AND EVIDENCE ACCESS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-19.03 OUTSOURCED CRITICAL OPERATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-19.04 INTERFACE CHANGE CONTROL - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

20 Customer confidence and service fulfilment

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-20.01 QUOTATION, NOMINATION AND CONTRACT FEASIBILITY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-20.02 SERVICE OUTCOME CONTROL - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-20.03 HONEST PERFORMANCE COMMUNICATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-20.04 FAIR ACCESS AND REMEDY - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

21 Performance, indicators and evidence quality

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-21.01 SUPPLIER QUALITY AND TRUST INDICATORS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-21.02 DATA RECONCILIATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-21.03 COMPARABILITY AND INTERPRETATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-21.04 ACTION ON DETERIORATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

22 Internal audit and management review

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-22.01 RISK-BASED INTERNAL AUDIT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-22.02 EFFECTIVE AUDIT EVIDENCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-22.03 MANAGEMENT REVIEW - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-22.04 LEARNING ACROSS SITES - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

23 Corrective action and verified effectiveness

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-23.01 CONTAINMENT AND IMPACT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-23.02 CAUSE AND SYSTEMATIC ACTION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-23.03 EFFECTIVENESS VERIFICATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-23.04 IAF FINDING DEADLINES - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

24 Recognition obligations and continuing truth

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-24.01 BOUNDED PUBLIC STATUS - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-24.02 MATERIAL CHANGES - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-24.03 AUDIT AND MONITORING COOPERATION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-24.04 EXPIRY, SUSPENSION AND WITHDRAWAL - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

25 Customer product and system acceptance

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-25.01 APPROVAL BOUNDARY AND OWNERSHIP - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-25.02 COMPLETE QUALIFICATION DOSSIER - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-25.03 DISPOSITION AND CHANGE EFFECT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-25.04 TRANSFER AND ONGOING ASSURANCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

26 Long-term supply, obsolescence and ecosystem integrity

ENTITY / SCOPE / CASE REFERENCE

State what happens, who owns it and how it is verified. Identify original evidence, versions, operating results and unresolved limitations.

PSR-26.01 OBSOLESCENCE INTELLIGENCE - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-26.02 COUNTERFEIT AND DIVERSION PREVENTION - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-26.03 DATA PORTABILITY AND SUSTAINABLE EXIT - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

PSR-26.04 MULTI-CUSTOMER IMPACT AND SYSTEMIC LEARNING - PRACTICE, EVIDENCE, EFFECTIVENESS AND GAP

Industrial profile supplement - repeat per profile

ENTITY / SCOPE / CASE REFERENCE

PROFILE/ENTITY/SITE/FAMILY IDS AND APPLICABLE SCOPE ROWS

REQUIREMENTS 01-02: IMPLEMENTED CONTROLS, EVIDENCE AND GAPS

REQUIREMENTS 03-04: IMPLEMENTED CONTROLS, EVIDENCE AND GAPS

INDEPENDENT CHECKS, EFFECTIVENESS AND RESPONSIBLE TECHNICAL AUTHORIZATION

Technology supplement - repeat per domain

ENTITY / SCOPE / CASE REFERENCE

DOMAIN/ENTITY/SITE/FAMILY, CONFIGURATIONS AND INTENDED USE

REQUIREMENT 01: ACTUAL CONTROLS, EVIDENCE, LIMITATIONS AND GAP

REQUIREMENT 02: ACTUAL CONTROLS, EVIDENCE, LIMITATIONS AND GAP

REQUIREMENT 03: ACTUAL CONTROLS, EVIDENCE, LIMITATIONS AND GAP

REQUIREMENT 04: ACTUAL CONTROLS, EVIDENCE, LIMITATIONS AND GAP

CROSS-DOMAIN RISKS, VALIDATION BOUNDARY AND TECHNICAL AUTHORIZATION

Internal directives and additional IAF claims

ENTITY / SCOPE / CASE REFERENCE

IR01-IR02: ADOPTION, ELIGIBILITY, NAMED SCOPE AND SITE/FAMILY COVERAGE

IR03-IR04: AUDIT ACCESS, HISTORY/CASES, FINDINGS AND INDEPENDENT DECISIONS

IR05-IR06: CLAIMS, MARKS, VERIFICATION, FAIR PROCESS AND CONFIDENTIALITY

IR07-IR08: RETENTION, INTEGRITY, PROPORTIONATE ACCESS AND TRANSITION

SEPARATE IAF OPERATOR-ASSURANCE REFERENCE; APPLICANT DECLARATION IS INSUFFICIENT

ADDITIONAL IAF TEXTS: COMPLETE CLAUSE-LEVEL MAPS AND SEPARATE DECISIONS

Performance, adverse facts and corrective actions

ENTITY / SCOPE / CASE REFERENCE

CASE POPULATIONS, COMPLETED RELEASES, CHANGES, FAILURES AND TRACEABILITY

QUALITY/DELIVERY/FIELD/SUPPORT INDICATORS, DENOMINATORS AND DATA RECONCILIATION

COMPLAINTS, INCIDENTS, RECALLS, WARRANTY AND VERIFIED REMEDY COMPLETION

INTERNAL AUDIT/REVIEW, OPEN FINDINGS, CONTAINMENT AND EFFECTIVENESS EVIDENCE

Evidence index and executive authorization

ENTITY / SCOPE / CASE REFERENCE

EVIDENCE IDS, TITLES/VERSIONS/DATES, OWNER, SOURCE AND MAPPED REQUIREMENTS

MISSING/RESTRICTED EVIDENCE, JUSTIFIED EXCLUSIONS AND UNSUPPORTED FUTURE CAPABILITY

ACCURACY AND ADVERSE-DISCLOSURE DECLARATION BY EXECUTIVE AND TECHNICAL OWNERS

ACCEPTED CONSTITUTION/PSR VERSIONS, SIGNATURES/AUTHENTICATED APPROVALS AND DATES

Continuation and supporting detail

ENTITY / SCOPE / CASE REFERENCE

PARENT FIELD, REQUIREMENT OR RECORD ID / CONTINUATION NUMBER

FULL RESPONSE, SOURCE REFERENCES, RATIONALE AND LIMITATIONS

PREPARED BY / ROLE / DATE / AUTHORIZATION